

**Request for Proposal (RFP)**  
**For**  
**Implementation of the Fund Management**  
**Solution for Central Sponsor Scheme (CSS) as per**  
**the Govt. Guidelines for all SNA Models and other**  
**related modules (Re-tendering)**



Head Office-2  
Department of Information Technology  
7<sup>th</sup> Floor, 3 & 4 DD Block, Sector -1  
Salt Lake, Kolkata-700 064

**RFP Ref. No: UCO/DIT/1728/2022-23 Date: 30/09/2022**

The information provided by the bidders in response to this RFP Document will become the property of the Bank and will not be returned. The Bank reserves the right to amend, rescind or reissue this RFP Document and all amendments will be advised to the bidders and such amendments will be binding on them. The Bank also reserves its right to accept or reject any or all the responses to this RFP Document without assigning any reason whatsoever.

This document is prepared by UCO Bank for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules (Re-Tendering). It should not be reused or copied or used either partially or fully in any form.

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## CONTENTS

|   |           |
|---|-----------|
| <b>PART – I.....</b>  | <b>11</b> |
| <b>1 INTRODUCTION.....</b>                                  | <b>11</b> |
| <b>2 OVERVIEW OR OBJECTIVE .....</b>                        | <b>11</b> |
| <b>3 ELIGIBILITY CRITERIA.....</b>                          | <b>11</b> |
| <b>PART – II.....</b>                                       | <b>14</b> |
| <b>INVITATION FOR BIDS AND INSTRUCTIONS TO BIDDERS.....</b> | <b>14</b> |
| <b>1. INVITATION FOR BIDS.....</b>                          | <b>14</b> |
| <b>2. DUE DILIGENCE.....</b>                                | <b>14</b> |
| <b>3. TENDER DOCUMENT AND FEE .....</b>                     | <b>14</b> |
| <b>4. EARNEST MONEY DEPOSIT.....</b>                        | <b>15</b> |
| <b>5. REJECTION OF THE BID .....</b>                        | <b>16</b> |
| <b>6. PRE-BID MEETING.....</b>                              | <b>16</b> |
| <b>7. MODIFICATION AND WITHDRAWAL OF BIDS.....</b>          | <b>16</b> |
| <b>8. INFORMATION PROVIDED.....</b>                         | <b>17</b> |
| <b>9. CLARIFICATION OF OFFER .....</b>                      | <b>17</b> |
| <b>10. LATE BIDS.....</b>                                   | <b>17</b> |
| <b>11. ISSUE OF CORRIGENDUM.....</b>                        | <b>17</b> |
| <b>12. FOR RESPONDENT ONLY .....</b>                        | <b>17</b> |
| <b>13. DISCLAIMER .....</b>                                 | <b>17</b> |
| <b>14. MSME BIDDER .....</b>                                | <b>18</b> |

|            |   |           |
|------------|---|-----------|
| <b>15.</b> | <b><u>COSTS BORNE BY RESPONDENTS .....</u></b>                          | <b>18</b> |
| <b>16.</b> | <b><u>NO LEGAL RELATIONSHIP .....</u></b>                               | <b>19</b> |
| <b>17.</b> | <b><u>CANCELLATION OF TENDER PROCESS .....</u></b>                      | <b>19</b> |
| <b>18.</b> | <b><u>CORRUPT AND FRAUDULENT PRACTICES.....</u></b>                     | <b>19</b> |
| <b>19.</b> | <b><u>NON-TRANSFERRABLE OFFER.....</u></b>                              | <b>20</b> |
| <b>20.</b> | <b><u>ADDRESS OF COMMUNICATION .....</u></b>                            | <b>20</b> |
| <b>21.</b> | <b><u>PERIOD OF BID VALIDITY .....</u></b>                              | <b>20</b> |
| <b>22.</b> | <b><u>NO COMMITMENT TO ACCEPT LOWEST OR ANY BID .....</u></b>           | <b>20</b> |
| <b>23.</b> | <b><u>ERRORS AND OMISSIONS .....</u></b>                                | <b>20</b> |
| <b>24.</b> | <b><u>ACCEPTANCE OF TERMS .....</u></b>                                 | <b>20</b> |
| <b>25.</b> | <b><u>RFP RESPONSE.....</u></b>   | <b>21</b> |
| <b>26.</b> | <b><u>NOTIFICATION .....</u></b>  | <b>21</b> |
| <b>27.</b> | <b><u>ERASURES OR ALTERATIONS.....</u></b>                              | <b>21</b> |
| <b>28.</b> | <b><u>CLARIFICATIONS ON AND AMENDMENTS TO RFP DOCUMENT.....</u></b>     | <b>21</b> |
| <b>29.</b> | <b><u>LANGUAGE OF BIDS.....</u></b>                                     | <b>21</b> |
| <b>30.</b> | <b><u>AUTHORIZED SIGNATORY.....</u></b>                                 | <b>21</b> |
| <b>31.</b> | <b><u>SUBMISSION OF OFFER – THREE BID SYSTEM .....</u></b>              | <b>22</b> |
| <b>32.</b> | <b><u>ADOPTION OF INTEGRITY PACT.....</u></b>                           | <b>25</b> |
| <b>33.</b> | <b><u>PREFERENCE TO MAKE IN INDIA .....</u></b>                         | <b>26</b> |
| <b>34.</b> | <b><u>RESTRICTION ON PROCUREMENT DUE TO NATIONAL SECURITY .....</u></b> | <b>28</b> |
| <b>35.</b> | <b><u>OTHER TERMS AND CONDITIONS.....</u></b>                           | <b>30</b> |

|  |           |
|--|-----------|
| <b>PART – III.....</b>   | <b>32</b> |
| <b>BID OPENING AND EVALUATION CRITERIA .....</b>               | <b>32</b> |
| <b>1. STAGES OF EVALUATION.....</b>                            | <b>32</b> |
| <b>2. EVALUATION METHODOLOGY.....</b>                          | <b>32</b> |
| <b>PART – IV .....</b>   | <b>36</b> |
| <b>SCOPE OF WORK.....</b>                                      | <b>36</b> |
| <b>PART – V .....</b>  | <b>52</b> |
| <b>1. ORDER DETAILS .....</b>                                  | <b>52</b> |
| <b>2. DELIVERY, INSTALLATION, UAT AND PRODUCTION LIVE.....</b> | <b>52</b> |
| <b>3. CONTRACT PERIOD: .....</b>                               | <b>52</b> |
| <b>4. WARRANTY/AMC/ATS.....</b>                                | <b>53</b> |
| <b>5. PAYMENT TERMS .....</b>                                  | <b>53</b> |
| <b>6. UPTIME.....</b>  | <b>54</b> |
| <b>7. PENALTY .....</b>  | <b>54</b> |
| <b>8. LIQUIDATED DAMAGE .....</b>                              | <b>56</b> |
| <b>9. PAYING AUTHORITY .....</b>                               | <b>57</b> |
| <b>10. PERFORMANCE BANK GUARANTEE.....</b>                     | <b>57</b> |
| <b>11. PRICE VALIDITY .....</b>                                | <b>58</b> |
| <b>12. SINGLE POINT OF CONTACT.....</b>                        | <b>58</b> |
| <b>13. RIGHT TO ALTER QUANTITIES .....</b>                     | <b>58</b> |
| <b>14. PRELIMINARY SCRUTINY .....</b>                          | <b>58</b> |

|            |   |           |
|------------|---|-----------|
| <b>15.</b> | <b><u>AWARD OF CONTRACT</u></b> .....                               | <b>59</b> |
| <b>16.</b> | <b><u>TAXES</u></b> .....   | <b>59</b> |
| <b>17.</b> | <b><u>CONFIDENTIALITY AND SECRECY</u></b> .....                     | <b>60</b> |
| <b>18.</b> | <b><u>COMPLIANCE WITH LAWS</u></b> .....                            | <b>61</b> |
| <b>19.</b> | <b><u>FORCE MAJEURE</u></b> .....                                   | <b>62</b> |
| <b>20.</b> | <b><u>COMPLETENESS OF THE PROJECT</u></b> .....                     | <b>63</b> |
| <b>21.</b> | <b><u>ACCEPTANCE TESTING</u></b> .....                              | <b>63</b> |
| <b>22.</b> | <b><u>ORDER CANCELLATION</u></b> .....                              | <b>63</b> |
| <b>23.</b> | <b><u>INDEMNITY</u></b> .....                                       | <b>65</b> |
| <b>24.</b> | <b><u>PUBLICITY</u></b> .....                                       | <b>68</b> |
| <b>25.</b> | <b><u>PRIVACY AND SECURITY SAFEGUARDS</u></b> .....                 | <b>68</b> |
| <b>26.</b> | <b><u>TECHNOLOGICAL ADVANCEMENTS</u></b> .....                      | <b>68</b> |
| <b>27.</b> | <b><u>GUARANTEES</u></b> .....                                      | <b>68</b> |
| <b>28.</b> | <b><u>EXIT OPTION AND CONTRACT RE-NEGOTIATION</u></b> .....         | <b>69</b> |
| <b>29.</b> | <b><u>TERMINATION FOR CONVENIENCE</u></b> .....                     | <b>70</b> |
| <b>30.</b> | <b><u>TERMINATION FOR INSOLVENCY</u></b> .....                      | <b>70</b> |
| <b>31.</b> | <b><u>TERMINATION FOR DEFAULT</u></b> .....                         | <b>70</b> |
| <b>32.</b> | <b><u>CONSEQUENCES OF TERMINATION</u></b> .....                     | <b>71</b> |
| <b>33.</b> | <b><u>SIGNING OF CONTRACT</u></b> .....                             | <b>71</b> |
| <b>34.</b> | <b><u>TECHNICAL INSPECTION AND PERFORMANCE EVALUATION</u></b> ..... | <b>72</b> |
| <b>35.</b> | <b><u>VERIFICATION</u></b> .....                                    | <b>72</b> |

|            |  |                  |
|------------|--|------------------|
| <b>36.</b> | <b><u>DISPUTE RESOLUTION MECHANISM .....</u></b>                           | <b><u>72</u></b> |
| <b>37.</b> | <b><u>ARBITRATION .....</u></b>  | <b><u>73</u></b> |
| <b>38.</b> | <b><u>APPLICABLE LAW AND JURISDICTION OF COURT.....</u></b>                | <b><u>74</u></b> |
| <b>39.</b> | <b><u>LIMITATION OF LIABILITY .....</u></b>                                | <b><u>74</u></b> |
| <b>40.</b> | <b><u>AMENDMENT(S) IN THE CONTRACT / SERVICE LEVEL AGREEMENT .....</u></b> | <b><u>75</u></b> |
| <b>41.</b> | <b><u>SEVERABILITY .....</u></b>   | <b><u>75</u></b> |
| <b>42.</b> | <b><u>BLACKLISTING.....</u></b>  | <b><u>75</u></b> |
| <b>43.</b> | <b><u>NON-DISCLOSURE.....</u></b>  | <b><u>78</u></b> |
| <b>44.</b> | <b><u>NOTICES.....</u></b>   | <b><u>79</u></b> |
|            | <b><u>ANNEXURE-I.....</u></b>  | <b><u>80</u></b> |
|            | <b><u>ANNEXURE - II.....</u></b>   | <b><u>82</u></b> |
|            | <b><u>ANNEXURE – III .....</u></b>   | <b><u>83</u></b> |
|            | <b><u>ANNEXURE – IV .....</u></b>  | <b><u>84</u></b> |
|            | <b><u>ANNEXURE –V.....</u></b>   | <b><u>86</u></b> |
|            | <b><u>ANNEXURE –VI.....</u></b>  | <b><u>89</u></b> |
|            | <b><u>ANNEXURE-VII .....</u></b>   | <b><u>91</u></b> |
|            | <b><u>ANNEXURE - VIII .....</u></b>  | <b><u>92</u></b> |
|            | <b><u>ANNEXURE – IX.....</u></b>   | <b><u>93</u></b> |
|            | <b><u>ANNEXURE – X.....</u></b>  | <b><u>94</u></b> |
|            | <b><u>ANNEXURE –XI.....</u></b>  | <b><u>95</u></b> |
|            | <b><u>ANNEXURE – XII.....</u></b>  | <b><u>96</u></b> |

|                                     |                   |
|-------------------------------------|-------------------|
| <b><u>ANNEXURE - XIII .....</u></b> | <b><u>97</u></b>  |
| <b><u>ANNEXURE – XIV .....</u></b>  | <b><u>98</u></b>  |
| <b><u>ANNEXURE – XV .....</u></b>   | <b><u>104</u></b> |
| <b><u>ANNEXURE – XVI .....</u></b>  | <b><u>110</u></b> |
| <b><u>ANNEXURE – XVII .....</u></b> | <b><u>113</u></b> |
| <b><u>ANNEXURE – XIX .....</u></b>  | <b><u>123</u></b> |
| <b><u>ANNEXURE – XX .....</u></b>   | <b><u>124</u></b> |
| <b><u>ANNEXURE-XXI.....</u></b>     | <b><u>127</u></b> |
| <b><u>ANNEXURE – XXII .....</u></b> | <b><u>129</u></b> |
| <b><u>ANNEXURE-XXIII.....</u></b>   | <b><u>131</u></b> |
| <b><u>ANNEXURE-XXIV .....</u></b>   | <b><u>132</u></b> |
| <b><u>ANNEXURE – XXV .....</u></b>  | <b><u>134</u></b> |
| <b><u>ANNEXURE – XXVI.....</u></b>  | <b><u>135</u></b> |



### List of Annexures

| <b>Annexure No.</b> | <b>Subject</b>   |
|---------------------|--|
| <b>I</b>            | Tender Offer Forwarding Letter   |
| <b>II</b>           | General Details of The Bidder  |
| <b>III</b>          | Manufacturer Authorization Form (MAF)  |
| <b>IV</b>           | Format of Bank Guarantee (EMD)   |
| <b>V</b>            | Performa For Performance Bank Guarantee  |
| <b>VI</b>           | Undertaking For Non-Blacklisting / Non-Debarment Of The Bidder   |
| <b>VII</b>          | Undertaking To Abide By All By-Laws / Rules / Regulations  |
| <b>VIII</b>         | Undertaking Letter On The Selected Bidder's Letterhead For Central Minimum Wages Act & Labour Laws   |
| <b>IX</b>           | Undertaking Letter On The Vendor's Letterhead For GST Law  |
| <b>X</b>            | Undertaking For Price Validity & Acceptance Of All Terms & Conditions Of RFP   |
| <b>XI</b>           | Undertaking For No Deviation   |
| <b>XII</b>          | Certificate From Chartered Accountant (Signed & Stamped) Showing Company's Financial Position In Last 3 Years (Annual Turnover, Profit / Loss, Net worth Etc.) |
| <b>XIII</b>         | Format Of Pre-Bid Queries To Be Submitted By The Bidder(S)   |
| <b>XIV</b>          | Integrity Pact   |
| <b>XV</b>           | Non-Disclosure Agreement   |
| <b>XVI</b>          | Eligibility Compliance   |
| <b>XVII</b>         | Technical Requirement compliance   |
| <b>XVIII</b>        | Functional Requirement compliance  |
| <b>XIX</b>          | Technical Bill of Material   |
| <b>XX</b>           | Masked Commercial Bid  |
| <b>XXI</b>          | Commercial Bid   |
| <b>XXII</b>         | Compliance Chart   |
| <b>XXIII</b>        | Soft Copy Confirmation   |
| <b>XXIV</b>         | Self-Certificate For Local Content   |
| <b>XXV</b>          | Restriction On Procurement Due To National Security  |
| <b>XXVI</b>         | Details of Support Centres   |

### Bid Control Sheet

|   |  |
|---|--|
| <b>Tender Reference</b>   | <b>RFP Ref. No: UCO/DIT/1728/2022-23      Date: 30/09/2022</b>   |
| <b>Cost of Tender documents</b>                                 | <b>Rs.5,000/- (Rupees Five Thousand Only)</b>  |
| <b>Earnest Money Deposit</b>                                    | <b>Rs.5,00,000/- (Rupees Five Lac only, in the form of BG)</b>   |
| <b>Date of issue of RFP</b>                                     | <b>30/09/2022</b>  |
| <b>Date of commencement of sale of tender document</b>          | <b>30/09/2022</b>  |
| <b>Last date for submitting queries for the Pre-bid Meeting</b> | <b>10/10/2022 up to 12:00 PM</b>   |
| <b>Pre-Bid meeting /Venue</b>                                   | <b>10/10/2022 at 4:00 PM (Meeting would be done through Video-Conferencing)</b>  |
| <b>Last Date and Time for receipts of tender bids</b>           | <b>21/10/2022 at 04:00 PM</b>  |
| <b>Opening of technical bids</b>                                | <b>21/10/2022 at 04:30 PM</b>  |
| <b>Opening of Price Bid</b>                                     | <b>Will be informed subsequently to technically qualified bidders.</b>   |
| <b>Address of Communication</b>                                 | <b>Chief Manager (IT), Head Office-2<br/>Department of Information Technology<br/>7<sup>th</sup> Floor, 3 &amp; 4 DD Block, Sector -1<br/>Salt Lake, Kolkata-700 064</b>                     |
| <b>Email address</b>  | <b><a href="mailto:hodit.proc@ucobank.co.in">hodit.proc@ucobank.co.in</a></b>  |
| <b>Bids to be submitted</b>                                     | <b>Tender box placed at:<br/>UCO BANK, Head Office-2,<br/>Department of Information Technology<br/>7<sup>th</sup> Floor, 3 &amp; 4, DD Block, Sector – 1<br/>Salt Lake, Kolkata-700 064.</b> |

**Note:** Bids will be opened in presence of the bidders' representatives (maximum two representatives per bidder) who choose to attend. In case the specified date of submission & opening of Bids is declared a holiday in West Bengal under the NI act, the bids will be received till the specified time on next working day and will be opened at 04:30 PM. UCO Bank is not responsible for non-receipt of responses to RFP within the specified date and time due to any reason including postal holidays or delays. Any bid received after specified date and time of the receipt of bids prescribed as mentioned above, will not be accepted by the Bank. Bids once submitted will be treated as final and no further correspondence will be entertained on this. No bid will be modified after the specified date & time for submission of bids. No bidder shall be allowed to withdraw the bid.

## **PART – I**

### **1 Introduction**

UCO Bank, a body Corporate, established under The Banking Companies (Acquisition and Transfer of Undertakings) Act 1970, having its Head Office at 10, B.T.M. Sarani, Kolkata- 700001, India, hereinafter called “The Bank”, is one of the leading public sector Banks in India having more than 3200+ Domestic Branches, two Overseas Branches one each at Singapore & Hong Kong Centres, 2000+ ATM and 300+ Cash Recycler, 1000 + Passbook Printing Kiosk spread all over the country. All the branches of the Bank are CBS enabled through Finacle 10. x as a Core Banking Solution. Latest state of art i.e. E-Banking & M-Banking solution to facilitate our customers to fulfil their banking need 24\*7.

### **2 Overview or Objective**

UCO Bank is planning to invite Request for Proposal (RFP) for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules (Re-tendering).

### **3 Eligibility Criteria**

Only those Bidders, who fulfill the following all eligibilities criteria, are eligible to respond to this RFP. Offers received from the bidders who do not fulfill any of the following eligibility criteria are liable to be rejected.

| <b>Sl. No.</b> | <b>Criteria</b>  | <b>Proof of documents to be submitted</b>  |
|----------------|--|--|
| 1.             | <p>Bidder should be a limited company (Public/Private) registered in India under the Companies Act, 1956/2013 for the last 3 years as on RFP issuance date.</p> <p>Companies/Firm registered as MSME Entrepreneur &amp; still categorized as MSME as on RFP submission date.</p> <p>Bidder/Partner/Investor must adhere cross border sharing/FDI/FEMA and other regulatory guidelines of Govt. of India.</p> | <ol style="list-style-type: none"><li>1. Certificate of Incorporation issued by Registrar of Companies along with</li><li>2. Copies of Memorandum of Association</li><li>3. Copies of Articles of Association</li><li>4. Shareholding pattern</li><li>5. PAN, TAN, GSTIN Certificate and any other tax related document if applicable is required to be submitted along with the eligibility bid.</li></ol> <p>Registration from DIC, KVIB, NSIC, KVIC, DIHH, UAA or any other body specified by Ministry of MSME.</p> |

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|    |  | In case of partnership firms, Deed of Partnership should be submitted. Registration from DIC, KVIB, NSIC, KVIC, DIHH, UAA or any other body specified by Ministry of MSME.   |
| 2. | The bidder should be an OEM/OSD or their authorized representative in India. In case OEM participates in the tender process directly, authorized representative will not be permitted to participate in the same tender process. | An authorization letter from OEM/OSD (MAF) to this effect should be furnished as per <b>Annexure - III</b> .<br><br>This letter should specify that in case authorized representative is not able to perform obligations as per contract during contract period, the OEM would provide the same. |
| 3. | The bidder submitting the offer should have <b>positive net worth</b> in each of the last three financial years i.e. 2018-19, 2019-20 & 2020-21.   | Copy of the audited balance sheet, certificate from the Chartered Accountant (in case of Provisional Balance Sheet of the company showing profit, net-worth and turnover of the company for the consecutive last three financial years i.e. 2018-19, 2019-20 & 2020-21 should be submitted.      |
| 4. | The bidder should have minimum average annual turnover of Rs. 3 Crore per year during the last three financial years i.e. 2018-19, 2019-20 & 2020-21.  |  |
| 5. | The bidder should have successfully implemented Fund Management /Financial Management Solution/PFMS/CSS related application with any Schedule Commercial Banks in India during last 3 years as on RFP issuance date.             | Documentary evidence with relevant copies of Purchase Order/SLA along with Completion Certificates / Installation Reports / Project Sign-Offs, payment invoices including names of clients with Phone and Fax numbers, E-Mail IDs etc. should be submitted.                                      |
| 6. | Bidder should have implemented at least SNA Model 1 as per the Govt. norms in any Schedule commercial Bank in India and should be successfully running as on bid submission date.  | Certificate/Letter with Name, Designation from bank regarding Successful Implementation of Model-1 in Production.  |

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| 7.  | The Bidder should have dedicated team having expertise in implementation of SNA operations and related model as per the norms   | Self-declaration on Company's Letter head containing required details to be submitted. |
| 8.  | The OEM/Bidder should have support center in India preferably in Kolkata / Bangalore.   | Self-declaration on Company's Letter Head containing required details to be submitted. |
| 9.  | Bidder should not have been debarred / black-listed/ terminated /under termination/ issued any letter of termination by any Bank or RBI or any other regulatory authority or Financial Institutions in India as on date of RFP submission.  | Undertaking to that effect should be submitted as per Annexure-VI.                     |
| 10. | The service provider should ensure that there are no legal proceedings / inquiries / investigations have been commenced / pending / threatened against service provider by any statutory, regulatory, or investigative agencies or any other for which performance under the contract will get adversely affected / may get affected. | Self-declaration to this effect on the company's letterhead should be submitted.       |

**Note:** In this tender process either authorized representative / distributor / dealer in India on behalf of Principal OSD (Original Solution Developer) or Principal OSD itself can bid but both cannot bid simultaneously. In such case OSD bid will only be accepted. If an agent / distributor submits bid on behalf of the Principal OSD, the same agent / distributor shall not submit a bid on behalf of another Principal OSD in the same tender for the same item or product.

The service provider must comply with all above-mentioned criteria. Non-compliance of any of the criteria will entail rejection of the offer summarily. Documentary Evidence for compliance to each of the eligibility criteria must be enclosed along with the bid together with references. Undertaking for subsequent submission of any of the required document will not be entertained under any circumstances. However, UCO BANK reserves the right to seek clarifications on the already submitted documents. Non-compliance of any of the criteria will entail rejection of the offer summarily. Any decision of UCO BANK in this regard shall be final, conclusive and binding upon the service provider.

## **PART – II**

### **INVITATION FOR BIDS AND INSTRUCTIONS TO BIDDERS**

#### **1. Invitation for Bids**

This Request for Proposal (RFP) is to invite proposals from eligible bidders desirous of taking up the project for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models (Re-Tendering). Sealed offers / Bids (Bid) prepared in accordance with this RFP should be submitted as per details given in the Bid Control sheet. The criteria and the actual process of evaluation of the responses to this RFP and subsequent selection of the successful bidder will be entirely at Bank's discretion.

#### **2. Due Diligence**

The Bidder is expected to examine all instructions, forms, terms and specifications in this RFP and study the RFP document carefully. Bid shall be deemed to have been submitted after careful study and examination of this RFP with full understanding of its implications. The Bid should be precise, complete and in the prescribed format as per the requirement of this RFP. Failure to furnish all information required by this RFP or submission of a Bid not responsive to this RFP in each and every respect will be at the Bidder's own risk and may result in rejection of the Bid and for which UCO Bank shall not be held responsible.

#### **3. Tender document and Fee**

A complete set of tender document can be obtained from the following address during office hours on all working days on submission of a written application along with a non-refundable fee of **Rs. 5,000/- (Rupees Five Thousand Only)** in the form of Demand Draft or Banker's Cheque in favour of UCO BANK, payable at Kolkata.

The tender document may also be downloaded from the bank's official website [www.ucobank.com](http://www.ucobank.com). The bidder downloading the tender document from the website is required to submit a non-refundable fee of **Rs. 5,000/- (Rupees Five Thousand Only)** in the form of Demand Draft or Banker's Cheque in favor of UCO BANK, payable at Kolkata, or NEFT at the time of submission of the technical bid, failing which the bid of the concerned bidder will be rejected.

In case of bidders being an MSME under registration of any scheme of Ministry of MSME, they are exempted from the submission of EMD and the Tender Cost / Fee. A valid certificate in this regard issued by the Ministry of MSME has to be submitted.

**The Bank details are as below:**

| <b>Bank details for Tender Fee</b>  | <b>Address for Obtaining printed copy of RFP</b>  |
|---|---|
| Account Number-18700210000755<br>Account Name- M/s H O DIT<br>Branch- DD Block, Salt Lake branch<br>IFSC- UCBA0001870<br>MICR-700028138 | UCO Bank, Head office-II,<br>Department of Information Technology<br>7 <sup>th</sup> floor, 3&4 DD Block, Salt Lake City,<br>Sector-1, Kolkata – 700064<br>Ph. No.- 033- 4455 9433/9770 |

**4. EARNEST MONEY DEPOSIT**

The Bidder(s) must submit Earnest Money Deposit in the form of Bank Guarantee valid for a period of 6 months together with a claim period of 30 days in favour of UCO Bank payable at Kolkata for an amount mentioned hereunder:

| <b>Particulars of Job to be undertaken</b>   | <b>Earnest Money Deposit</b>                                     |
|--|--|
| <b>Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models (Re-tendering)</b> | <b>Rs. 5,00,000/- ( Rupees Five Lac only, in the form of BG)</b> |

Non-submission of Earnest Money Deposit will lead to outright rejection of the offer. The EMD of unsuccessful bidders will be returned to them on completion of the procurement process without any interest thereon. The EMD of successful bidder(s) will be returned to them on submission of Performance Bank Guarantee (s) either at the time of or before the execution of Service Level Agreement (SLA).

The Earnest Money Deposit may be forfeited under the following circumstances:

- a. If the bidder withdraws its bid during the period of bid validity (180 days from the date of opening of bid).
- b. If the bidder makes any statement or encloses any form which turns out to be false, incorrect and / or misleading at any time prior to signing of contract and/or conceals or suppresses material information; and / or
- c. The selected bidder withdraws his tender before furnishing on unconditional and irrevocable Performance Bank Guarantee.
- d. The bidder violates any of the provisions of the terms and conditions of this tender specification.
- e. In case of the successful bidder, if the bidder fails:
  - To sign the contract in the form and manner to the satisfaction of UCO BANK.
  - To furnish Performance Bank Guarantee in the form and manner to the satisfaction of UCO BANK either at the time of or before the execution of Service Level Agreement (SLA).



## **5. Rejection of the Bid**

The Bid is liable to be rejected if:

- i. The document doesn't bear signature of authorized person on each page signed and duly stamp.
- ii. It is received through E-mail.
- iii. It is received after expiry of the due date and time stipulated for bid submission.
- iv. Incomplete Bids, including non-submission or non-furnishing of requisite documents / Conditional Bids/ deviation of terms & conditions or scope of work/ incorrect information in bid / Bids not conforming to the terms and conditions stipulated in this Request for proposal (RFP) are liable for rejection by the Bank.
- v. Bidder should comply with all the points mentioned in the RFP. Non-compliance of any point will lead to rejection of the bid.
- vi. Any form of canvassing/lobbying/influence/query regarding short listing, status etc. will be a disqualification.
- vii. The bidder submits Incomplete Bids, including non-submission or non-furnishing of requisite documents / Conditional Bids / Bids not conforming to the terms and conditions stipulated in this Request for proposal (RFP).
- viii. Non-submission of Integrity Pact on stamp paper on bid submission date as per format given in Annexure – XIV.

## **6. Pre-Bid Meeting**

The queries for the Pre-bid Meeting should reach us in writing or by email on or before the date mentioned in the Bid Control Sheet by e-mail to [hodit.proc@ucobank.co.in](mailto:hodit.proc@ucobank.co.in) . It may be noted that no query from any bidder shall be entertained or received after the above mentioned date. Queries raised by the prospective bidder and the Bank's response will be hosted at Bank's web site. No individual correspondence will be accepted in this regard.

Only authorized representatives of bidder will be allowed to attend the Pre-bid meeting. Pre-Bid queries raised by the bidder(s) are to be submitted in the format given in Annexure-XIII.

## **7. Modification and Withdrawal of Bids**

No bid can be modified by the bidder subsequent to the closing date and time for submission of bids. In the event of withdrawal of the bid by successful bidders, the EMD will be forfeited by the bidder.



## **8. Information provided**

The RFP document contains statements derived from information that is believed to be reliable at the date obtained but does not purport to provide all of the information that may be necessary or desirable to enable an intending contracting party to determine whether or not to enter into a contract or arrangement with Bank in relation to the provision of services. Neither Bank nor any of its employees, agents, contractors, or advisers gives any representation or warranty, express or implied as to the accuracy or completeness of any information or statement given or made in this RFP document.

## **9. Clarification of offer**

To assist in the scrutiny, evaluation and comparison of offers/bids, UCO Bank may, at its sole discretion, ask some or all bidders for clarification of their offer/bid. The request for such clarifications and the response will necessarily be in writing and no change in the price or substance of the bid shall be sought, offered or permitted. Any decision of UCO Bank in this regard shall be final, conclusive and binding on the bidder.

## **10. Late Bids**

Any bid received by the Bank after the deadline (Date and Time mentioned in Bid Details table / Pre Bid / subsequent addendum / corrigendum) for submission of bids, will be rejected and / or returned unopened to the bidder.

## **11. Issue of Corrigendum**

At any time prior to the last date of receipt of bids, Bank may, for any reason, whether at its own initiative or in response to a clarification requested by a prospective bidder, modify the RFP document by a Corrigendum. Any such corrigendum shall be deemed to be incorporated into this RFP.

## **12. For Respondent only**

The RFP document is intended solely for the information to the party to whom it is issued ("the Recipient" or "the Respondent") and no other person or organization.

## **13. Disclaimer**

Subject to any law to the contrary, and to the maximum extent permitted by law, Bank and its officers, employees, contractors, agents, and advisers disclaim all liability from any loss or damage (whether foreseeable or not) suffered by any person acting on or refraining from acting because of any information, including forecasts, statements, estimates, or projections contained in this RFP document or

conduct ancillary to it whether or not the loss or damage arises in connection with any negligence, omission, default, lack of care or misrepresentation on the part of Bank or any of its officers, employees, contractors, agents, or advisers.

#### **14. MSME bidder**

As per recommendations of GOI, Bank has decided to waive off EMD and tender cost for NSIC registered MSME entrepreneurs.

- i. Exemption from submission of EMD and Tender Fee / Cost shall be given to bidders who are Micro, Small & Medium Enterprises (MSME) and registered under provisions of the Policy i.e. registration with District Industries Centre (DIC) or Khadi and Village Industries Commission (KVIC) or Khadi and Industries Board (KVIB) or Coir Board or National Small Industries Commission (NSIC) or directorate of Handicrafts and Handlooms or Udyog Aadhaar Memorandum or any other body specified by Ministry of MSME. Bids received without EMD and tender cost from bidders not having valid NSIC registered documents for exemption will not be considered.
- ii. To qualify for EMD & Tender Fee / Cost exemption, firms should necessarily enclose a valid copy of registration certificate which is valid on last date of submission of the tender documents. MSME firms who are in the process of obtaining registration will not be considered for EMD & Tender Fee / Cost exemption. (Traders are excluded who are engaged in trading activity without value addition / branding / packing. In such a case they will have to submit EMD and Tender Cost).
- iii. MSME bidder has to submit a self-declaration accepting that if they are awarded the contract and they fail to sign the contract or to submit a Performance Bank Guarantee before the deadline defined by the Bank, they will be suspended for a period of three years from being eligible to submit bids for contracts with the Bank.
- iv. Bids received without EMD for bidders not having valid NSIC registered documents for exemption will not be considered. Bids received without EMD for bidders not having valid registration documents for exemption will not be considered. However, Performance Bank Guarantee has to be submitted by the bidder under any circumstance.

#### **15. Costs borne by Respondents**

All costs and expenses incurred by Recipients / Respondents in any way associated with the development, preparation, and submission of responses, including but not limited to attendance at meetings, discussions, demonstrations, etc. and providing any additional information required by Bank, will be borne entirely and exclusively by the Recipient / Respondent.

## **16. No Legal Relationship**

No binding legal relationship will exist between any of the Recipients / Respondents and Bank until execution of a contractual agreement.

## **17. Cancellation of Tender Process**

- a.** UCO BANK reserves the right to accept or reject in part or full any or all offers at its sole discretion at any stage without assigning any reason thereof and without any cost or compensation therefor. Any decision of UCO Bank in this regard shall be final, conclusive and binding upon the bidders.
- b.** The Bank reserves the right to accept or reject any Bid in part or in full, and to cancel the Bidding process and reject all Bids at any time prior to contract award, without thereby incurring any liability to the affected Bidder or Bidders or any obligation to inform the affected Bidder or Bidders of the grounds for Bank's action.

## **18. Corrupt and Fraudulent Practices**

As per Central Vigilance Commission (CVC) directives, it is required that Bidders / Suppliers / Contractors observe the highest standard of ethics during the procurement and execution of such contracts in pursuance of this policy:

"Corrupt Practice" means the offering, giving, receiving or soliciting of anything of values to influence the action of an official in the procurement process or in contract execution

**AND**

"Fraudulent Practice" means a misrepresentation of facts in order to influence a procurement process or the execution of contract to the detriment of the Bank and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Bank of the benefits of free and open competition.

The Bank reserves the right to reject a proposal for award if it determines that the bidder recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question.

The Bank reserves the right to declare a firm ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the firm has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

## **19. Non-Transferrable Offer**

This Request for Proposal (RFP) is not transferable. Only the bidder who has purchased this document in its name or submitted the necessary RFP price (for downloaded RFP) will be eligible for participation in the evaluation process.

## **20. Address of Communication**

Offers / bid should be addressed to the address given in bid control sheet.

## **21. Period of Bid Validity**

Bids shall remain valid for **180 (One Hundred and Eighty)** days after the date of bid opening prescribed by UCO BANK. UCO BANK holds the rights to reject a bid valid for a period shorter than 180 days as non-responsive, without any correspondence. In exceptional circumstances, UCO BANK may solicit the Bidder's consent to an extension of the validity period. The request and the response thereto shall be made in writing. Extension of validity period by the Bidder should be unconditional and irrevocable. The EMD provided shall also be suitably extended. A bidder acceding to the request will neither be required nor be permitted to modify its bid. A bidder may refuse the request without forfeiting its EMD. In any case the EMD of the bidders will be returned after completion of the process.

## **22. No Commitment to accept Lowest or any Bid**

UCO Bank shall be under no obligation to accept the lowest or any other offer received in response to this RFP and shall be entitled to reject any or all offers including those received late or incomplete offers without assigning any reason whatsoever. UCO Bank reserves the right to make any changes in the terms and conditions of purchase. UCO Bank will not be obliged to meet and have discussions with any vendor, and or to listen to any representations.

## **23. Errors and Omissions**

Each Recipient should notify Bank of any error, omission, or discrepancy found in this RFP document in the form of pre-bid queries within the time as given in control sheet.

## **24. Acceptance of Terms**

A Recipient will, by responding to Bank RFP, be deemed to have accepted the terms as stated in the RFP.

## **25. RFP Response**

If the response to this RFP does not include the information required or is incomplete or submission is through Fax mode or through e-mail, the response to the RFP is liable to be rejected.

All submissions will become the property of Bank. Recipients shall be deemed to license, and grant all rights to, Bank to reproduce the whole or any portion of their submission for the purpose of evaluation, to disclose the contents of the submission to other Recipients who have registered a submission and to disclose and/or use the contents of the submission as the basis for any resulting RFP process, notwithstanding any copyright or other intellectual property right that may subsist in the submission or Banking documents.

## **26. Notification**

Bank will notify the Respondents in writing as soon as possible about the outcome of the RFP evaluation process, including whether the Respondent's RFP response has been accepted or rejected. Bank is not obliged to provide any reasons for any such acceptance or rejection.

## **27. Erasures or Alterations**

The Bid should contain no alterations, erasures or overwriting except as necessary to correct errors made by the Bidder, in which case corrections should be duly stamped and initialed / authenticated by the person/(s) signing the Bid.

## **28. Clarifications on and Amendments to RFP document**

Prospective bidders may seek clarification on the RFP document by letter/fax/e-mail till the date mentioned in the bid control sheet. Further, at least 7 days' time prior to the last date for bid-submission, the Bank may, for any reason, whether at its own initiative or in response to clarification(s) sought from prospective bidders, modify the RFP contents by amendment. Clarification /Amendment, if any, will be notified on Bank's website.

## **29. Language of Bids**

The bid as well as all correspondence and documents relating to the bid exchanged by the bidder and the Bank shall be in English language only.

## **30. Authorized Signatory**

The bid shall be signed by a person or persons duly authorized by the Bidder with signature duly attested. In the case of a body corporate, the bid shall be signed by person who is duly authorized by the Board of Directors / Competent Authority of the bidder or having Power of Attorney.

The selected bidder shall indicate the authorized signatories who can discuss, sign negotiate, correspond and any other required formalities with the bank, with regard to the obligations. The selected bidder shall submit, a certified copy of the resolution of their Board certified by Company Secretary along with Power of Attorney duly stamped, authorizing an official or officials of the company to discuss, sign with the Bank, raise invoice and accept payments and also to correspond.

**The bidder shall furnish proof of signature identification for above purposes as required by the Bank.**

### **31. Submission of Offer – Three Bid System**

UCO Bank will follow Three Bid System i.e. Separate Eligibility Bid – containing Eligibility Information, Tender Fee, Earnest Money Deposit OR certificate from Ministry of MSME (wherever required) and Integrity Pact (**as per Annexure – XIV**), Technical Bid – containing Technical Information and Commercial Bid – containing Price Information along with the soft copies duly sealed and super-scribed as – **RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)** (Eligibility Bid), – **RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)** (Technical Bid) and – **RFP Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)** (Commercial Bid) should be put in a single sealed outer cover duly sealed and super-scribed as – **RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)** as per the bid details given in the RFP.

Eligibility evaluation would be completed first followed by Technical & Functional evaluation. Thereafter, Price Information (Commercial Bid) of the eligible & technically qualified bidders will be opened and Lowest Bidder (L1) will be selected based on the Total Amount quoted in the commercial bid. The bids (along with soft copy preferably in non-optical drives) shall be dropped/submitted at UCO Bank 's address given in Bid Control Sheet Table, on or before the date specified therein.

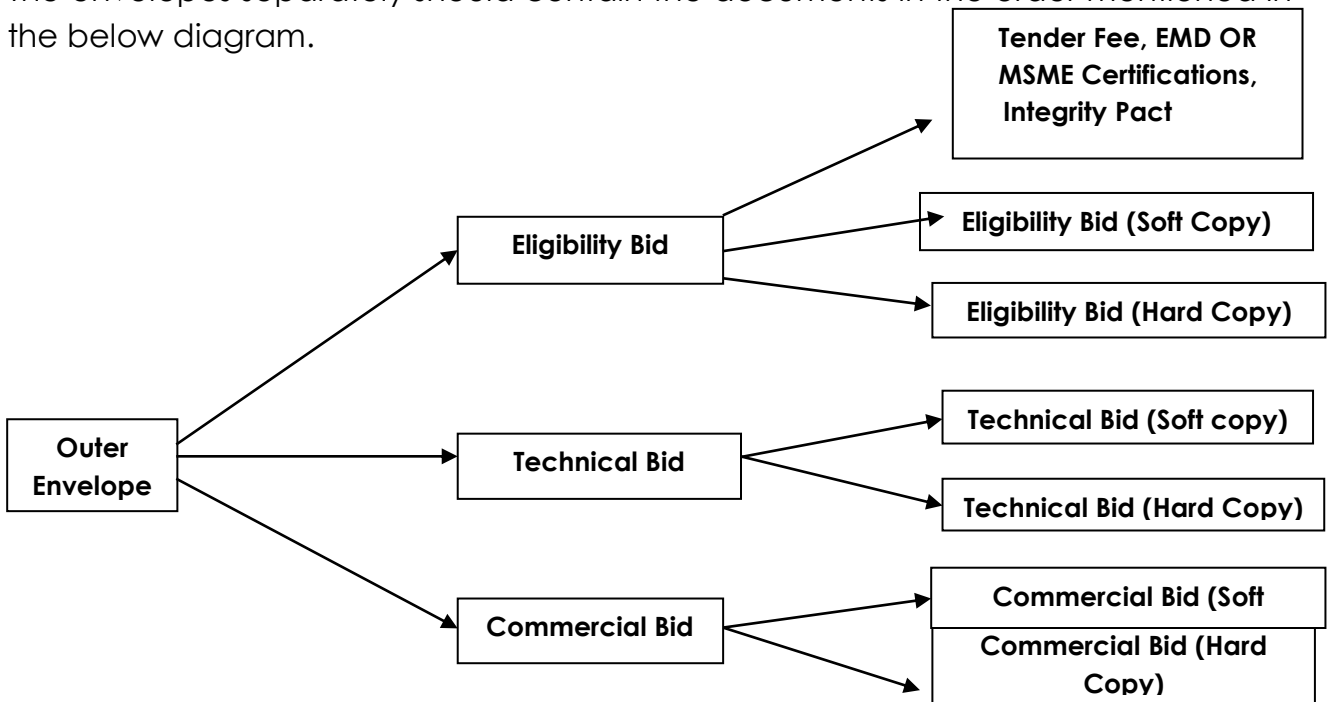
All envelopes must be super-scribed with the following information:

- Name of the Bidder
- Offer Reference
- Type of Offer (Eligibility or Technical or Commercial)

The Eligibility and Technical Offers should be complete in all respects and contain all information asked for, in the exact format of eligibility and technical specifications given in the RFP, except prices along with the Tender Fee, Earnest Money Deposit in the form of Bank Guarantee and the Integrity Pact on a non-judicial stamp paper of requisite value (**as per Annexure – XIV**). Tender Offer forwarding letter prescribed in **Annexure – I** should be submitted with the Eligibility / Technical Offer. The Eligibility and Technical offers must not contain any price information. UCO BANK, at its sole discretion, may not evaluate Eligibility or Technical Offer in case of non-submission or partial submission of eligibility or technical details. Any decision of UCO BANK in this regard shall be final, conclusive and binding upon the bidder. The Technical bid should have **documentary proof in support of Eligibility Criteria and Annexures**.

The entire RFP, Pre-bid responses along with all the Addenda and Corrigenda should be stamped & signed by the authorized signatory of the participating bidder and to be submitted to the Bank with all other documents as part of the Eligibility bid.

The envelopes separately should contain the documents in the order mentioned in the below diagram.





The Commercial Offer (Hard Copy) should contain all relevant price information as per **Annexure – XXI**.

**All pages and documents in individual bids should be numbered as page no. – (Current Page No.) of page no – (Total Page No.) and should contain tender reference no. and Bank 's Name.**

**Note:**

- a. The Technical bid should be complete in all respects and contain all information asked for, except prices. The documentary proof in support of all Eligibility Criteria should be submitted along with Eligibility Bid.
- b. One Separate envelope containing Tender Fee, EMD and Pre-Contract Integrity Pact should invariably be placed in Eligibility Bid envelope.
- c. If commercial bid is not submitted in a separate sealed envelope duly marked as mentioned above, this will constitute grounds for declaring the bid non-responsive.
- d. Bidders to submit a **masked commercial Bid** i.e. by hiding price commercial bid as per Annexure – XX with technical bid envelope to be submitted.
- e. If any inner cover / envelop of a bid is found to contain Eligibility/ Technical & Commercial Bids together then that bid will be rejected summarily.
- f. The Bank reserves the right to resort to re-tendering without providing any reason whatsoever. The Bank shall not incur any liability on account of such rejection.
- g. Canvassing of any kind or Bid submitted with false information will be a disqualification.
- h. The bidder is required to guarantee that exchange rate fluctuations, changes in import duty and other taxes will not affect the Rupee value of the commercial bid over the price validity period.
- i. Prices quoted by the Bidder shall be in Indian Rupees, firm and not subject to any price escalation, if the order is placed within the price validity period.
- j. Further, subsequent to the orders being placed, the Bidder shall pass on to Bank all fiscal benefits arising out of reductions in Government levies /taxes.
- k. The Bank reserves the right to modify any terms, conditions and specifications of this request for submission of offer and to obtain revised bids from the bidders with regard to such changes. The Bank reserves the right to accept or reject any bid.
- l. Printed literature (for specific product and version numbers) describing configuration and functionality should be provided to the Bank for the products proposed to be supplied for the project. It should be noted that the product proposed will have to be supplied with all the software updates/fixes, if any, and associated documents. The bidder shall not quote for the products,



whose End of sale/ End of Support/End of License has been declared by the OSD.

- m. The Bank reserves the right to resort to re-tendering without providing any reason whatsoever. The Bank shall not incur any liability on account of such rejection.
- n. Bids once submitted shall not be returned to the Bidder in future.
- o. The selected bidder must adhere to the terms of this RFP document.

### **32. Adoption of Integrity Pact**

UCO Bank has adopted practice of Integrity Pact (IP) as per CVC guidelines. The Integrity Pact essentially envisages an agreement between the prospective vendors / bidders / sellers, who commit themselves to Integrity Pact (IP) with the Bank, would be considered competent to participate in the bidding process. In other words, entering into this pact would be the preliminary qualification. In case of bids for the purchase of Goods, Services, and Consultancy etc. not accompanied with signed IP by the bidders along with the technical bid, the offers shall be summarily rejected. The essential ingredients of the Pact include:

- i. Promise on the part of the principal not to seek or accept any benefit, which is not legally available.
- ii. Principal to treat all bidders with equity and reason
- iii. Promise on the part of bidders not to offer any benefit to the employees of the Principal not available legally
- iv. Bidders not to enter into any undisclosed agreement or understanding with other bidders with respect to prices, specifications, certifications, subsidiary contract etc.
- v. Bidders not to pass any information provided by the Principal as part of business relationship to others and not to commit any offence under PC/IPC Act.
- vi. Foreign bidders to disclose the name and address of agents and representatives in India and Indian Bidders to disclose their foreign principals or associates.
- vii. Bidders to disclose any transgressions with any other company that may impinge on the anti-corruption principle.
- viii. For Joint Ventures (JV) entities, Integrity Pact should be signed by all partners.
- ix. In case of foreign contractor, details of their Indian counterpart should be submitted to Bank by the vendor.

Integrity Pact, in respect of a particular contract, shall be operative from the date IP is signed by both the parties till the final completion of the contract. Any

violation of the same would entail disqualification of the bidders and exclusion from future business dealings. IP shall cover all phases of contract i.e. from the stage of Notice Inviting Tenders (NIT)/Request for Proposals (RFP) till the conclusion of the contract i.e. final payment or the duration of warranty/guarantee. Format of IP is attached as **Annexure – XIV** for strict compliance.

The following Independent External Monitors (IEMs) have been appointed by UCO Bank, who will review independently and objectively, whether and to what extent parties have complied with their obligation under the pact.

**1. Shri Ranjan S Katoch**

A-91, Alkapuri,  
Bhopal, MP- 462022  
email: [rkatoch@nic.in](mailto:rkatoch@nic.in)

**2. Shri Hare Krushna Dash**

House.No.829,Sector 8  
Gandhinagar- 382007, Gujarat  
email: [hkdash184@hotmail.com](mailto:hkdash184@hotmail.com)

**All pages of Integrity Pact (IP) must be signed and stamped Integrity Pact (IP) should be deposited with IT Department undertaken procurement at the address mentioned along with RFP document.**

**33. Preference to Make in India**

The policy of the Govt. of India to encourage “Make in India” and promote manufacturing and production of goods and services in India, “Public Procurement (Preference to Make in India), Order 2017 and the revised order issued vide GOI, Ministry of Commerce and Industry, Department for Promotion of Industry and Internal trade, vide Order No. P-45021/2/2017-PP (BEII) dated 04.06.2020 will be applicable for this tender.

**Purchase Preference:** In procurement of all goods, services or works in respect of which Nodal Ministry/Department has communicated that there is sufficient local capacity and local competition, only “Class-I Local Supplier”, as defined under the order, shall be eligible to bid irrespective of purchase value.

In procurements of goods or works which are divisible in nature, the “Class-I Local Supplier” shall get purchase preference over “Class-II Local Supplier” as well as “Non-Local Supplier”, as per following procedure:

- Among all qualified bids, the lowest bid will be termed as L1. If L1 is 'Class-I local supplier', the contract for full quantity will be awarded to L1.

- If L1 bid is not a 'Class-I local supplier', 50% of the order quantity in toto shall be awarded to L1. Thereafter, the lowest bidder among the 'Class-I local supplier', will be invited to match the L1 price subject to Class-I local supplier's quoted price falling within the margin of purchase preference, and the contract for that quantity shall be awarded to such 'Class-I local supplier' subject to matching the L1 price for remaining quantity and so on , and contract shall be awarded accordingly. In case some quantity is still left uncovered on Class-I local suppliers then such balance quantity may also be ordered on the L1 bidder.

In procurement of goods or works which are not divisible in nature and in procurement of services where the bid is evaluated on price alone, the 'Class-I local supplier' shall get Purchase Preference over 'Class-II Local Supplier' as well as 'Non Local Supplier' as per following procedure:

- Among all qualified bids, the lowest bid will be termed as L1. If L1 is 'Class – I Local Supplier ', the contract will be awarded to L1.
- If L1 is not 'Class –I Local Supplier, the lowest bidder among the 'Class-I Local Supplier ', will be invited to match the L1 price subject to Class –I local suppliers quoted price falling with in the margin of purchase preference and the contract shall be awarded to such 'Class -I Local Supplier' subject to matching the L1 price.
- In case such lowest eligible 'Class-I local supplier' fails to match the L1 price, the 'Class-I local supplier ' with the next higher bid within the margin of Purchase Preference shall be invited to match the L1 price and so on and contract shall be awarded accordingly. In case of none of the 'Class-I Local Supplier' within the margin of Purchase Preference matches the L1 price, the contract may be awarded to the L1 bidder.
- "Class-II local supplier" will not get purchase preference in any procurement, undertaken by procuring entities.

### **33.1 For the purpose of Preference to Make in India, Order 2017 (PPP-MII Order):**

- "Local content" means the amount of value added in India which shall be the total value of the item procured (excluding net domestic indirect taxes) minus the value of imported content in the item (including all customs duties) as a proportion of the total value, in percent.
- "**Class-I Local supplier**" means a supplier or service provider whose product or service offered for procurement has local content equal to or more than 50%.
- "**Class-II local supplier**" means a supplier or service provider, whose goods, services or works offered for procurement, has local content more than 20% but less than 50%.

- “**Non-Local Supplier**” means a supplier or service provider , whose goods , services or works offered for procurement , has local content less than or equal to 20%.
- “**Margin of purchase preference**” means the maximum extent to which the price quoted by a local supplier may be above the L1 for the purpose of purchase preference. The margin of purchase preference shall be 20%.

### **33.2 VERIFICATION OF LOCAL CONTENT:**

- a. The ‘Class-I local supplier’/’Class-II Local Supplier’ at the time of tender, bidding or solicitation shall be required to indicate percentage of local content and provide self –certification that the item offered meets the local content requirement for ‘Class –I Local Supplier’/’Class –II Local Supplier’, as the case may be. They shall also give details of the location(s) at which the local value addition is made.
- b. In case of procurement for a value in excess of Rs 10 Crores , the ‘Class-I Local Supplier/ ‘Class-II Local Supplier ‘ shall be required to provide a certificate from the statutory auditor or cost auditor of the company (in case of companies) or from a practicing cost accountant or practicing chartered accountant (in respect of suppliers other than companies) giving the percentage of local content.



The local supplier at the time of submission of bid shall be required to provide a certificate as per **Annexure-XXIV** from the statutory auditor or cost auditor of the company (in the case of companies) or from a practicing cost accountant or practicing chartered accountant (in respect of suppliers other than companies) giving the percentage of local content

The Bank shall follow all the guidelines/notifications for public procurement.

### **34. Restriction on Procurement Due to National Security**

Any bidder from a country sharing a land border with India will be eligible to bid in this tender only if the bidder is registered with the Department for Promotion of Industry and Internal Trade (DPIIT). A copy of the valid Certificate to be attached with the Bid. Certificate as per the Annexure to be attached along with the Bid. Vide Ministry of Finance OM No. 6/18/2019-PPD dated 23rd July 2020.

Reference is made to Government of India order F. No. 7/86/2020/BOA-I dated 07.08.2020 on restrictions on procurements from bidders from a country or countries, on grounds of defence in India, or matters directly or indirectly, related thereto, including national security.

- i. Any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority.
- ii. "Bidder" (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or company, including any member of a consortium or joint venture (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process.
- iii. "Bidder from a country which shares a land border with India" for the purpose of this Order means: -
  - a. An entity incorporated, established or registered in such a country; or
  - b. A subsidiary of an entity incorporated, established or registered in such a country; or
  - c. An entity substantially controlled through entities incorporated, established or registered in such a country; or
  - d. An entity whose beneficial owner is situated in such a country; or
  - e. An Indian (or other) agent of such an entity; or
  - f. A natural person who is a citizen of such a country; or
  - g. A consortium or joint venture where any member of the consortium or joint venture falls under any of the above
- iv. The beneficial owner for the purpose of (iii) above will be as under:

In case of a company or Limited Liability Partnership, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means.

**Explanation:**

- a. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five per cent. of shares or capital or profits of the company;
- b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;
  1. In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;

2. In case of an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals;
  3. Where no natural person is identified under (1) or (2) or (3) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
  4. In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- v. An Agent is a person employed to do any act for another, or to represent another in dealings with third person.

The successful bidder shall not be allowed to sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority.

### **35. Other Terms and Conditions**

- a. **Cost of preparation and submission of bid document:** The bidder shall bear all costs for the preparation and submission of the bid. UCO Bank shall not be responsible or liable for reimbursing/compensating these costs, regardless of the conduct or outcome of the bidding process.
- b. The Bank reserves the right to modify any terms, conditions and specifications of this request for submission of offer and to obtain revised bids from the bidders with regard to such changes. The Bank reserves its right to negotiate with any or all bidders. The Bank reserves the right to accept any bid in whole or in part.
- c. The Bank reserves the right to reject any or all offers based on its own evaluation of the offers received, or on the basis of stability, capabilities, track records, reputation among users and other similar features of a bidder. When the Bank makes any such rejection, the Bank will not be bound to give any reason and/or justification in this regard to the bidder. The Bank further reserves the right to reject any or all offers or cancel the whole tendering process due to change in its business requirement.

- d. Response of the Bid:** The Bidder should comply all the terms and conditions of RFP.
- e.** The bidder is solely responsible for any legal obligation related to licenses during contract period for the solution proposed and Bidder shall give indemnity to that effect.
- f.** UCO Bank shall be under no obligation to accept the lowest or any other offer received in response to this offer notice and shall be entitled to reject any or all offers without assigning any reason whatsoever. UCO Bank has the right to re-issue tender/bid. UCO Bank reserves the right to make any changes in the terms and conditions of purchase that will be informed to all bidders. UCO Bank will not be obliged to meet and have discussions with any bidder, and / or to listen to any representations once their offer/bid is rejected. Any decision of UCO Bank in this regard shall be final, conclusive and binding upon the bidder.





## **PART – III**

### **BID OPENING AND EVALUATION CRITERIA**

#### **1. Stages of Evaluation**

There would be a three (3) stage evaluation process.

The stages are:

- I) Eligibility Criteria Evaluation
- II) Technical Evaluation
- III) Commercial Evaluation

The Eligibility Criteria would be evaluated first for the participating bidders. The bidders, who qualify all Eligibility Criteria as mentioned in clause 2.1, will be shortlisted for the Technical bid evaluation. A detailed technical evaluation would be undertaken for eligible bidders and only the technically qualified bidders would be shortlisted for commercial opening.

The Bank will open the eligibility and technical bids, in presence of bidders' representative(s) who choose to attend, at the time and date mentioned in Bid document on the date and venue mentioned in control sheet. The bidder's representatives who will be present shall sign the register evidencing their presence / attendance.

#### **2. Evaluation Methodology**

The objective of evolving this evaluation methodology is to facilitate the selection of the most cost-effective solution (Total Cost of Ownership) over contract period that appropriately meets the requirements of the Bank.

##### **2.1 Eligibility Evaluation**

The Bank will evaluate the technical response to the RFP of bidder who are found eligible as per the eligibility criteria mentioned in the RFP.

##### **2.2 Technical & Functional Evaluation**

- a. The proposals will be evaluated in three stages. In the first stage, i.e. Eligibility Evaluation as mentioned in Annexure – XVI. In the second stage, Technical Evaluation and Functional as mentioned in Annexures – XVII & Annexures – XVIII, the bidders will be shortlisted, based on their responses. In the third stage, the commercial bids of the eligible & technically qualified bidders would be opened and evaluated.



- b. The Bank will evaluate the technical responses of the bidders who are found eligible as per the eligibility criteria mentioned in the RFP. There will be no scoring involved in the eligibility evaluation of the bidders.
- c. During the period of evaluation, bidders may be asked to provide more details and explanations about information provided in the proposals. Bidders should respond to such requests within the time frame indicated in the letter / e-mail seeking clarification / explanation.

Technical and Functional bid evaluation methodology that UCO Bank would adopt is given below:

- The requirements are given in Annexure –XVII and Annexure –XVIII
- UCO Bank may, at its discretion, waive off any minor non-conformity or any minor irregularity in an offer/bid. This shall be final, conclusive and binding on all bidders and UCO Bank reserves the right for such waivers.
- The bidders should provide their response ('Y' or 'N') to the questionnaire in the column "Compliance" in Annexures – XVII and Annexure –XVIII
- The Responses should be as per the table below.

| <b>Response</b> | <b>Description</b> |
|-----------------|--------------------|
| Y               | Yes, Available     |
| N               | No, Not Available  |

- If any bidder provides response other than 'Y' or 'N' the same will be treated as Not Available i.e. 'N'.
- Bidder should comply with all the requirements given in Annexures – XVII and Annexure –XVIII . Non-compliance to any of the requirement in Annexures – XVII and Annexure –XVIII may attract rejection of the proposal
- As a part of Evaluation Methodology , Bank may ask the bidders for following:
  - Technical Presentation of the Proposed Solutions
  - Product Demo of Proposed Solutions
  - Site visit if required.

Any expenses to be incurred towards the above activity as mentioned above need to be borne by the bidder. Bank will not reimburse any cost to be incurred towards the same to the bidder. However, for the site visit bidder's role is limited for arranging and getting permission for site visit only.

## 2.3 Commercial Evaluation

The commercial bids of only technically qualified bidders will be opened and evaluated by the Bank and the evaluation will take into account the following factors:

- a. The Bill of Material must be attached in Technical Bid as well as Commercial Bid. The format will be identical for both Technical Bid and Commercial Bid, except that the Technical bid should not contain any price information (with Prices masked). Technical bid without masked Bill of Materials will be liable for rejection. Any deviations from the Bill of Material / non-submission of prices as per the format shall make the bid liable for rejection.
- b. The L1 bidder will be selected on the basis of the amount quoted for the proposed solution quoted by them as per commercial bid. However, in case of any discrepancy in calculation, unit cost for components & services shall prevail and TCO will be calculated accordingly.
- c. The optimized TCO (Total Cost of Ownership) identified in the commercial bid would be the basis of the entire outflow of the Bank for undertaking the scope of work.
- d. In case there is a variation between figure and words, the value mentioned in words will be considered.
- e. In the event the vendor has **not quoted / not mentioned / left blank** item(s) in the commercial bid, for evaluation purposes the highest value for that item(s) among other submitted bids would be used to calculate the TCO, based on which the L1 bidder will be selected. However, for the purposes of payment and finalization of the contract, **the value of zero cost** in the unfilled item(s) would be used.

### 3. Normalization of bids:

The Bank has discretion to go through a process of Eligibility evaluation followed by the technical evaluation and normalization of the bids to the extent possible to ensure that eligible bidders are more or less on the same technical ground. After the normalization process, if the Bank feels that any of the bids needs to be normalized and that such normalization has a bearing on the price bids; the Bank may at its discretion ask all the eligible bidders to re-submit the technical and commercial bids once again for scrutiny.

The resubmissions can be requested by the Bank in the following two manners:

- Incremental bid submission in part of the requested clarification by the Bank.
- Revised submissions of the entire bid in the whole.

The Bank can repeat this normalization process at every stage of bid submission or till the Bank is satisfied. The eligible bidder/s have to agree that they have no reservation or objection to the normalization process and all the technically short listed bidders will, by responding to this RFP, agree to participate in the normalization process and extend their co-operation to the Bank during this process. The shortlisted bidder/s, by submitting the response to this RFP, agrees to the process and conditions of the normalization process.



## **PART – IV**

### **SCOPE OF WORK**

Supply, Installation of required hardware, database & software with respect to the Implementation of Single Nodal Account (**SNA**) transaction processing system in Bank, subsequent integration with Core Banking System for transaction processing along with PFMS integration & onsite FM support service.

The Single Nodal Account (**SNA**) transaction processing system should support the Solution for Central Sponsor Scheme (CSS) as per the Government of India, Guidelines for all SNA, CNA operations and subsequent integration with PFMS for all supporting modes (Models). The application also has the feature to support Single Nodal Account (**SNA**) transaction processing for other non-government customers of Bank (these accounts may not be integrated with PFMS system).

#### **Definitions:**

- a. 'PFMS' means Public Financial Management System
- b. 'CSS' means Centrally Sponsored Scheme.
- c. 'SNA' means Single Nodal Agency or Single Nodal Account or Parent Account
- d. 'CNA' means Central Nodal Agency or Central Nodal Account
- e. 'IA' means Implementing Agency or Implementing Account or Child Account
- f. 'REAT' means Receipts, Expenditure, Advances and Transfers
- g. 'EAT' means Expenditure, Advances and Transfers
- h. 'DC Server' means Datacenter Server
- i. 'DR Server' means Data Recovery Center Server
- j. 'CBS' means Core banking solution
- k. 'DSC' means Digital Signature Certificate
- l. 'IFT' means Interbank fund transfer or Within Bank beneficiary fund transfer

#### **1. Supply & Installation of Infrastructure for SNA:**

- **Hardware:** sizing of the hardware to be factored as per the requirement of application architecture including hardware required for the associated tools in order to achieve SLA (Ref: Technical

specifications). supply, installation, configuration & commissioning of hardware at Banks datacentres i.e. in primary and disaster recovery centres. The datacentre Locations shall be shared with the selected bidder.

- **Software:** All required software components along with the required licenses or subscriptions to be supplied and configured.

**1..1. Application software: The application software should be provided for Single Nodal Account (SNA) transaction processing system as per the scope of work.**

- 1..1.1. Account Validation (Integration with PFMS & NPCI)
- 1..1.2. Limit Maintenance
- 1..1.3. SNA & Implementing Agency (IA) Maintenance Module
- 1..1.4. Application User Management Module
- 1..1.5. Transaction Management & Integration with CBS
- 1..1.6. Reporting Dashboard / MIS Management
- 1..1.7. Integration with PFMS
- 1..1.8. TDS, Income Tax and GST Processing Module for SNA
- 1..1.9. Digital Signature Maintenance Module
- 1..1.10. Additional modules as per the solution proposed

**1..2. Operating System:** All required Operating System license/subscriptions along with ATS (premium support for primary Site) should be provided & should be valid during the contract period.

**1..3. Database system:** All required database license/subscriptions along with ATS (premium support for primary Site) should be provided & should be valid during the contract period.

**1..4. Any other software required as per the proposed solution:** any other application/software or Tools like SFTP applications, etc. required for the proposed solution should be provided along required license/subscriptions including valid ATS during the contract period.

## 2. SNA (for CSS & others), CNA operations & Integration with PFMS

- **CSS Model – 1 Use of external system through REAT integration (MIS-Only):** The Module should be commissioned as per the PFMS CSS Model-1 specifications.
  - **CSS Model – 2 Use of external system through REAT integration (MIS + Payments):** The Module should be commissioned as per the of PFMS CSS Model-2 specifications.
  - **CSS Model – 3 Use of REAT Module (agencies using PFMS)** Both SNAs and IAs will use REAT module of PFMS for transactions. The transactions will be processed in adherence to the delegated limit using Zero Balance A/Cs which required to be adjusted with SNA suitably.
  - **CSS Model – 4 : Integration with State Integrated Financial Management System (IFMS):** The SNA Solution is required to Integrate with State government IFMS application for SNA operations & CBS for transaction processing. The module should be capable of handling different file structure of different agencies for smooth SNA operations.
  - **CNA Model - 1 : Implementation through Treasury Single Account (TSA)** Implementation of SNA for Central Sector Schemes using The Treasury Single Account (TSA) in order to ensure the funds are released “Just-In-Time” from the Consolidated Fund of India (CFI) to the beneficiaries. The Solution required to be integrated as per Treasury Single Account specifications.
  - **CNA Model 2 - CNA through Scheduled Commercial Banks (SCBs):** Transactions in each Subsidiary Account will be settled with the Central Nodal Account daily through the core banking solution (CBS) on the basis of payments made. The Application will integrate with the PFMS in order to update each Subsidiary Account
- 3. SNA for non CSS Operation:** The application also has the feature to support Single Nodal Account (**SNA**) transaction processing for other non-government customers of Bank (these accounts may not be integrated with PFMS system).
- 4. Dashboard / MIS:** Dashboard to be provided to all users/agencies as per the privileges at various level, i.e. SNA, IA & Administrative users for real-time monitoring. The application should be flexible to handle the requirement of different formats as per the requirement state, central government departments or SNA.

- 5. Documentation:** Provide all necessary documentation relating to the implementation including, but Not limited to the following: Project Charter, Solution Architecture and Design, Architecture /Technical diagrams, User manuals, System flow documentation, Technical manuals, Training Manuals, UAT Test and other Tests scripts, Standard Operational Procedures. Manuals should be provided in printed duly hard bounded form and 2 sets in soft copy duly labelled and indexed working CD / DVD. All installation and configuration reports, documents, diagrams, etc. are to be supplied before commissioning.
- 6. Installation, Maintenance and Support:** Seamless integration of supplied, customized, developed software for Bank to meet the PFMS's Functional, Operational, Risk Management, Regulatory and MIS requirements of Central Sponsor Scheme implementation with all required interfaces. Integrate the proposed solution with Bank's Core Banking Solution with necessary interface on real time basis.
- i.** System should support PFMS/RBI's guidelines pertaining to Central Sponsor Scheme implementation issued from time to time.
  - ii.** The proposed solution should provide audit reports and Tools for the Bank's internal / concurrent / statutory / PFMS / RBI Audit / inspections.
  - iii.** All data files transmitting through various interfaces should be in encrypted format as per Bank/Govt. Agencies requirement.
  - iv.** Any new regulatory requirement during / after implementation must be covered under ATS obligation.
  - v.** The hardware should be scalable to support additional users and modules for next 5 years.
  - vi.** Necessary support should be provided to the IS Auditor appointed by the Bank for conducting Vulnerability Assessment and Penetration Testing (VAPT) on the solution supplied.
  - vii.** Closure of all VAPT /IS Audit / any other Audit / testing observations pertaining to the proposed solution within time frame stipulated by Bank without any additional cost to the Bank. The observations may be made at any frequency by the Bank or Bank appointed external system audit team.
  - viii.** Vendor / OEM will be required to provide root cause analysis for all performance and availability problems within 5 days of problem occurrence.

- ix. The implementation of the hardware and software solution should be in line with applicable standards.
- x. Undertake and assist the bank officials for Access control activities, Performance Tuning activities, server administrator activities, and any other activities as required by the Bank
- xi. Monitoring and confirming the DR replication and performing DR.
- xii. Compliance to Bank's policies like IT Policy, Security Policy etc.

## 7. Functional Requirements

- The Proposed SNA Solution should have the capabilities to designate a single Nodal Account (SNA) for implementing any Centrally Sponsored Scheme to conduct Government Business for the State Department Account with our Bank.
- The Proposed SNA Solution should be capable of handling Virtual Account (Child Account) in addition to the Physical Child Accounts depending upon the demands of the customer.
- The mechanism for flow of fund from the SNA to the Child Account and child account to sub-child account.
- Entry-wise reversal of any fund in the Child Account to the Parent SNA at the day end should also be inbuilt in the system.
- The Proposed SNA Solution should be capable of handling the Umbrella Structure Schemes having multiple level sub schemes as applicable. Sub scheme SNA Account will be used for both transaction as well as monitoring purpose.
- The Proposed SNA Solution should be able to integrate with Bank CBS software and data flow should be seamless and real time basis and should be capable of allocation of Drawing Limits to all the Zero Balance Subsidiary accounts of (IAs) in the CSS to be decided by the SNA concerned from time to time. These IAs will draw on real time basis from the Single Nodal Account of the scheme as and when payments are to be made to beneficiaries, vendors etc.
- The CSS Solution should also have mechanism to reduce the allocated Drawing Limit to the IAs by the extent of utilization. All these functionalities will be the primary requirements from the Proposed System.



- It will be the responsibility of proposed system to ensure that the entire unspent amount is returned by all the IAs to the Single Nodal Account of the SNA concerned. SNAs will keep a record of unspent balance lying in the account of IAs and the amount refunded by IAs.
- The Proposed system should be able to monitor the drawing limits of the IAs who should be able to draw funds on real time basis from the SNA's account as and when payments are to be made. The selected Service Provider should ensure proper training and capacity building of branch Staff and Government Department Officials for smooth operation.
- The system should be capable to capture the Central and state share for the scheme and maintain separate budget lines for Central and State Share under each CSS. The system should have module like Budget Management: Officials can opt for Budget management option in the SNA solution itself. Budget can be entered against the financial year or as per quarter wise breakup. MIS with details of Budget vs utilization should be available in the Application. User can manage, create and Edit/Modify Budget Allocation.
- The Proposed system should be capable to provide the percentage of fund utilization against the allocated funds, based on that State government can ask for the remaining funds.
- SNAs and IAs will use the EAT module of PFMS or integrate their systems with the PFMS to ensure that information on PFMS is updated by each IA at least once every day. This proposed system should ensure this functionality.
- The State IFMIS or any other application utilised by the Government Department should be able to capture scheme component-wise expenditure along with PFMS Scheme Code and Unique Code of the Agencies incurring the expenditure. State Governments will ensure daily uploading/sharing of data by the State IFMIS/Treasury applications on PFMS. PFMS will act as a facilitator for payment, tracking and monitoring of fund flow.
- The Proposed System should provide a MIS in regard to the utilization of funds at various levels and also a consolidated position for the Government Departments in order to undertake monthly review of the release of funds (both the Central and State Share) from the State treasury to the SNA, utilization of funds by SNAs and IAs and

outputs/outcomes vis-d-vis the targets for each CSS. It should also provide detailed accounts statements for IAs and SNAs and able to reconcile accounts on regular basis.

- The Proposed system should be able to integrate with existing CBS software and data flow should be seamless and real time basis and should provide a detailed Dash Board for monitoring utilization of fund across each level (parent /child), scheme and sub-scheme wise. The Dash Board should be available to all user at each level with following reports:

7..1. A Real time Balance Report

7..2. List of Beneficiaries (added/modified/deleted)

7..3. Scheme wise DDO wise report – This can be generated for different levels

7..4. Transaction Report

7..5. Limit Summary Report

7..6. Budget Summary Report

7..7. DDO wise Expenditure Report

- The Proposed System should be able to handle Direct Benefit Transfer (DBT), Vendor Payment or any payment type supported in PFMS/NPCI. Should have modules like Beneficiary/Vendor Management: Identified user of Agency should add or update beneficiary or vendor details on external app. This module should be synched with PFMS also so that it could fetch the details from PFMS for already registered Beneficiary/vendors. Portal should have the facility to handle TDS and GST.
- The Proposed SNA Solution should be able to integrate with PFMS w.r.t. to REAT requirements (Receipts, Expenditure, Advances and Transfers) through direct integration, API integration, upload, download options and it should have bulk upload facility of beneficiary/vendor details.
- The Proposed SNA Solution should provide facility for organization setup, defining user roles as per organization hierarchy.
- The Proposed SNA Solution should provide facility to setup approval matrix, based on the role of various end users and range of amount.
- The Proposed SNA Solution should have facility to create, search beneficiaries and vendors. Facility to create beneficiaries and vendors through file upload should also be provided

- The Proposed SNA Solution should provide facility to create fund disbursement transaction directly through file upload.
- For login on CSS solution, Login password required and OTP can be used for 2FA.
- For payment approvals on CSS solution , Transaction password required and OTP/DSC can be used for second factor authentication.
- The selected bidder to provide Total 2 Servers at Bank's premises for UAT for Testing's Servers including Operation System and Database (configured as App server , DB Server and Web Server)
- The selected bidder to complete the SIT/UAT at Bank's premises .
- The Proposed SNA Solution should have the capabilities to approve the uploaded digital signature of Agency users by Bank Branch via maker checker concept for DSC payments.
- The Proposed SNA Solution has the capabilities of Real time balance enquiry/transactional details that should available to Agency Users.
- The Proposed SNA Solution should support Electronic Payment Advice functionally as well as EPA file.
- The Proposed SNA Solution should have Bulk Beneficiary payment upload facility.
- The Proposed SNA Solution should support different file format/ structure as per requirement of PFMS/State Agencies.
- Apart of the overall scope of the RFP, the Bidder / Solution Provider needs to interact with Bank's business team, gather and finalize functional requirements. Bidder / Solution provider should incorporate global best practices while defining requirements.
- The application should be capable to on-board any number of SNA/CNA/SNA(CSS) accounts without any restrictions. The perpetual licence in this regard to be provided for the contract period.

#### **8. Technical Requirement (INFRASTRUCTURE – HARDWARE / NETWORK / SECURITY)**

- The bidder to provide all required hardware including Operating System, database and other related software for deploying the proposed application in the Bank's Data Centre and Disaster Recovery Site. The bidder to provided hardware, operating system, databases and other related software support during the entire contract period and should not be out of life for minimum 5 years .

- The solution should be on Banks premises at Bank's DC & DR. The selected bidder has to comply with Bank's IT policy. The selected bidder to ensure end to end security features to be implemented and also update its system as per regulatory guidelines. The selected bidder will allow Bank or its representative to conduct audit of their system/hardware/database and related software as per Bank's requirement without any additional cost to bank.
- The bidder must provide the Application Deployment Architecture with diagrams, identifying components and specifications for each component with description. Description must detail the number of servers, specifications for each resource (Web server, Application, DB, File server, Resource Monitoring servers etc.), Operating System and configuration as well as function of each server, Network Bandwidth Requirements and Storage Requirements.
- The bidder is responsible for actual sizing of the infrastructure as per the scope of work, activities and Service Levels as defined in this RFP. The indicative and forecasted transactions have been mentioned.
- The Proposed salutation should be comparable with banks existing network.
- The bidder should provide sizing methodology detailing how the proposed solution architecture and sizing will meet bank's current and future transaction requirements minimum for 5 years .
- Bidder will provide all the necessary support and help for agencies on boarding, configuration requirement during contract.
- The proposed solution should support 1000 Concurrent Users with scalability to 5000 Concurrent Users.
- The proposed solution and infrastructure should support minimum 200 schemes /SNA accounts with scalability to 1000 schemes /SNA accounts.
- The proposed solution and infrastructure should support minimum 50,000 transactions per day with scalability to 5,00,000 transactions per day.
- There should be provision for re-initiating failed/decline transaction in proposed solution.

- The Bidder must conduct DR drills after Go Live of the solution in conjunction with the Bank or as required by the Bank during each quarter of the contract period .
- The proposed application should be IPv4 & IPv6 compatible and work in both the environments (dual stack model).
- The bidder to provide BCP/DRP as per IT Security policy and BCP of the Bank.
- The bidder should ensure replication of data between the Primary and DR Data Centres as required.
- The Bidder should provide the latest version and subsequent upgrades of the solution proposed.
- The Bidder is responsible to arrive at the sizing independently. The Bank is not responsible for any assumption made by the Bidder not meeting the performance/service levels as desired in the document. In such a case, the Bidder will at their cost carry out the necessary upgrades / replacements. The Bank will not pay any additional amount during the period of the contract. The hardware sizing should be sufficient enough to meet the requirement of the bank during the entire contract period (5 years) and 5 year minimum hardware, database and OS support from concerned OEM.
- The bidder must also ensure that the hardware resources utilization should not exceed 75% at any point of time in the DC & DR for the projected volume of transactions. In case the utilization exceeds the above level, the bidder must provide additional hardware/software/licenses without any additional cost to the bank.
- The provided hardware should have high reliability, fault tolerance, redundancy and high availability having no single point of failure in the hardware (NSPoF).
- The proposed application has to be integrated using ISO/API/DB link etc. with Bank's CBS / HRMS / email systems / any other sites / services / platform, as required by Bank. The Integration to be done without any additional cost to the Bank.
- The bidder has to provide a mechanism to measure the capacity / capability, as to how the load is assessed, tested & managed.

- The bidder has to provide a suitable resource monitoring tool / application monitoring dash boards for ensuring Turn Around Time and resource availability & usage etc.
- The bidder should certify that the proposed solution is free from OWASP vulnerabilities.
- The bidder has to provide a security risk management plan to address these vulnerabilities
- The bidder should provide auditing feature for the proposed solution to keep track of the selective activities performed by various users (administrators, privileged users and end users).
- All servers (web servers, application servers, load balancers, file servers, monitoring servers and DB servers) used in the proposed solution should be hardened as per the Secure Configuration provided by the Bank. Configuration, deployment and maintenance of the CSS solution is to be done by bidder.
- Security Audit of the application and VA & PT will be carried out by the Bank at regular intervals and the Observations / recommendations need to be complied within the time limit as advised by the Bank.
- The application software developed or customized should follow a standard development process to ensure that it meets functional, security, performance & regulatory requirements of the Bank. The bidder should comply with Bank's IS Security policy in key concern areas. Some of the key areas are:
  - 8..1. Responsibilities for data and application privacy and confidentiality
  - 8..2. Responsibilities on system and software access control and administration.
  - 8..3. Custodial responsibilities for data, software, hardware and other assets of the Bank being managed by or assigned to the selected bidder.
  - 8..4. Incident response and reporting procedures
  - 8..5. Password policy
  - 8..6. Data Encryption / Protection
- The solution provided by Bidder for the CSS Solution must support Disaster Recovery plans as follows:

- 8..1. Allow fail over as per the DR policy of the Bank
- 8..2. Provide architecture and design for Disaster Recovery
- 8..3. Bidder should provide all required licences for Disaster Recovery site.
- 8..4. Size the DR to be factored as 100% capacity of the DC.

- Selected Bidder should review the information security risks in line with RBI guidelines, IT Act etc. and other best security practices for the scope mentioned in the RFP and provide its mitigates. Bidder should submit a certificate indicating that the solution being proposed in the RFP, is complying all regulatory guidelines viz PFMS/RBI Guidelines/IT Act etc.
- The selected bidder to provide Total 2 Servers at Bank's premises for UAT for Testing's Servers including Operation System and Database (configured as App server , DB Server and Web Server).
- The selected bidder to complete the SIT/UAT at Bank's premises .
- The selected bidder has to provide the licenses in the name of the Bank to be used without any restrictions for no. of users or transactions.
- Selected bidder has to updates /deployment/ installation / testing of the application to be done at Bank premises.
- The proposed hardware should be as per the industry standard and have high reliability, fault tolerance, redundancy and high availability in place.
- The hardware resource consumption/ utilization should not exceed 75% at any given point in time during the contract period.

**9. Testing:** All the components developed or customised should be released in UAT environment for testing. On successful testing the required components shall be released in production development. Testing will include, but not limited to System Integration Testing (SIT), User Acceptance Testing (UAT) & any other testing required for successful go-live of the project.

**10. Disaster Recovery:** The Solution architecture should support the Primary (DC) and disaster recovery (DR) components having the salient features.

- The DC & DR should be replicated online & bidder required to supply all required tools/application software for on line replication.
- DR Drill activity as per the requirement of Bank.



- The RTO & RPO to be maintained as per the Banks datacentre policy, the RTO & RPO parameters to be shared with the selected bidder.

However, approx. range of RTO and RPO is given below:

RTO: 30 to 90 minutes , RPO: 15 to 45 minutes

**11. Training:** The successful bidder is required to provide training to 10 numbers of Bank Officials for one week at Bank Premises. The major responsibilities as specified above are indicative only and are not exhaustive in any manner.

## 12. LICENSES

The successful bidder has to provide necessary enterprise wide perpetual licenses for the solution proposed like application software, database , middleware, tools, etc. for the entire contract period. The proposed solution will be hosted at Banks Data Centre Site (DC), DR Site, UAT setup. The bidder has to quote for all software license required for DC and DR & UAT environment as per the technical specifications. The required Licence / subscription supporting documents from OEM should be provided to Bank & it also be reflected/available in OEM's website.

## 13. Facility Management Support

The selected bidder required to provide Facility Management service for the proposed SNA applications. The FM resource required to be deployed in Banks premises preferably at Kolkata. The selected bidder should provide Facility Management service and will be single point of contact for the following activities for smooth functioning of the application:

- SNA Application support & the onsite engineer will be single point contact for all required application customizations and interfaces changes,
- SNA Infrastructure maintenance including Hardware, Operating System, Middleware applications, all version upgradation, security patch management, etc.
- All Database Administration (DBA) Activities, like database monitoring & ensure availability in adherence to SLA, performance tuning, online replication (DC DR Sync), Version upgrade, security & database patch management, etc.
- Monitoring & processing of payment files, i.e. daily transactions including failed/reversal transactions, etc.
- Daily reconciliation of payment files processed.



- End to end monitoring and reconciliation of SNA Application, version up-gradation & security patch management, e replication (DC DR Sync) etc.
- Co-ordinate with associated application teams for smooth operation of SNA application. Ensure daily backup of the application & Data & periodic restoration.
- Any other work assigned by Bank related to CSS.
- The selected bidder shall provide onsite FM service from 8:00AM to 10:00PM on all working days (i.e. Branch opened PAN India) for smooth SNA operations. However, in case of exigency, the FM resources should be availed beyond the stipulated time.
- The FM resources deployed in the Bank premises should be on the selected bidder's payroll. The service condition of the FM resources should be in adherence to regulatory guidance.
- The resource required to have adequate domain knowledge and qualification in order to be deployed for FM service & require Banks consent prior to deployment. Bank may conduct an assessment test of the onsite engineer / resource in order to check competency.
- Proper background verification or due diligence of the FM resource to be conducted by the selected bidder prior to deployment at banks premises. Selected bidder to submit of the same in company's letter head for our audit purpose.
- In case any of the station engineer is on leave, suitable replacement having adequate competency should be provided.
- The performance of FM personnel will be reviewed periodically. The selected bidder should responsible for the onsite resource activities and ensure maintenance of proper official decorum in adherence to Bank's guidelines.
- The required System and seating arrangement for the onsite FM resources shall be provided by the Bank.
- Banks has the reserve the rights to change the FM service location to any part of India as per operational requirement.

#### **14. System Integration Testing & User Acceptance Testing**

- All the Products developed/ customised are required to be released in UAT environment for user acceptance testing. On receipt of successful test cases and production deployment signoff from Bank, the same shall be moved to production environment for Live Run.
- In case of any performance issues or errors observe after production deployment, these issues are required to be addressed by the selected bidder immediately.
- The warranty period of one year for the SNA solution will commence from the successful go live date.

#### **15. Training**

- The selected bidder shall impart training to the Bank's team on the technical & operational specifications of the SNA solution offered as per the scope of RFP.
- The Training material softcopy and hard copy to be provided to Bank for reference.
- Product Technical & Operation User manual should be provided to the Bank.
- Training shall be conducted at Banks premises and selected bidder required to depute resource for conduct training activities without any additional cost to bank.
- Bank may arrange to impart operational training to the SNA authorities preferably through online mode and the selected bidder should provide necessary support for smooth conduct of the training session.
- The Updated user manual and Technical manuals should be provided to bank on new releases from time to time during the contract period.

#### **16. Reporting**

- The required Daily operational reports, exceptional reports, reconciliation reports shall be submitted to Bank through official email ID. The detailed report formats shall be shared with the selected bidder.

- Bank may place additional requirement of customised reports or extraction of data during the contract period as per the operational requirement of bank from time to time.

### **17. Customization**

- Customizations/modifications/changes if any with respect the SNA/CNA models implemented by selected bidder is required to be addressed during contract period in adherence to the regulatory, statutory or Banks guideline changes without incurred any additional cost to bank.
- All product patches, updates or new release shall be delivered to bank by the selected bidder during the contract period.
- The deployment of the customised patches and other deployments should be carried out in banks premises. Considering security reason no remote access will be extended at any cost. The deployment activities should have been carried out as a part of FM service.



## Part – V

### 1. Order Details

The purchase order will be placed by **UCO Bank, Head Office-II, Digital Banking Department, Kolkata** in the name of selected bidder as per requirement. The payment will be made by Head Office-II, Digital Banking Department, Kolkata and the Performance Bank Guarantee for order will be required to be submitted in the same office.

### 2. Delivery, Installation, UAT and Production live

Installation of the application, Databases with hardware by the successful bidder should be completed from the date of purchase order as per the schedule given below:

| Activities*   | No. of days   |
|---|---|
| Supply & Installation of Hardware & OS/DB (or any other software) including UAT, Production & DR. | 10 weeks from the date of PO  |
| Solution ready for User testing (UAT)   | 11 weeks from the date of PO  |
| Rectification of UAT Testing observation shared by Bank   | 12 weeks from the date of PO  |
| Production live   | <ul style="list-style-type: none"><li>• <b>Phase I</b> – SNA model I &amp; III to be completed within 1 week from the UAT sign-off.</li><br/><li>• <b>Phase II</b>- Rest of the SNA models to be completed within 4 weeks from the UAT sign-off of model I &amp; III.</li></ul> |

\* Under any circumstances SNA model I & III should be live within 5 months from the date of PO.

### 3. Contract Period:

The tenure of the Contract will be for a period of **60 months** (5 years) effective from the date of from the date of go-live of Phase-I, unless terminated earlier by the Bank by serving 90 days' prior notice in writing to the selected bidder at its own convenience without assigning any reason and without any cost or compensation therefor. However, after the completion of initial period of **60 months** (5 years), the contract may be extended/ renewed for further period on mutually agreed terms & conditions.

The performance of the selected bidder shall be reviewed every quarter and the Bank reserves the right to terminate the contract at its sole discretion by giving 90 days' notice without assigning any reasons and without any cost or compensation therefor. Any offer falling short of the contract validity period is liable for rejection.

The selected bidder is required to enter into a Service Level Agreement (SLA), the format whereof is to be supplied by the Bank.

#### **4. Warranty/AMC/ATS**

The Selected Bidder must provide **5 (five)** year on-site comprehensive warranty for all hardware and peripheral items to be supplied under this RFP covering all parts and labour from the date of successful installation and sign-off of the systems by UCO Bank.

The Selected bidder must provide **1 (One)** year Comprehensive Warranty for OS and Database Licenses to be supplied under this RFP and four year ATS (**2<sup>nd</sup>, 3<sup>rd</sup>, 4<sup>th</sup> & 5<sup>th</sup>**)

- i. During the warranty period, the vendor will have to undertake comprehensive maintenance of the entire hardware, hardware components, system software and accessories supplied by the vendor. OS patch application as required by bank from time to time will be the responsibility of the vendor during the warranty.
- ii. The bidder shall be fully responsible for the manufacturer's warranty for all equipment, accessories, spare parts etc. against any defect arising from design, material, manufacturing workmanship, or any act or omission of the manufacturer / bidder or any defect that may develop under normal use of supplied equipment during the warranty period.
- iii. Warranty shall not become void even if UCO Bank buys any other supplemental hardware from a third party installs it with / in these machines. However, the warranty will not be applied to such hardware installed. Besides the above, the vendor will have to enter into Service Level Agreement (SLA) with the Bank.

#### **5. Payment Terms**

##### **For Hardware**

- a) **50%** of the order value along with applicable taxes on actual basis will be paid on successful delivery.

- b) **40%** of the order value along with applicable taxes on actual basis will be paid on successful user acceptance after delivery, installation of the ordered items, after realizing penalty charges for late delivery and / or late installation, if any. The claim of payment should contain proof of delivery, installation note and User Acceptance Report signed with date by an authorized official of UCO Bank at the respective sites, waybill etc.
- c) Remaining **10%** of the cost along with applicable taxes would be paid after three months from Go-live of Phase-I and successful operation.

#### **For Software**

- d) **50%** of the order value will be paid after completion of SNA Model-I & III.
- e) **40%** of the order value will be paid after completion of SNA Model-II & IV.
- f) **10%** of the order value will be paid after one year of go-live of all the SNA models. However, the amount may be released on receipt of BG valid for the period (i.e. one year).
- g) ATS on software item shall be paid in annual basis in advance.

#### **FM Services**

- h) Payment for FM services shall be paid in quarterly basis in arrears.

### **6. UPTIME**



The bidder shall guarantee monthly uptime of **99.00 %** for all, which shall be calculated on monthly basis. The "Uptime" is equal to total contracted hours in a month less Downtime. The "Downtime" is the time between the time of report by the Bank and/or its representative or vendor itself has observed and time of restoration of service within the contracted hours. "Restoration" is the condition when the selected bidder demonstrates that the solution is in working order and the Bank acknowledges the same. For SLA purpose a month will be treated as 30 days. If the bidder fails to maintain guaranteed uptime of 99% on monthly basis, Bank shall impose penalty. If the uptime is below 96% on monthly basis, the Bank shall have full right to invoke the Bank Guarantee and terminate the contract.

Bank will arrange for the network/WAN link between DC and DR Sites. Bandwidth requirement has to be specified clearly to meet the specified RPO and RTO as above. For a DR Drill, Bank may plan to shift functioning of all the systems from DC to DR which would include the proposed solution also. Modalities for such Switchover/switchback needs to be provided by the successful bidder. The minimum number of DR drills is presently Four (one per Quarter year). This will be subject to requirement from time to time.

### **7. PENALTY**

- i. The Bidder shall perform its obligations under the agreement entered into with the Bank, in a professional manner.
- ii. If any act or failure by the bidder under the agreement results in failure or inoperability of systems including loss /disclosure of Bank's data and if the Bank has to take corrective actions to ensure functionality of its property, the Bank reserves the right to impose penalty, which may be equal to the cost it incurs or the loss it suffers for such failures.
- iii. Bank may impose penalty to the extent of damage to its any equipment, if the damage was due to the actions directly attributable to the staff of Bidder.
- iv. The Bank shall implement all penalty clauses after giving due notice to the bidder.
- v. If the Bidder fails to complete the due performance of the contract in accordance with the specification and conditions of the offer document, the Bank reserves the right either to cancel the order or to recover a suitable amount as deemed reasonable as Penalty / Liquidated Damage for non-performance.
- vi. SLA violation will attract penalties.
- vii. The penalty may be recovered from project cost/AMC cost/BG as per discretion of the Bank. The penalty for various breaches is as follows.

| Sl. No | Parameter  | Penalty  | Ceiling  |
|--------|--|--|--|
| 1.     | Adherence to Delivery, Installation, UAT and Production live | If the Bidder fails to achieve the delivery of any phase within defined duration for reasons solely attributable to the bidder, the payment to bidder will be liable for deduction as penalty which will be @ 1% of Total Cost Of Ownership(TCO) for delay of each week or part thereof. | 10% of Total Cost Of Ownership(TCO) linked to the total contract price.<br><br>If penalty reaches 10% of Total Cost Of Ownership(TCO), Bank shall have full right to invoke the Bank Guarantee and terminate the contract. |
| 2      | SLA  | Uptime – 99 % uptime average on monthly basis for the the system and solution.<br><br>The penalty applicable for every 0.1 % drop in uptime is 0.1% of the Total Cost Of Ownership(TCO).   | 10% of Total Cost Of Ownership(TCO)linked to the total contract price.<br><br>If penalty reaches 10% of Total Cost Of Ownership(TCO), Bank shall have full right to  |

|  |   |  |
|--|---|--|
|  | <p>For support model single instance of failure in providing different kind of support, every hour post Resolution time will call (as detailed in table below) for following penalty</p> <ul style="list-style-type: none"> <li>• High:0.03%</li> <li>• Medium:0.02%</li> <li>• Low:0.01%</li> </ul> <p>The above percentages are of Total Cost Of Ownership(TCO)</p> | <p>invoke the Bank Guarantee and terminate the contract.</p> |
|--|---|--|

**Response & resolution time is given below**

| Support Category | Criteria   | Resolution time |
|------------------|--|-----------------|
| High             | The system cannot be used for normal business activities.  | 6 Hours         |
| Medium           | There is a problem with part of the system, which impacts Bank's decision making. No viable workaround is available. | 12 hours        |
| Low              | A fault, which has no particular impact on processing of normal business activities.                                 | 24 hours        |

Note:

- No visiting cost will be provided by bank to selected bidder , if there Engineer to Visit Bank's premises for solving the issue.

**8. LIQUIDATED DAMAGE**

Notwithstanding Bank's right to cancel the order, liquidated damages at 1% (One percent) of the undelivered portion of the order value per week will be charged for every week's delay in the specified delivery schedule subject to a maximum of 10% of the total cost of ownership. Bank will have right to recover these amounts by any mode such as adjusting from any payments to be made to the Vendor or from the performance Bank Guarantee. Liquidated damages will be calculated per week basis. Dispatch details shall be shared by the vendor regularly after handing over the consignment to the concerned Courier Agency/Indian Postal services.

If the selected Bidder fails to provide any of the services after starting of operations, as per the terms of this RFP, the Bank shall be entitled to charge penalty/ liquidated damages @ 5% of the monthly bill, per week or part thereof.



The Bank reserves its right to recover these amounts by any mode including adjusting from any payments to be made by the Bank to the Bidder. Bank may invoke the Bank Guarantee for further delay in in delivery & migration.

## **9. PAYING AUTHORITY**

The payment will be made by UCO Bank, Digital Banking Department , Head Office – 2,. However, all the payments shall be subject to the performance / delivery of the Services to the satisfaction of the Bank for this purpose.

However, Payment of the Bills would be released, on receipt of advice / confirmation for satisfactory delivery and commissioning, live running and service report etc. after deducting all penalties.

## **10. PERFORMANCE BANK GUARANTEE**

The selected Bidder shall, within a period of fifteen (15) days from the date of Letter of Intent (LOI)/**Purchase Order** (PO) have to furnish a Performance Bank Guarantee, format as per Annexure – V issued by any scheduled commercial bank (other than UCO Bank) equivalent to 3% of the project cost/**Total Cost of Ownership (TCO)** valid for a period of **63 months ( 60 months + a claim period of three (3) months)** from the date of Letter of Intent (LOI)/**Purchase Order** (PO) for indemnifying any loss to the Bank.

However, the selected Bidder may have to extend the validity of the Performance Bank Guarantee for a period of AMC/ATS as required by the Bank.

The Performance Bank Guarantee shall act as a security deposit either in case the selected Bidder is unable to commence the project within the stipulated time or the commencement of the project is delayed inordinately beyond the acceptable levels, the Bank reserves the right to forfeit the same.

Further, the Bank reserves the right to invoke the Performance Bank Guarantee in case the selected Bidder is not able to fulfill any and/or all conditions specified in the document or is unable to complete the project within the stipulated time and such breach remains uncured within such period as mentioned in the Clauses of Termination/**Order Cancellation**. This is independent of the Liquidated Damages (LD) on delivery and installation.

The selected Bidder shall be responsible for extending the validity date and claim period of the Performance Bank Guarantee as and when it is due on the account of non-completion of the project and warranty period.

**In case the Service Level Agreement/Contract is extended, the selected Bidder shall have to submit the Bank Guarantee equivalent to 10% of the project cost/Total Cost of Ownership (TOC) for the extended period along with a claim period of three (3) months.**

#### **11. PRICE VALIDITY**

The selected bidder will be required to keep the price valid for a period of **Six months** (06 months) from the date of issuance of Purchase Order. There shall be no increase in price for any reason whatsoever during the price validity period and Bank may place the additional Purchase Orders to the selected bidder for any or all of the services at the agreed unit rate for line items as mentioned in the commercial format i.e. **Annexure – XXI** during the price validity period.

#### **12. SINGLE POINT OF CONTACT**

The selected bidder shall appoint a single point of contact, with whom Bank will deal, for any activity pertaining to the requirements of this RFP.

#### **13. RIGHT TO ALTER QUANTITIES**

The Bank reserves the right to alter the number of hardware hardware/software/licenses specified in the tender in the event of changes in plans of the Bank. Any decision of UCO BANK in this regard shall be final, conclusive and binding on the bidder. The bank reserves the right to place order for additional hardware/software/licenses at the agreed price during the contract period with the same terms and conditions. Banks is not obligate to purchase all the quantity of the hardware/software/licenses as mentioned above. Bank reserves the right to alter the quantities at any time not exceeding **25% of the total contract value** without prior notice to the selected bidder(s).

#### **14. PRELIMINARY SCRUTINY**

UCO Bank will scrutinize the offers to determine whether they are complete, whether any errors have been made in the offer, whether required technical documentation has been furnished, whether the documents have been properly signed, and whether all the necessary information supported by documentary evidences are submitted as per prescribed method. Offers not meeting the prescribed guidelines and or with incorrect information or not supported by documentary evidence, wherever called for, would summarily be rejected. However, UCO Bank, at its sole discretion, may waive any minor non-conformity or any minor irregularity in an offer. UCO Bank reserves the right for such waivers and this shall be binding on all vendors.

## 15. AWARD OF CONTRACT

The bidder who qualifies in the technical evaluation will qualify for commercial evaluation. The bidder whose bid has been determined to be responsive and who quotes the lowest price will be treated as L1 Bidder. Any decision of UCO Bank in this regard shall be final, conclusive and binding upon the bidder(s).

## 16. TAXES

- a. Bidder shall be solely liable for the payment of all taxes, duties, fines, penalties, etc., by whatever name called as may become due and payable under the local, state and/or central laws, rules and/or regulations as may be prevalent and as amended from time to time in relation to the services rendered pursuant to this agreement. The Bank may in its discretion, but without being bound to do so, make payment of Taxes, duties as aforesaid and in the event of such payment, Bank shall be entitled to deduct the payment so made from the payment due to Bidder in respect of Bills.
- b. The Bank shall not be liable nor responsible for collection and / or payment of any such taxes, duties, fines, penalties etc., by whatever name called, that are due and payable by bidder, under the local, state and/ or central laws, rules and /or regulations as may be prevalent and as amended from time to time.
- c. Nothing contained herein shall prevent the Bank from deducting taxes deductible at source as required by any law/s or regulation/s. Bidder shall be responsible to report any non-receipt of certificate of taxes deducted at source within ninety (90) days of deduction of such taxes at source by the Bank to bidder. The Bank will not issue any duplicate certificate for deduction of taxes at source unless such request is made within ninety (90) days of the closure of the financial year.
- d. Bidder shall co-operate fully in the defence of any claim/s by any local, state or union authorities against The Bank with respect to any taxes and/or duties due and payable by bidder and /or individuals assigned by bidder under this agreement. Without limiting the generality of the foregoing bidder shall upon request by The Bank, give to The Bank all documents, evidences in a form satisfactory to The Bank to defend such claim/s. Any claims filed against The Bank, the cost to be borne by the selected bidder.
- e. The payments which is/are **inclusive of GST and other taxes, fees etc.** as per the Payment Schedule covered herein above shall be paid by Digital banking Department, UCO Bank, Head Office – Kolkata. However, Payment of the Bills would be released, on receipt of advice / confirmation for satisfactory delivery

and commissioning, live running and service report etc. after deducting all penalties.

## **17. CONFIDENTIALITY AND SECRECY**

The RFP document is confidential and is not to be reproduced, transmitted, or made available by the Recipient to any other party. The RFP document is provided to the Recipient on the basis of the undertaking of confidentiality given by the Recipient to Bank. Bank may update or revise the RFP document or any part of it. The Recipient acknowledges that any such revised or amended document is received subject to the same terms and conditions as this original and subject to the same confidentiality undertaking.

The Recipient will not disclose or discuss the contents of the RFP document with any officer, employee, consultant, director, agent, or other person associated or affiliated in any way with Bank or any of its customers, suppliers, or agents without the prior written consent of Bank.

The bidder/selected bidder must undertake that they shall hold in trust any Information received by them under the Contract/Service Level Agreement, and the strictest of confidence shall be maintained in respect of such Information. The bidder has also to agree:

- To maintain and use the Information only for the purposes of the Contract/Agreement and only as permitted by BANK;
- To only make copies as specifically authorized by the prior written consent of Bank and with the same confidential or proprietary notices as may be printed or displayed on the original;
- To restrict access and disclosure of Information to such of their employees, agents, strictly on a “need to know” basis, to maintain confidentiality of the Information disclosed to them in accordance with this Clause, and
- To treat all Information as Confidential Information.
- The selected service provider acknowledges and agrees that all tangible and intangible information obtained, developed or disclosed including all documents, data, papers, statements, any business/customer information, trade secrets and process of the UCO Bank relating to its business practices in connection with the performance of services under this Agreement or otherwise, is deemed by the UCO Bank and shall be considered to be confidential and proprietary information (“Confidential Information”), solely of the UCO Bank and shall not be used/disclosed to anybody in any manner except with the written consent of The UCO Bank.
- The selected service provider shall ensure that the same is not used or permitted to be used in any manner incompatible or inconsistent with that

authorized by the UCO Bank. The Confidential Information will be safeguarded and the selected service provider will take all necessary action to protect it against misuse, loss, destruction, alterations or deletions thereof.

- **Conflict of interest:** The Vendor shall disclose to BANK in writing, all actual and potential conflicts of interest that exist, arise or may arise (either for the Vendor or the Bidder's team) in the course of performing the Service(s) as soon as practical after it becomes aware of that conflict.
- **The successful Bidder is required to execute a Non-Disclosure Agreement to the bank as per bank's format before or at the time of execution of the Service Level Agreement.**

## 18. Compliance with Laws

The Vendor shall undertake to observe, adhere to, abide by, comply with and notify the Bank about all laws in force or as are or as made applicable in future, pertaining to or applicable to them, their business, their employees or their obligations towards them and all purposes of this Contract and shall indemnify, keep indemnified, hold harmless, defend and protect the Bank and its employees/officers/staff/ personnel/ representatives/ agents from any failure or omission on its part to do so and against all claims or demands of liability and all consequences that may Occurs or arise for any default or failure on its part to conform or comply with the above and all other statutory obligations arising therefrom.

All the employees/operator deployed by the Vendor for the digitization activity must comply with government's rules and regulations like Minimum Wages Act, Provident Fund, Labour Law, ESIC facility standard, CVC / RBI guidelines.

This indemnification is only a remedy for the Bank. The Vendor is not absolved from its responsibility of complying with the statutory obligations as specified above. Indemnity would be limited to court awarded damages and shall exclude indirect, consequential and incidental damages. However indemnity would cover damages, loss or liabilities suffered by the Bank arising out of claims made by its customers and/or regulatory authorities.

The Vendor confirms to Bank that it complies with all Central, State, Municipal laws and local laws and rules and regulations and shall undertake to observe, adhere to, abide by, comply with and notify Bank about compliance with all laws in force including Information Technology Act 2000 as amended from time to time or as are or as made applicable in future, pertaining to or applicable to them, their business, their employees or their obligation's towards them and for

all purposes of this Contract, and shall indemnify, keep indemnified, hold harmless, defend and protect Bank and its officers/staff/ personnel/ representatives/agents from any failure or omission on its part to do so and against all claims or demands of liability and all consequences that may occur or arise for any default or failure on its part to conform or comply with the above and all other statutory obligation's arising therefrom.

The Vendor shall promptly and timely obtain all such consents, permissions, approvals, licenses, etc., as may be necessary or required for any of the purposes of this project or for the conduct of their own business under any applicable Law, Government Regulation/Guidelines and shall keep the same valid and in force during the term of the project, and in the event of any failure or omission to do so, shall indemnify, keep indemnified, hold harmless, defend, protect and fully compensate Bank and its employees/officers/staff/personnel/ representatives(agents from and against all claims or demands of liability and all consequences that may Occurs or arise for any default or failure on its part to conform or comply with the above and all other statutory obligation's arising there from and Bank will give notice of any such claim or demand of liability within reasonable time to the Vendor.

The Vendor shall also provide a certificate from Statutory Auditor or Cost Auditor of the Company or from practicing Cost Accountant giving the percentage local contents as defined in PPP order issued by GOI from time to time and applicable for the said procurement/project.

## **19. FORCE MAJEURE**

Force Majeure is herein defined as any cause, which is beyond the control of the selected bidder or the Bank as the case maybe which they could not foresee or with a reasonable amount of diligence could not have foreseen and which substantially affect the performance, such as:

- Natural phenomenon, including but not limited to floods, droughts, earthquakes, epidemics,
- Situations, including but not limited to war, declared or undeclared, priorities, quarantines, embargoes,
- Terrorist attacks, public unrest in work area,

Provided either party shall within ten (10) days from the occurrence of such a cause notify the other in writing of such causes. The Selected bidder or the Bank shall not be liable for delay in performing his / her obligations resulting from any Force Majeure cause as referred to and / or defined above.



## **20. COMPLETENESS OF THE PROJECT**

The project will be deemed as incomplete if the desired objectives of the project as mentioned in Section "Scope of Work" of this document are not achieved.

## **21. ACCEPTANCE TESTING**

The Bank will carry out the acceptance tests as per Scope of work Part – IV supplied & implemented by the selected bidder as a part of the Project. The Vendor shall assist the Bank in all acceptance tests to be carried out by the Bank. The provisioned items will be deemed accepted only on successful acceptance of those products and the vendor would need to provision insurance of those items till successful acceptance. The Bank at its discretion may modify, add or amend the acceptance tests which then will have to be included by the vendor. The Vendor shall arrange for the tests at the relevant sites in the presence of the officials of the Bank. The Vendor should ensure that the tests will involve trouble-free operation of the complete system apart from physical verification and testing and that there shall not be any additional charges payable by the Bank for carrying out this acceptance test.

## **22. ORDER CANCELLATION**

The Bank reserves its right to cancel/terminate the Order/Agreement in the event of occurrence of one or more of the following circumstances, that are not occasioned due to reasons solely and directly attributable to the Bank alone:

- a) The **selected Bidder/Vendor** commits a breach of any of the terms and conditions of the Letter of Intent/Purchase Order/Agreement;
- b) The selected Bidder/Vendor violates the Laws, Rules, Regulations, Bye-Laws, Guidelines, and Notifications etc.,;
- c) Serious discrepancy in the quality of service of selected Bidder/Vendor expected during the implementation, rollout and subsequent maintenance process;
- d) The selected Bidder/Vendor goes into liquidation voluntarily or otherwise;
- e) An attachment is levied or continues to be levied upon the selected Bidder/Vendor for a continuous period of 7 days upon the effects of the order;
- f) The progress regarding execution of the order by the selected Bidder/Vendor is found to be unsatisfactory;
- g) Non-compliance by the selected Bidder/Vendor of the scope of the job;
- h) Repetitive failure of the deployed personnel of the **selected Bidder/Vendor** to perform the job to the satisfaction of the Bank;

- i) The selected Bidder/Vendor fails to complete the assignment as per the timelines prescribed in the Work Order/ said Agreement/Contract and the extension, if any allowed.
- j) On the events of data piracy/privacy/system failures/security failures by the selected Bidder/Vendor;
- k) If deductions on account of liquidated damages exceed more than 10% of the total order price.

In case of cancellation/termination of Order/Agreement due to the above stated situations, the following consequences will entail:

- i. In case the selected Bidder/Vendor fails to deliver the ordered hardware, software, services and FM resources as stipulated in the delivery schedule, UCO BANK reserves the right to procure these deliverables from alternate sources at the risk, cost and responsibility of the selected Bidder/Vendor with the capping of 125% of the original quoted cost for such deliverable.**
- ii. If the selected Bidder/Vendor does not perform satisfactorily or delays in execution of contract, UCO Bank reserves the right to get the balance order executed by another party of its choice, in this event the selected Bidder/Vendor is bound to make good with the capping of 125% of the original quoted cost for such deliverable, which UCO Bank may have to incur in executing the balance order. This clause is applicable, if for any reason, the order is cancelled.**
- iii. UCO Bank reserves the right to recover any dues payable by the selected Bidder/Vendor under the contract from any amount outstanding to the credit of the selected Bidder/Vendor, including the bills and /or invoking the Performance Bank Guarantee under this Agreement.
- iv. In case of cancellation of order, any advance payments (except payment against ATS) made by the Bank to the selected Bidder/Vendor for implementation of project, would necessarily have to be returned to the Bank. If the selected Bidder/Vendor fails to return such payment within thirty (30) days, then the selected Bidder/Vendor have to return the amount to the Bank with interest @ 15% per annum, further the selected Bidder/Vendor would also be required to compensate the Bank for any direct loss suffered by the Bank due to the cancellation of the contract/purchase order and any additional expenditure to be incurred by the Bank to appoint any other Vendor. This is after repaying the original amount paid.



- v. The selected Bidder/Vendor shall be liable under this section if the contract/ purchase order has been cancelled in case the sum total of penalties and deliverables exceeds 10% of the TCO.

In case of order cancellation/termination, Bank will notify the selected Bidder/Vendor within Ninety (90) days' notice period inclusive of Thirty (30) days cure period before cancellation/termination of the Order/Agreement. However, it is clarified that the notice should specifically contain that the Ninety (90) days period for cancellation is inclusive of cure period of Thirty (30) days, if the selected Bidder/Vendor fails to cure within Thirty (30) days time the notice for cancellation will become absolute. For the sake of clarity, the period of 90 (Ninety) days will begin from the date of receipt of termination/cancellation notice in accordance with Clause no. 43 (in Part-V) of this Agreement. Any other mode of communicating the termination/cancellation of the Agreement will be deemed to be invalid.

The rights of the Bank enumerated above are in addition to the rights/remedies available to the Bank under the law(s) for the time being in force.

### **23. INDEMNITY**

In consideration of the Bank having agreed to accept our offer in terms of Request for Proposal No. UCO/DIT/1728/2022-23 Date: 30.09.2022, we the Bidder herein doth hereby agree and undertake that we shall indemnify and keep indemnified the Bank including its respective Directors, Officers and Employees, from and against any claims, demands, actions, proceedings, damages, recoveries, judgments, costs, charges liabilities, losses arising out of all kinds of accidents, destruction, deliberate or otherwise, violation of applicable laws, regulations, guidelines and/or environmental damages, if any, during the contract period or expenses of any nature and kind whatsoever and by whomsoever made in respect of the said RFP and any damage caused from and against all suits and other actions that maybe instituted or preferred against the Bank or which the Bank may have to bear, pay or suffer directly or indirectly due to omission or commission of any act on our part and/or on the part of our employees, representatives, agents and/or associates, sub-contractors in performance of the obligations enumerated under the said Request for Proposal.

We the Bidder further irrevocably and unconditionally agree and undertake to hold the Bank harmless, indemnify and keep the Bank indemnified from any of its following acts and deeds, irrespective of the value, genuineness or enforceability of the aforesaid Contract/Agreement or insolvency, bankruptcy, reorganization, dissolution, liquidation or change in ownership of UCO Bank or us or any other

circumstance whatsoever which might otherwise constitute a discharge of the Bidder:

a. material breach of any of the terms of the RFP/ SLA or breach of any representation or false representation or inaccurate statement or assurance or covenant or warranty or breach of confidentiality etc., of the service provider under these presents and/or Request for Proposal and Service Level Agreement/Contract/Master Service Level Agreement;

b. infringement of any intellectual property right, patents, trademarks, copyrights etc., including any claims of infringement of any third-party copyright, patents or other intellectual property and/or any third party claims on the Bank for malfunctioning of the equipment, software or deliverables or usage of any license, or such other statutory infringement in respect of all components provided to fulfil the scope of work under these presents and/or Request for Proposal and Service Level Agreement/Contract/Master Service Level Agreement;

PROVIDED HOWEVER (i) Bank notifies BIDDER in writing within 15 days of the claim and/ or Bidder is having knowledge of the same and/or reasonably it should be in notice of the bidder (ii) BIDDER will have control of the defence and all related settlement negotiations, however, if Bank, deem fit and proper may take control of the defence (iii) Bank provides BIDDER with the assistance, information, and authority reasonably necessary to perform the above, and (iv) the Bank will not make any statements, admissions or public remarks which may prejudice to the interest of the bidder.

**Exclusion:**

**Bidder shall not be obligated or liable under any provision of this Agreement for any performance problem, claim of infringement or other matter to the extent same is resulting, in whole or in part, from: (i) any modification of any Solution (other than a modification made solely by Bidder); (ii) any use of a Solution in breach of the Agreement; (iii) any combination of the Solution with any other software, hardware, product, technology, data or services; (iv) any use of any version of a Solution other than the Supported Release;**

**Bank's failure to implement corrections or changes to a Solution provided by Bidder/ Bidder; (vi) Bank's failure to subscribe to support and maintenance if then offered for the Solution; (vii) any Embedded Software; (viii) any transaction processed on behalf of Bank or its affiliates, users, or customers, including any credit, fraud or counterfeit losses; or (ix) any negligence, wrongful act, or breach of the Agreement by Bank or its Authorized Recipients, users or Customers.**

**“Embedded Software” shall mean embedded or bundled third-party software, including open source software. A ‘Release’ means the periodic provision of updates or modifications which Bidder, in its discretion, may incorporate into the Solution. A ‘Supported Release’ shall mean the immediately preceding Release, or other Releases made available in the previous twelve (12) months)**

**If any infringement claim is raised by any third party or any person, the Bidder will have the following remedial measures at their expenses:**

- **modify or replace all or part (s) of the Solution to make it non-infringing;**
- **procure for the Bank the right/license to continue using the Solution; or**
- **remove all or part (s) of the Solution. If the Vendor so removes all or a part (s) of a Solution, then the Vendor shall refund the same to the Bank in proportion, if Bank has paid a one-time upfront initial license fee for the Software.**

**However, it is clarified that this remedy will not curtail any of Bank’s rights under this Agreement.**

c. for any loss or damages caused to the Bank’s premises or property, loss of reputation & loss of life etc., solely attributable due to the acts of the Bidder/Bidder's employees, representatives, agents and/or associates;

d. non-compliance of the Bidder with the applicable laws and/or statutory obligations, if any, in performing its duties as a service provider under the said Request for Proposal and Service Level Agreement/Contract/Master Service

Level Agreement;

e. Gross Negligence or wilful misconduct attributable to the Bidder, its employees, representatives, agents and/or associates or any liabilities which pose significant risk;

We shall not enter into any settlement, compromise or make any statement (including failure to take appropriate steps) that may be detrimental to the rights, interest and reputation of the Bank (and/or its customers, users and service providers).

Service Provider will not be liable for any loss of profits, revenue, contracts or anticipated savings or and consequential or indirect loss or damages however caused.

All indemnities shall survive notwithstanding the expiry or termination of Service Level Agreement/Contract/Master Service Level Agreement and we shall continue to be liable under the indemnities.

Any notice, request or other communication to be given or made under this indemnity shall be in writing addressed to either Party at the address stated in the previously mentioned Agreement/Contract.

We hereby declare that this Indemnity is in addition to the Liquidated Damages as provided in these presents.

## **24. PUBLICITY**

Any publicity by the selected bidder in which the name of the Bank is to be used should be done only with the explicit written permission of the Bank. The Bidder shall not make or allow making a public announcement or media release about any aspect of the Contract unless The Bank first gives the Bidder its prior written consent.

## **25. PRIVACY AND SECURITY SAFEGUARDS**

The selected bidder shall not publish or disclose in any manner, without the Bank's prior written consent, the details of any security safeguards designed, developed, or implemented by the selected bidder under this contract or existing at any Bank location. The Selected bidder shall develop procedures and implementation plans to ensure that IT resources leaving the control of the assigned user (such as being reassigned, removed for repair, replaced, or upgraded) are cleared of all Bank Data and sensitive application software. The Selected bidder shall also ensure that all subcontractors who are involved in providing such security safeguards or part of it shall not publish or disclose in any manner, without the Bank's prior written consent, the details of any security safeguards designed, developed, or implemented by the selected bidder under this contract or existing at any Bank location.

## **26. TECHNOLOGICAL ADVANCEMENTS**

The Selected bidder shall take reasonable and suitable action, taking into account economic circumstances, at mutually agreed increase / decrease in charges, and the Service Levels, to provide the Services to the Bank at a technological level that will enable the Bank to take advantage of technological advancement in the industry from time to time.

## **27. GUARANTEES**

Selected bidder should guarantee that all the material as deemed suitable for the for RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related

modules. All hardware and software must be supplied with their original and complete printed documentation.

## **28. EXIT OPTION AND CONTRACT RE-NEGOTIATION**

The Bank reserves the right to cancel the said Agreement/Contract in the event of happening one or more of the following conditions:

- a) Failure of the selected bidder/Vendor to accept the Letter of Intent/Purchase Order and/or failure to furnish the Performance Bank Guarantee within a period of fifteen (15) days of receipt of Letter of Intent/Purchase Order;
- b) Delay in commissioning the Project beyond the specified period;
- c) Delay in completion of the commissioning/implementation and acceptance tests/ checks beyond the specified periods;

Bank will notify the selected bidder/Vendor with Ninety (90) days notice inclusive of a cure period of thirty (30) days before cancellation/termination. However, it is clarified that the notice should specifically contain that the Ninety (90) days period for cancellation is inclusive of a cure period of thirty (30) days, if the Vendor fails to cure within thirty (30) days' time, then the notice for cancellation will become absolute. For the sake of clarity, the period of Ninety (90) days will begin from the date of receipt of termination/cancellation notice in accordance with Clause no. 43 (in Part-V) of this Agreement. Any other mode of communicating termination/cancellation of the Agreement will be deemed to be invalid.

In addition to the cancellation of Purchase Order/said Agreement/Contract, the Bank reserves the right to appropriate the damages through invocation of Performance Bank Guarantee furnished by the selected bidder/Vendor.

Notwithstanding the existence of a dispute, and/or the commencement of arbitration proceedings, the Vendor will be expected to continue the services. The Bank shall have the sole and absolute discretion to decide whether proper reverse transition mechanism over a period of six (6) to twelve (12) months, has been complied with. In the event of the conflict not being resolved, the conflict will be resolved through Arbitration.

The Bank and the Vendor shall together prepare the Reverse Transition Plan. However, the Bank shall have the sole decision to ascertain whether such Plan has been complied with.

Reverse Transition mechanism would typically include service and tasks that are required to be performed / rendered by the Vendor to the Bank or its designate to

ensure smooth handover and transitioning of Bank's deliverables, maintenance and facility management.

**The reverse transition period may or may not be within the contract period. In case the reverse transition period falls within the contract period, all the terms & conditions, scope of work mentioned in the contract will hold good along with smooth handover and transitioning of Bank's deliverables to the Bank or its designates/nominees and no extra payment shall be paid by the Bank for such reverse transition.**

**However, if the reverse transition mechanism to be adopted after the expiry of the contract period, the Bank and the Vendor shall together prepare the Reverse Transition Plan for smooth handover and transitioning of Bank's deliverables to the Bank or its designates/nominees including maintenance and facility management. Though in such case the Bank shall have the sole authority to ascertain whether such Plan has been complied with, but the payment for such reverse transition would be determined on pro rata basis or on mutual consent of the Parties.**

## **29. TERMINATION FOR CONVENIENCE**

The Bank, by a written notice for a period of ninety (90) days (both in words and figures) sent to the selected Bidder/Vendor, may terminate the said Agreement/Contract, in whole or in part, at any time at its convenience. The notice of termination shall specify that the termination is for Bank's convenience, the extent to which the performance of work under the said Agreement/Contract is terminated and the date upon which such termination becomes effective.

## **30. TERMINATION FOR INSOLVENCY**

The Bank may at any time terminate the Contract by giving written notice **(90 days' notice period)** to the Bidder, if the Bidder becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the Bidder, provided that such termination will not prejudice or affect any right of action or remedy, which has accrued or will accrue thereafter to the Bank.

## **31. TERMINATION FOR DEFAULT**

The Bank, without prejudice to any other remedy for breach of Contract, by written notice of default sent to the bidder, may terminate this Contract in whole or in part, if the bidder fails to perform any obligation(s) under the Contract.

**In case of Termination for Default Bank will provide notice period of 90 days inclusion cure period of 30 days. However, it is clarified that the notice should**

**specifically contain that the 90 days period for cancellation is inclusive of cure period of 30 days, if the Vendor fails to cure within 30 days' time the notice for cancellation will become absolute.**

### **32. CONSEQUENCES OF TERMINATION**

In the event of termination of the Contract due to any cause whatsoever, (whether consequent to the stipulated term of the Contract or otherwise), UCO Bank shall be entitled to impose any such obligations and conditions and issue any clarifications as may be necessary to ensure an efficient transition and effective business continuity of the Service(s) which the Vendor shall be obliged to comply with and take all available steps to minimize loss resulting from that termination/breach, and further allow the next successor Vendor to take over the obligations of the erstwhile Vendor in relation to the execution / continued execution of the scope of the Contract.

In the event that the termination of the Contract is due to the expiry of the term of the Contract, a decision not to grant any (further) extension by UCO Bank, the bidder herein shall be obliged to provide all such assistance to the next successor bidder or any other person as may be required and as UCO Bank may specify including training, where the successor(s) is a representative/personnel of UCO Bank to enable the successor to adequately provide the Service(s) hereunder, even where such assistance is required to be rendered for a reasonable period that may extend beyond the term/earlier termination hereof.

Nothing herein shall restrict the right of UCO Bank to invoke the Performance Bank Guarantee and other guarantees, securities furnished, enforce the Deed of Indemnity and pursue such other rights and/or remedies that may be available to UCO Bank under law or otherwise.

The termination hereof shall not affect any accrued right or liability of either Party nor affect the operation of the provisions of the Contract that are expressly or by implication intended to come into or continue in force on or after such termination.

### **33. SIGNING OF CONTRACT**

The selected bidder(s) shall be required to enter into a service level agreement (SLA) with UCO Bank, within 15 days of the award of the Bid through a Letter of Empanelment or within such extended period as may be specified.

The SLA shall be based on the requirements of this RFP, the terms and conditions of purchase order, the letter of acceptance and such other terms and conditions as may be determined by the Bank to be necessary for the proper performance of the work in accordance with the Bid and the acceptance thereof, with terms and



conditions contained in a Memorandum of Understanding to be signed at the time of execution of the Form of Contract.

The selected bidder will also sign a Non-Disclosure Agreement and Deed of Indemnity with the Bank on a format prescribed by the Bank.

### **34. TECHNICAL INSPECTION AND PERFORMANCE EVALUATION**

UCO Bank reserves its right to carry out a technical inspection and performance evaluation (bench-marking) of the offered item(s). Eligible bidders will be required to demonstrate their solution and / or to make technical presentation at Bank's Head Office, Kolkata for the proposed solution / service. Bidders will have to make such presentation / demonstration at their own cost.

The bidders will be required to demonstrate the features of the proposed solution covering all the requirements mentioned in the "scope of work" as part of technical evaluation. Non-compliance of any point will lead to rejection of the bid. Commercial bids of only technically qualified bidders will be opened and evaluated by the Bank.

### **35. VERIFICATION**

UCO Bank reserves the right to verify any or all statements made by the vendor in the Bid document and to inspect the vendor's facilities, if necessary, to establish to its satisfaction about the vendor's capacity to perform the job.

### **36. DISPUTE RESOLUTION MECHANISM**

- a. The Bidder and The Bank shall endeavour their best to amicably settle all disputes arising out of or in connection with the Contract in the following manner:
  - i. The Party raising a dispute shall address to the other Party a notice requesting an amicable settlement of the dispute within seven (7) days of receipt of the notice.
  - ii. The matter will be referred for negotiation between Deputy General Manager of The Bank / Purchaser and the Authorised Official of the Bidder. The matter shall then be resolved between them and the agreed course of action documented within a further period of 15 days.
- b. In case any dispute between the Parties, does not settle by negotiation in the manner as mentioned above, the same may be resolved exclusively by arbitration and such dispute may be submitted by either party for arbitration within 20 days of the failure of negotiations. Arbitration shall be held in Kolkata and conducted in accordance with the provisions of Arbitration and



Conciliation Act, 1996 or any statutory modification or re-enactment thereof. Each Party to the dispute shall appoint one arbitrator each and the two arbitrators shall jointly appoint the third or the presiding arbitrator.

- c. The "Arbitration Notice" should accurately set out the disputes between the parties, the intention of the aggrieved party to refer such disputes to arbitration as provided herein, the name of the person it seeks to appoint as an arbitrator with a request to the other party to appoint its arbitrator within 45 days from receipt of the notice. All notices by one party to the other in connection with the arbitration shall be in writing and be made as provided in this tender document.
- d. The arbitrators shall hold their sittings at Kolkata. The arbitration proceedings shall be conducted in English language. Subject to the above, the courts of law at Kolkata alone shall have the jurisdiction in respect of all matters connected with the Contract/Agreement even though other Courts in India may also have similar jurisdictions. The arbitration award shall be final, conclusive and binding upon the Parties and judgment may be entered thereon, upon the application of either party to a court of competent jurisdiction. Each Party shall bear the cost of preparing and presenting its case, and the cost of arbitration, including fees and expenses of the arbitrators, shall be shared equally by the Parties unless the award otherwise provides.
- e. The Bidder shall not be entitled to suspend the Service/s or the completion of the job, pending resolution of any dispute between the Parties and shall continue to render the Service/s in accordance with the provisions of the Contract/Agreement notwithstanding the existence of any dispute between the Parties or the subsistence of any arbitration or other proceedings.

### **37. ARBITRATION**

All dispute or differences whatsoever arising between the selected bidder and the Bank out of or in relation to the construction, meaning and operation, with the selected bidder, or breach thereof shall be settled amicably. If, however, the parties are not able to resolve any dispute or difference aforementioned amicably, the same shall be settled by arbitration in accordance with the Rules of Arbitration of the Indian Council of Arbitration and the award made in pursuance thereof shall be binding on the parties. The Arbitrator / Arbitrators shall give a reasoned award.

Work under the Contract shall be continued by the Selected bidder during the arbitration proceedings unless otherwise directed in writing by the Bank unless the matter is such that the work cannot possibly be continued until the decision of the arbitrator or of the umpire, as the case may be, is obtained and save as those

which are otherwise explicitly provided in the Contract, no payment due to payable by the Bank, to the Selected bidder shall be withheld on account of the on-going arbitration proceedings, if any unless it is the subject matter or one of the subject matters thereof. The venue of the arbitration shall be at KOLKATA, INDIA.

### **38. APPLICABLE LAW AND JURISDICTION OF COURT**

The Contract with the Selected bidder shall be governed in accordance with the Laws of India for the time being enforced and will be subject to the exclusive jurisdiction of Courts at Kolkata (with the exclusion of all other Courts).

### **39. LIMITATION OF LIABILITY**

- i. For breach of any obligation mentioned in this document, subject to point no. (iii), in no event the Vendor shall be liable for damages to the Bank arising under or in connection with this Agreement for an amount exceeding the total project cost/contract value.
- ii. The selected Bidder/Vendor will ensure Bank's data confidentiality and shall be responsible for liability arising in case of breach of any kind of security and/or leakage of confidential customer/Bank's related information to the extent of the loss caused to the Bank.
- iii. The limitations set forth in point no. (i) shall not apply with respect to:
  - a) claims that are the subject of indemnification pursuant to violation of Intellectual Property Rights and Ownership.
  - b) damages occasioned by the gross negligence or wilful misconduct of selected Bidder/Vendor.
  - c) damages occasioned by the selected Bidder/Vendor for breach of confidentiality obligations.
  - d) Regulatory or statutory penalty imposed by the Government or any Regulatory agency or non-compliance of statutory or regulatory guidelines applicable to the Project.
- iv) The selected Bidder/Vendor will not be liable for any loss of profits, revenue, contracts or anticipated savings or and consequential or indirect loss or damages however caused.

**“Gross Negligence”** means an indifference to, and/or a blatant violation of a legal duty with respect of the rights of others, being a conscious and voluntary disregard of the need to use reasonable care, which is likely to cause foreseeable

grave injury or harm to persons, property, or both. Gross negligence involves conduct that is extreme, when compared with ordinary negligence. A mere failure to exercise reasonable care shall not be a gross negligence.

**“Wilful Misconduct”** means any act or failure to act with an intentional disregard of any provision of this RFP/Contract, which a party knew or should have known if it was acting as a reasonable person, which would result in injury, damage to life, personal safety, real property, harmful consequences to the other party, but shall not include any error of judgment or mistake made in good faith.

#### **40. AMENDMENT(S) IN THE CONTRACT / SERVICE LEVEL AGREEMENT**

There shall be no variation(s) in or modification(s) in the terms of the Contract/ Service Level Agreement save and except by a written mutual consent signed by both the Parties i.e. the Bank and Vendor. Bank shall have the discretion/liberty to give effect to any amendment, modification etc. in the Contract/Service Level Agreement, if so required by Bank, sequel to any amendment(s)/ modification(s) etc. in the applicable Laws/Statutes including but not limited to amendment(s)/ modification(s) etc. in the existing Guidelines/ Instructions issued/to be issued by any Regulatory Authority.

#### **41. SEVERABILITY**



If any provision of this Agreement is held to be illegal, invalid, or unenforceable under any Applicable Law, and if the rights or obligations of the Parties under this Contract/ Service Level Agreement will not be materially and adversely affected thereby (a) such provision will be fully severable; (b) this Contract/Service Level Agreement will be construed and enforced as if such illegal, invalid, or unenforceable provision had never been comprised a part hereof; and (c) the remaining provisions of this Contract/ Service Level Agreement will remain in full force and effect and will not be affected by the illegal, invalid, or unenforceable provision or by its severance here from.

#### **42. BLACKLISTING**

##### **Grounds for Disqualification & Blacklisting**

- (A)** Notwithstanding anything contained in this document, any Bidder/selected Vendor shall be disqualified when –
- i. any Bidder who have been black-listed or otherwise debarred by any Bank/Financial Institution/Central Government/State Government/any Central or State Undertaking or Corporation/Reserve Bank of India or any other Regulatory/Statutory Authority as on date of the publication of this Tender/Procurement;

- ii. any bidder whose Contract/Agreement with any Bank/Financial Institution/Central Government/State Government/any Central or State Undertaking or Corporation/Reserve Bank of India or any other Regulatory/Statutory Authority has been terminated before the expiry of the Contract/Agreement for breach of any terms and conditions at any point of time during the last five years;
- iii. any Bidder whose Earnest Money Deposit and/or Security Deposit have been forfeited by any Bank / Financial Institution/Central Government/ State Government/any Central or State Undertaking or Corporation/ Reserve Bank of India or any other Regulatory/Statutory Authority, during the last five years, for breach of any terms and conditions.

**(B)** Further disqualification can be imposed by the Bank in accordance with the procedures established, which may include but not limited to the following grounds, either at the stage of Competitive Bidding or at the stage of implementation of the Contract:

Commission of any act of:

- i) submission of a bid, proposal or any other document that is known or should be known by the Bidder to be false, misleading or non- meritorious or to contain false information or concealment of information which will influence the outcome of the eligibility screening/selection process;
- ii) any documented unsolicited attempt by the Bidder to unduly influence the decision making process of the Bank while determining the eligibility screening/selection process in favour of the Bidder;
- iii) unauthorized use of one's name or using the name of another for purpose of bidding;
- iv) breach of the terms of a public contract by a wilful or material failure to perform in accordance with the terms thereof;
- v) withdrawal of a bid, or refusal to accept an award, or enter into contract with any Bank/Financial Institution/Central Government/State Government/ any Central or State Undertaking or Corporation/Reserve Bank of India or any other Regulatory Authority without any justifiable cause, after the Bidder had been adjudged as having submitted the lowest calculated Responsive Bid or highest rated Responsive Bid;
- vi) refusal or failure to furnish the required performance security within the prescribed time frame;
- vii) refusal to clarify or validate the Bid submitted, in writing, within a period of seven (7) calendar days from receipt of the request for clarification if sought by the Bank;
- viii) that may tend to defeat the purpose of the competitive bidding, such as but not limited to; an eligible Bidder not purchasing the bid documents or not

complying with the requirements during the evaluation of bid or habitually withdrawing from bidding or submitting letter of non-participation for at least three (3) times within a year, except for valid reasons;

- ix)** lack of integrity or honesty or fraud, bribery, collusion or conspiracy;
- x)** failure by the Bidder/Vendor, due to solely his fault or negligence, to mobilize and commence the work or perform within the specified time period, as specified in the Tender Document, including but not limited to the Request for Proposal/ Request for Quotation/ Agreement/Letter of Intention/Purchase Order etc.,;
- xi)** failure by the Bidder/Vendor to fully and faithfully comply with its contractual obligations without valid cause, or failure to comply with any written lawful instruction of the Bank or its representative(s) pursuant to the implementation of the Contract, which may include but not limited to the following:
  - a. Employment of competent technical personal, competent engineers and/or work supervisors;
  - b. Provision of warning signs and barricades in accordance with approved plans and specifications and contract provisions;
  - c. Stockpiling in proper places of all materials and removal from the project site of waste and excess materials, including broken pavement and excavated debris in accordance with approved plans and specifications and contract provisions;
  - d. Deployment of committed equipment, facilities, support staff and manpower; and
  - e. Renewal of effectively date of the performance security after its expiry during the tenure of the Contract.
- xii)** assignment and subcontracting the Contract or any part thereof or substitution of key personnel named in the proposal without prior written approval by the Bank;
- xiii)** for the procurement of goods, unsatisfactory progress in the delivery of the goods by the manufacturer, supplier or distributor arising from his fault or negligence and/or unsatisfactory or inferior quality of goods, as may be provided in the contract;
- xiv)** for the procurement of consulting services, poor performance by the consultant of his services arising from his fault or negligence. The poor performance of the Consultant can include and may not be limited to defective design resulting in substantial corrective works in design and/or construction, failure to deliver critical outputs due to consultant's fault or negligence, specifying materials which are inappropriate, substandard, or way above acceptable standards, allowing defective workmanship or works by the contractor being supervised by the consultant etc.,
- xv)** for the procurement of infrastructure projects, poor performance by the contractor or unsatisfactory quality and/or progress of work arising from his fault

or negligence as per the existing performance monitoring system of the Bank shall be applied, quality of materials and workmanship not complying with the approved specifications arising from the contractor's fault or negligence, wilful or deliberate abandonment or non-performance of the project or contract by the contractor resulting to substantial breach thereof without lawful and/or just cause.

- (C) Any parameters described, *supra*, attributable to any Partner/Director shall be construed as disqualification for the Firm/Company, as the case may be.
- (D) The Bank will have the discretion to disqualify the Bidder/Vendor and/or initiate the process for blacklisting the Bidder/Vendor and may also entail forfeiture of performance security furnished by the Bidder/Vendor. “

### **43. NON-DISCLOSURE**

By virtue of Contract, as and when it is entered into between the Bank and the bidder, and its implementation thereof, the bidder may have access to the confidential information and data of the Bank and its customers. The bidder will enter into a Non-Disclosure Agreement to maintain the secrecy of Bank's data as per following: -

- a. That the bidder will treat the confidential information as confidential and shall not disclose to any third party. The bidder will also agree that its employees, agents, sub-contractors shall maintain confidentiality of the confidential information.
- b. That the bidder will agree that it shall neither use, nor reproduce for use in any way, any confidential information of the Bank without consent of the Bank. That the bidder will also agree to protect the confidential information of the Bank with at least the same standard of care and procedures used by them to protect its own confidential Information of similar importance. Without limitation of the foregoing, the bidder shall use reasonable efforts to advise the Bank immediately in the event that the successful bidder learns or has reason to believe that any person who has had access to confidential information has violated or intends to violate the terms of the Contract to be entered into between the Bank and the bidder, and will reasonably cooperate in seeking injunctive relieve against any such person.
- c. That if the bidder hires another person to assist it in the performance of its obligations under the Contract, or assigns any portion of its rights or delegates any portion of its responsibilities or obligations under the Contract to another person, it shall cause its assignee or delegate to be bound to retain the confidentiality of the confidential information in the same manner as the

Bidder is bound to maintain the confidentiality. This clause will remain valid even after the termination or expiry of this agreement.

d. That the bidder will strictly maintain the secrecy of Bank's data.

#### **44. NOTICES**

Notice or other communications given or required to be given under the contract shall be in writing and shall be faxed/e-mailed followed by hand-delivery with acknowledgement thereof, or transmitted by pre-paid registered post or courier. Any notice or other communication shall be deemed to have been validly given on date of delivery if hand delivered & if sent by registered post than on expiry of seven days from the date of posting.





**Tender offer forwarding letter**

To,  
**The Deputy General Manager**  
**UCO Bank, Head Office-II**  
**Department of Information Technology**  
**7<sup>th</sup> Floor, 3 & 4 DD Block, Sector -1,**  
**Salt Lake, Kolkata -700064**

Dear Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules (Re-Tendering).**

With reference to the above RFP, having examined and understood the instructions including all Annexures, terms and conditions forming part of the Bid, we hereby enclose our offer for RFP on Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules, as mentioned in the RFP document forming Technical as well as Commercial Bids being parts of the above referred Bid.

In the event of acceptance of our Technical as well as Commercial Bids by the Bank we undertake to implement Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules as per the terms & conditions of your purchase orders.

In the event of our selection by the Bank for undertaking RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules, we will submit a Performance Guarantee for a sum equivalent to 3% of the project cost for a period of 05 years effective from the date of go-live in favour of UCO Bank.

We agree to abide by the terms and conditions of this tender offer till 180 days from the date of commercial bid opening and our offer shall remain binding upon us which may be accepted by the Bank any time before expiry of 180 days.

Until a formal contract is executed, this tender offer, together with the Bank's written acceptance thereof and Bank's notification of award, shall constitute a binding contract between us.

We understand that the Bank is not bound to accept the lowest or any offer the Bank may receive

We enclose the following Demand draft(s)/Bank Guarantee:

1. DD No. Dated for Rs. 5,000/- (Rupees Five thousand Only) as Cost of RFP Document &



2. EMD NO. ....for Rs. 5,00,000/- (Rs. Five Lakh) in the form of bank guarantee.

Dated this    day of        2022

Signature:

(In the Capacity of)

Duly authorized to sign the tender offer for and on behalf of



**General Details of the Bidder****A. Profile of Bidder**

1. Name of bidder:
2. Location  
Regd. Office:  
Controlling Office:
3. Constitution
4. Date of incorporation & date of commencement of business:
5. Share holding pattern:
6. Major change in Management in last three years
7. Names of Banker /s

**B. Financial Position of Bidder for the last three financial years**

|                                      | 2018-19 | 2019-20 | 2020-21 |
|--------------------------------------|---------|---------|---------|
| <b>Net Worth</b>                     |         |         |         |
| <b>Turnover</b>                      |         |         |         |
| <b>Net Profit (Profit After Tax)</b> |         |         |         |

**N.B. Enclose copies of Audited Balance Sheets along with enclosures****C. Proposed Service details in brief**

- **Description of service :**
- **Details of similar service provided:**

**Details of Experience in the field of (Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules) (Re-Tendering)**

| <b>Institutions</b>         |               |           |
|-----------------------------|---------------|-----------|
| <b>Name of Organization</b> | <b>Period</b> |           |
|                             | <b>From</b>   | <b>To</b> |
|                             |               |           |
|                             |               |           |

**N.B. Enclose copies of Purchase Orders and references.**

Place:

AUTHORISED SIGNATORY

Date:

Name:

Designation:

**Letter to be submitted by the Manufacturer on firm's official letter head)  
Manufacturer Authorization Form (MAF)**

To,

**Deputy General Manager (DIT)  
UCO Bank, Head Office,  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064**

Dear Sir,

We ..... (Name of the Manufacturer) who are established and reputable manufacturers of ..... having offices at ....., ....., ..... and ..... do hereby authorize M/s ..... (Name and address of Bidder) who is the bidder submitting its bid pursuant to the Request for Proposal issued by UCO Bank on behalf, to submit a Bid and negotiate and conclude a contract with you for supply of equipment's manufactured by us against the Request for Proposal received from your bank by the Bidder and we have duly authorized the Bidder for this purpose.

We hereby extend our guarantee/ warranty and AMC as per terms and conditions of the **RFP Ref No.:** \_\_\_\_\_ and the contract for the equipment and services offered for supply against this RFP No \_\_\_\_\_ By the above-mentioned Bidder, and hereby undertake to perform the obligations as set out in the RFP No \_\_\_\_\_ In respect of such equipment and services. We undertake to provide back-to-back support for spare and skill to the bidder for subsequent transmission of the same to the Bank. We also undertake to provide support services during warranty as well as AMC period if the above bidder authorized by us fails to perform in terms of the RFP.

Yours Faithfully

Authorized Signatory

Name:

Phone No.

Fax

E\_mail

(This letter should be on the letterhead of the Manufacturer duly signed by an Authorized Signatory)

**FORMAT OF EARNEST MONEY DEPOSIT (EMD)**

To,..

**Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064.**

Dear Sir,

**Ref: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules (Re-Tendering).**

In response to your invitation to respond to your RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules, M/s \_\_\_\_ having their registered office at \_\_\_\_ (hereinafter called the 'Vendor') wish to respond to the said Request for Proposal (RFP) for self and other associated vendors and submit the proposal for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models (Re-Tendering) and other related modules and to provide related services as listed in the RFP document.

Whereas the 'Vendor' has submitted the proposal in response to RFP, we, the \_\_\_\_\_ Bank having our Head office \_\_\_\_\_ hereby irrevocably guarantee an amount of ` \_\_\_\_\_/- (Rupees \_\_\_\_ only) as EMD as required to be submitted by the 'Vendor' as a condition for participation in the said process of RFP.

The EMD for which this guarantee is given is liable to be enforced/ invoked:

If the Vendor withdraws his proposal during the period of the proposal validity;

Or

If the Vendor, having been notified of the acceptance of its proposal by the Bank during the period of the validity of the proposal fails or refuses to enter into the contract in accordance with the Terms and Conditions of the RFP or the terms and conditions mutually agreed subsequently.

We undertake to pay immediately, on demand to UCO Bank, the said amount of Rupees \_\_\_\_ without any reservation, protest, demur, or recourse. The said guarantee is liable to be invoked / enforced on the happening of the

contingencies as mentioned above and also in the RFP document and we shall pay the amount on any Demand made by UCO Bank which shall be conclusive and binding on us irrespective of any dispute or difference raised by the vendor.

Notwithstanding anything contained herein:

1. Our liability under this Bank guarantee shall not exceed ` \_\_\_\_\_ (Rupees \_\_\_\_ only).
2. This Bank guarantee will be valid up to \_\_\_\_; and
3. We are liable to pay the guarantee amount or any part thereof under this Bank guarantee only upon service of a written claim or demand by you on or before\_\_\_\_\_.

In witness whereof the Bank, through the authorized officer has sets its hand and stamp on this day of \_\_\_\_\_ at \_\_\_\_\_.

Yours faithfully,

For and on behalf of

\_\_\_\_\_ Bank

Authorized official

(NB: This guarantee will require stamp duty as applicable and shall be signed by the official whose signature and authority shall be verified. The signatory shall affix his signature, name and designation).

**PROFORMA FOR PERFORMANCE BANK GUARANTEE**  
**(To be stamped in accordance with the stamp act)**

1. In consideration of UCO BANK, a body corporate constituted under the Banking Companies (Acquisition & Transfer of Undertaking) Act, 1970, having its head office at 10 BIPLABI TRILOKYA MAHARAJ SARANI (BRABOURNE ROAD), Kolkata-700001 (hereinafter called "UCO BANK") having agreed to exempt **M/s** \_\_\_\_\_, a Company incorporated under the Companies Act, 1956 having its registered office at (Address of the selected bidder company) (hereinafter called "the said SELECTED BIDDER") from the demand, under the terms and conditions of UCO BANK's purchase order/ Letter of Intent bearing no. ....dated..... issued to the Selected bidder and an Agreement to be made between UCO Bank and the Selected bidder for a period of ..... In pursuance of Request For Proposal no.....dated....., as modified, (hereinafter called "the said Agreement"), of security deposit for the due fulfillment by the said SELECTED BIDDER of the Terms and conditions contained in the said Agreement, on production of a Bank Guarantee for Rs.....(Rupees.....Only). We,..... [indicate the name of the bank ISSUING THE BANK GUARANTEE] (hereinafter referred to as "the Bank") at the request of ..... [SELECTED BIDDER] do hereby undertake to pay to UCO BANK an amount not exceeding Rs.....against any loss or damage caused to or suffered or would be caused to or suffered by UCO BANK by reason of any breach by the said SELECTED BIDDER of any of the terms or conditions contained in the said Agreement.
2. We ..... [indicate the name of the bank ISSUING THE BANK GUARANTEE] do hereby undertake to pay the amounts due and payable under this guarantee without any demur, merely on a demand from UCO BANK stating that the amount claimed is due by way of loss or damage caused to or breach by the said SELECTED BIDDER of any of the terms or conditions contained in the said Agreement or by reason of the SELECTED BIDDER'S failure to perform the said Agreement. Any such demand made on the Bank shall be conclusive as regards the amount due and payable by the Bank under this guarantee. However, our liability under this guarantee shall be restricted to an amount not exceeding Rs.....
3. We undertake to pay to UCO BANK any money so demanded notwithstanding any dispute or disputes raised by the SELECTED BIDDER in any suit or proceeding pending before any court or Tribunal relating thereto our liability under this

present being absolute and unequivocal. The payment as made by us under this bond shall be a valid discharge of our liability for payment there under and the SELECTED BIDDER for payment there under and the SELECTED BIDDER shall have no claim against us for making such payment.

4. We, .....[indicate the name of the Bank ISSUING THE GUARANTEE] further agree that the guarantee herein contained shall remain in full force and effect during the period that would be taken for the performance of the said Agreement and that it shall continue to be enforceable till all the dues of BANK under or by virtue of the said Agreement have been fully paid and its claims satisfied or discharged or till UCO BANK certifies that the terms and conditions of the said Agreement have been fully and properly carried out by the said SELECTED BIDDER and accordingly discharged this guarantee. Unless a demand or claim under this guarantee is made on us in writing on or before .....(Expiry of claim period), we shall be discharged from all liabilities under this guarantee thereafter.

5. We ..... [indicate the name of Bank ISSUING THE GUARANTEE] further agree with UCO BANK that UCO BANK shall have the fullest liberty without our consent and without affecting in any manner our obligations hereunder to vary any of the terms and conditions of the said Agreement or to extend time of performance by the said SELECTED BIDDER from time or to postpone for any time, or from time to time any of the powers exercisable by UCO BANK against the said SELECTED BIDDER and to forebear or enforce any of the terms and conditions relating to the said agreement and we shall not be relieved from our liability by reason of any variation, or extension being granted to the said SELECTED BIDDER or for any forbearance, act or omission on the part of UCO BANK of any indulgence by UCO BANK to the said SELECTED BIDDER or by any such matter or thing whatsoever which under the law relating to sureties would, but for this provision, have effect of so relieving us.

6. This guarantee will not be discharged due to the change in the constitution of the Bank or the SELECTED BIDDER.

7. We, ..... [indicate the name of Bank ISSUING THE GUARANTEE ] lastly undertake not to revoke this guarantee during its currency except with the previous consent of UCO BANK in writing.

Notwithstanding anything contained herein:

- a. Our liability under this Bank Guarantee shall not exceed Rs..... (Rupees.....) Only.
- b. This Bank Guarantee shall be valid upto .....and

c. We are liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only and only if you serve upon us a written claim or demand on or before .....(date of expiry of Guarantee including claim period).

8. Dated the ..... day of ..... for ..... [indicate the name of Bank]

Yours' faithfully,

For and on behalf of

\_\_\_\_\_ Bank

Authorized Official

**NOTE:**

1. Selected bidder should ensure that the seal and CODE No. of the signatory is put by the bankers, before submission of the bank guarantee.
2. Bidder guarantee issued by banks located in India shall be on a Non-Judicial Stamp Paper of requisite value as applicable to the place of execution.





**UNDERTAKING FOR NON-BLACKLISTING / NON-DEBARMENT OF THE BIDDER  
TO BE STAMPED AS A DECLARATION & DULY ATTESTED BY A NOTARY (Stamp Paper)**

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules(Re-Tendering)**

- 1) I/We, Proprietor/Partner(s)/Director(s) of M/s..... hereby confirm that I/We have read and understood the eligibility criteria and fulfil the same.
- 2) I/We further confirm that all the information furnished by me/us, as per the requirement of the Bank, have been included in our bid.
- 3) I/We further hereby undertake and agree to abide by all terms and conditions and guidelines stipulated by the Bank. We understand that any deviation may result in disqualification of our bid.
- 4) \*I/We further hereby declare that I/We have not been black-listed or otherwise debarred by any Bank/Financial Institution/Central Government/ State Government/any Central or State Undertaking or Corporation/ Reserve Bank of India or any other Regulatory Authority or any other Statutory Authority as on date of the publication of this Tender/Procurement.

**(OR)**

I/We further hereby declare that the Proprietorship Concern/Partnership Firm/Company/..... (if any other entity) namely M/s ..... was blacklisted/debarred/terminated /under termination/ issued any letter of termination by.....(Name of the Authority who blacklisted/debarred/ terminated /under termination/ issued any letter of termination) from taking part in their Tender/Procurement for a period of .....years w.e.f. ....to..... The period is subsisting/over on.....and now I/We is/are entitled to take part in Tender/Procurement.

- 5) I/We declare that no proceedings/inquiries/investigations have commenced/pending against me/us by any Statutory Authority/Regulatory Agency/Investigating Agency which may result in liquidation of company/ firm/proprietorship concern and/or may act as deterrent on the continuity of business and/or may hamper in providing the said services, as envisaged in this document.

6) I/We undertake that adequate number of resources, if required by the Bank, will be deployed for the project to complete the assignment within the stipulated time.

**\*STRIKE OUT WHICH IS INAPPLICABLE**

**(Deviation to the above if any, the Bidder must provide details of such action(s))**

Signature (1) ..... (2) .....

(duly authorized to sign)

Name:.....

Capacity in which as executed:.....

Name & registered address of the Bidder:.....

Seal of the Bidder to be affixed



**Undertaking to abide by all by-laws / rules / regulations**  
**(TO BE EXECUTED ON NON-JUDICIAL STAMP PAPER OF REQUISITE VALUE)**

To,  
**The Deputy General Manager**  
**UCO Bank, Head Office-II**  
**Department of Information Technology**  
**7<sup>th</sup> Floor, 3 & 4 DD Block, Sector – 1**  
**Salt Lake, Kolkata – 700064.**

**Sub: Declaration-Cum-Undertaking regarding compliance with all statutory requirements**

In consideration of UCO Bank, a body corporate, constituted under Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970 as amended from time to time having its Head Office at 10, Biplabi Trailokya Maharaj Sarani, Kolkata-700001 (hereinafter referred to as "Bank" which expression shall include its successors and assigns), we, M/s....., having its Registered Office at....., do hereby, having examined the RFP including all Annexure, confirm and agree to comply with all Laws, Rules, Regulations, By-Laws, Guidelines, Notifications etc.



We hereby undertake and agree to abide by all the terms and conditions stipulated by the Bank in the RFP Ref. No: UCO/DIT/1728/2022-23 Date: 30/09/2022 including all annexure, addendum, corrigendum and amendments, if any. We certify that the services offered shall be in conformity with the terms & conditions and Scope of Work stipulated in the annexures of the said RFP.

We do also hereby irrevocably and unconditionally agree and undertake to save and keep the Bank, including its respective directors, officers, and employees and keep them harmless from and against any claim, demand, losses, liabilities or expenses of any nature and kind whatsoever and any damage caused from and against all suits and other actions that may be instituted taken or preferred against the Bank by whomsoever and all losses, damages, costs, charges and expenses arising out of non-compliance with or non-adherence to any statutory/regulatory requirements and/or any other law for the time being in force.

We also confirm that payment to the engaged employees shall be made in consonance with the Minimum Wages Act in vogue and their duty hours will also be as per applicable labour laws of country.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 2022 .

**Place:**

**For M/s. .... [Seal and Signature(s) of the Authorized Signatory (s)]**

**Undertaking Letter on the selected bidder's letterhead for Central Minimum Wages Act & Labour Laws**

To,  
Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064

Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules (Re-Tendering)**

Further to our proposal dated ..... in response to the Request for Proposal (Bank's tender No..... herein referred to as RFP) issued by Bank, we hereby covenant, warranty and confirm as follows:

In this regard we confirm that the employees engaged by our Company to carryout the services in your bank for the above said contract are paid minimum wages / salaries as stipulated in the Government (Central / State) Minimum Wages / Salaries act in force. All the employees/operator deployed by the selected bidder for the digitization activity must comply with government's rules and regulations like minimum wages act, Provident Fund and ESIC facility standard. We also indemnify the Bank against any action / losses / damages that arise due to action initiated by Commissioner of Labour for non-compliance to the above criteria.

We further authorize the Bank to deduct from the amount payable to the Company under the contract or any other contract of the Company with the Bank if a penalty is imposed by Labour Commissioner towards non-compliance to the "Minimum Wages / Salary stipulated by government in the Act by your company.

**(Proof of compliance and labour license needs to be submitted along with the quotation)**

Yours faithfully,

**Authorised Signatory**

**Designation**

**Bidder's corporate name**

**Place:**

**Date:**

**Undertaking Letter on the vendor's letterhead for GST Law**

To,  
Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064

Dear Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)**

Further to our proposal dated ....., in response to the Request for Proposal (Bank's tender No. .... hereinafter referred to as "RFP") issued by Bank, we hereby covenant, warrant and confirm as follows:

We, the bidder M/s ....., hereby agree to comply with all applicable GST Laws including GST Acts, Rules, Regulations, Procedures, Circulars & Instructions thereunder applicable in India from time to time and to ensure that such compliance is done.

Yours faithfully,

For.....

Designation:

(Signature and seal of authorized person)

Bidder's corporate name:

Place:

Date:

**Undertaking for Price Validity & Acceptance of all terms & conditions of RFP**

To,  
Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064

Dear Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)**

We understand that Bank is not bound to accept the lowest or any bid received and Bank may reject all or any bid. We shall keep the price valid for the entire contract period from the date of issuance of the first Work order.

If our bid is accepted, we are responsible for the due performance as per the scope of work and terms & conditions as per mentioned in RFP.

It is certified that the information furnished here in and as per the document submitted is true and accurate and nothing has been concealed or tampered with.

We have gone through all the conditions of bid and are liable to any punitive action for furnishing false information / documents.

Yours faithfully,

For.....

(Signature and seal of authorized person)

Place:

Date:

**Undertaking for No Deviation**

To,  
Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064

Dear Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)**

Further to our proposal dated ....., in response to the Request for Proposal (Bank's tender No. .... hereinafter referred to as "RFP") issued by Bank, we hereby covenant, warrant and confirm as follows:

We hereby agree to comply with all the terms and conditions / stipulations as contained in the RFP and the related addendums and other documents including the changes made to the original tender documents if any, issued by the Bank. The Bank is not bound by any other extraneous matters or deviations, even if mentioned by us elsewhere either in our proposal or any subsequent deviations sought by us, whether orally or in writing, and the Bank's decision not to accept any such extraneous conditions and deviations will be final and binding on us.

**We undertake to execute Deed of Indemnity, Non-disclosure Agreement & provide Performance Bank Guarantee as per the annexure enclosed in the RFP, in case of emergence as a successful bidder.**

Yours faithfully,

For.....

Designation:

(Signature and seal of authorized person) Bidder's corporate name:

Place:

Date:

**Certificate from Chartered Accountant (signed & stamped) showing company's financial position in last 3 years (annual turnover, profit / loss, net-worth etc.)**

|                      | <b>2018-19</b> | <b>2019-20</b> | <b>2020-2021</b> |
|----------------------|----------------|----------------|------------------|
| <b>Turnover</b>      |                |                |                  |
| <b>Profit / Loss</b> |                |                |                  |
| <b>Net worth</b>     |                |                |                  |



**Format of Pre-Bid Queries to be submitted by the Bidder(s)**  
**To be e-mailed in .doc format**

Name of the Bidder:

Name of the Contact Person of the Bidder:

Contact Number of the Contact Person:

Email id of the Contact Person:

| <b>RFP No.</b> | <b>Page No.</b> | <b>RFP Clause No.</b> | <b>Original RFP Clause</b> | <b>Query sought/ Suggestions of the Bidder</b> |
|----------------|-----------------|-----------------------|----------------------------|--|
|                |                 |                       |                            |  |
|                |                 |                       |                            |  |
|                |                 |                       |                            |  |
|                |                 |                       |                            |  |
|                |                 |                       |                            |  |

**INTEGRITY PACT**

**(To be stamped as per the Stamp Law of the Respective State)**

1. Whereas UCO Bank having its registered office at UCO BANK, a body corporate constituted under The Banking companies (Acquisition & Transfer Act of 1970), as amended by The Banking Laws (Amendment) Act, 1985, having its Head Office at 10, Biplabi Trailokya Maharaj Sarani , Kolkata-700001 acting through its Department of IT, represented by Authorised Signatory hereinafter referred to as the Buyer and the first party, proposes to implement Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules hereinafter referred to as Stores and / or Services. And

**M/s \_\_\_\_\_ having its registered office at \_\_\_\_\_ represented by \_\_\_\_\_**

**Authorised signatory**, (which term, unless expressly indicated by the contract, shall be deemed to include its successors and its assignee), hereinafter referred to as the bidder/seller and the second party, is willing to offer/has offered the Stores and / or Services.

2. Whereas the Bidder/Seller is a private company/public company/ /partnership/registered export agency, constituted in accordance with the relevant law in the matter and the BUYER is a Public Sector Undertaking and registered under Companies Act 1956. Buyer and Bidder/Seller shall hereinafter be individually referred to as –Party or collectively as the –parties, as the context may require.

**3. Preamble**

Buyer has called for tenders under laid down organizational procedures intending to enter into contract/s for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering) and the Bidder /Seller is one amongst several bidders /Proprietary Vendor /Customer Nominated Source/Licensor who has indicated a desire to bid/supply in such tendering process. The Buyer values and takes primary responsibility for values full compliance with all relevant laws of the land, rules, regulations, economic use of resources and of fairness / transparency in its relations with its Bidder (s) and / or Seller(s).

In order to achieve these goals, the Buyer will appoint Independent External Monitor(s) (IEMs) in consultation with Central Vigilance Commission, who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

**4. Commitments of the Buyer**

**4.1** The Buyer commits itself to take all measures necessary to prevent corruption and fraudulent practices and to observe the following principles:-

- (i)** No employee of the Buyer, personally or through family members, will in connection with the tender, or the execution of a contract demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.
- (ii)** The Buyer will during the tender process treat all Bidder(s) /Seller(s) with equity and reason. The Buyer will in particular, before and during the tender process, provide to all Bidder (s) /Seller(s) the same information and will not provide to any Bidders(s) /Seller(s) confidential /additional information through which the Bidder(s) / Seller(s) could obtain an advantage in relation to the process or the contract execution.
- (iii)** The Buyer will exclude from the process all known prejudiced persons.

**4.2** If the Buyer obtains information on the conduct of any of its employees which is a criminal offence under the Indian Legislation Prevention of Corruption Act 1988 as amended from time to time or if there be a substantive suspicion in this regard, the Buyer will inform to its Chief Vigilance Officer and in addition can initiate disciplinary action.

## **5 Commitments of the Bidder(s) /Seller(s):**

**5.1** The Bidder(s)/ Seller(s) commit itself to take necessary measures to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.

- (i)** The Bidder(s) /Seller(s) will not directly or through any other persons or firm, offer promise or give to any of the Buyer's employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he / she is not legally entitled to, in order to obtain in exchange any advantage during the tendering or qualification process or during the execution of the contract.
- (ii)** The Bidder(s) /Seller(s) will not enter with other Bidders / Sellers into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
- (iii)** The bidder(s) /Seller(s) will not commit any offence under the Indian legislation, Prevention of Corruption Act, 1988 as amended from time to time. Further, the Bidder(s) /Seller(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Buyer as part of the business relationship, regarding plans, technical

proposals and business details, including information constrained or transmitted electronically.

- (iv) The Bidder(s) /Seller(s) shall ensure compliance of the provisions of this Integrity Pact by its sub-supplier(s) / sub-contractor(s), if any, Further, the Bidder /Seller shall be held responsible for any violation/breach of the provisions by its sub-supplier(s) /Sub-contractor(s).
- (v) Foreign bidders to disclose the name and address of agents and representatives in India and Indian Bidders to disclose their foreign principals or associates.
- (vi) Bidders not to pass any information provided by the Principal as part of business relationship to others and not to commit any offence under PC/IPC Act.
- (vii) Bidders to disclose the payments to be made by them to agents/brokers or any other intermediary.

**5.2** The Bidder(s) /Seller(s) shall ensure compliance of the provisions of this Integrity Pact by its sub-supplier(s) / sub-contractor(s), if any, Further, the Bidder /Seller shall be held responsible for any violation /breach of the provisions by its sub-supplier(s) /sub-contractor(s).

**5.3** The Bidder(s) /Seller(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.

#### **5.4 Agents / Agency Commission**

The Bidder /Seller confirms and declares to the Buyer that the bidder/Seller is the original manufacturer/authorized distributor / stockiest of original manufacturer or Govt. Sponsored /Designated Export Agencies (applicable in case of countries where domestic laws do not permit direct export by OEMS of the stores and /or Services referred to in this tender / Offer / contract / Purchase Order and has not engaged any individual or firm, whether Indian or Foreign whatsoever, to intercede, facilitate or in any way to recommend to Buyer or any of its functionaries, whether officially or unofficially, to the award of the tender / contract / Purchase order to the Seller/Bidder; nor has any amount been paid, promised or intended to be paid to any such individual or firm in respect of any such intercession, facilitation or recommendation. The Seller / Bidder agrees that if it is established at any time to the satisfaction of the Buyer that the present declaration is in anyway incorrect or if at a later stage it is discovered by the Buyer that the Seller incorrect or if at a later stage it is discovered by the Buyer that the Seller/Bidder has engaged any such individual /firm, and paid or intended to pay any amount, gift, reward, fees, commission or consideration to such person, party, firm or institution, whether before or after the signing of this contract /Purchase order, the Seller /Bidder will be liable to refund that amount to the Buyer. The Seller will also be debarred from participating in any RFP / Tender for new projects / program with Buyer for a minimum period of five years. The Buyer will also have a right to consider cancellation of the Contract / Purchase order

either wholly or in part, without any entitlement of compensation to the Seller /Bidder who shall in such event be liable to refund agents / agency commission payments to the buyer made by the Seller /Bidder along with interest at the rate of 2% per annum above LIBOR (London Inter Bank Offer Rate) (for foreign vendors) and Base Rate of SBI (State Bank of India) plus 2% (for Indian vendors). The Buyer will also have the right to recover any such amount from any contracts / Purchase order concluded earlier or later with Buyer.

## **6. Previous Transgression**

**6.1** The Bidder /Seller declares that no previous transgressions have occurred in the last three years from the date of signing of this Integrity Pact with any other company in any country conforming to the anti-corruption approach or with any other Public Sector Enterprise in India that could justify Bidder's /Seller's exclusion from the tender process.

**6.2** If the Bidder /Seller makes incorrect statement on this subject, Bidder /Seller can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason without any liability whatsoever on the Buyer.

## **7. Company Code of Conduct**

Bidders /Sellers are also advised to have a company code of conduct (clearly rejecting the use of bribes and other unethical behaviour) and a compliance program for the implementation of the code of conduct throughout the company.

## **8. Sanctions for Violation**

**8.1** If the Bidder(s) /Seller(s), before award or during execution has committed a transgression through a violation of Clause 5, above or in any other form such as to put his reliability or credibility in question, the Buyer is entitled to disqualify the Bidder(s) /Seller (s) from the tender process or take action as per the procedure mentioned herein below:

- (i) To disqualify the Bidder /Seller with the tender process and exclusion from future contracts.
- (ii) To debar the Bidder /Seller from entering into any bid from Buyer for a period of two years.
- (iii) To immediately cancel the contract, if already signed /awarded without any liability on the Buyer to compensate the Bidder /Seller for damages, if any. Subject to Clause 5, any lawful payment due to the Bidder/Seller for supplies effected till date of termination would be made in normal course.

(iv) To encash Advance Bank Guarantees / Performance Bonds / Warranty Bonds, etc. which may have been furnished by the Bidder /Seller to the extent of the undelivered Stores and / or Services.

**8.2** If the Buyer obtains Knowledge of conduct of Bidder /Seller or of an employee or representative or an associate of Bidder /Seller which constitutes corruption, or if the Buyer has substantive suspicion in this regard, the Buyer will inform to its Chief Vigilance Officer.

## **9. Compensation for Damages**

**9.1** If the Buyer has disqualified the Bidder(s) /Seller(s) from the tender process prior to the award according to Clause 8, the Buyer is entitled to demand and recover the damages equivalent to Earnest Money Deposit in case of open tendering.

**9.2** If the Buyer has terminated the contract according to Clause 8, or if the Buyer is entitled to terminate the contract according to Clause 8, the Buyer shall be entitled to encash the advance Bank guarantee and performance bond / warranty bond, if furnished by the Bidder / Seller, in order to recover the payments, already made by the Buyer for undelivered Stores and / or Services.

## **10. Independent External Monitor(s)**

**10.1** The Buyer has appointed independent External Monitors for this Integrity Pact in consultation with the Central Vigilance Commission (Names and Addresses of the Monitors are given in RFP).

**10.2** As soon as the integrity Pact is signed, the Buyer shall provide a copy thereof, along with a brief background of the case to the independent External Monitors.

**10.3** The Bidder(s) / Seller(s) if they deem it necessary, May furnish any information as relevant to their bid to the Independent External Monitors.

**10.4** If any complaint with regard to violation of the IP is received by the buyer in a procurement case, the buyer shall refer the complaint to the Independent External Monitors for their comments / enquiry.

**10.5** If the Independent External Monitors need to peruse the records of the buyer in connection with the complaint sent to them by the buyer, the buyer shall make arrangement for such perusal of records by the independent External Monitors.

**10.6** The report of enquiry, if any, made by the Independent External Monitors shall be submitted to MD & CEO, UCO Bank, Head Office at 10, Biplabi Trailokya Maharaj Sarani , Kolkata-700001 within 2 weeks, for a final and appropriate decision in the matter keeping in view the provision of this Integrity Pact.

**10.7** The word "Monitor" would include both singular and plural.

## 11. Law and Place of Jurisdiction

This Integrity Pact is subject to Indian Laws, and exclusive Jurisdiction of Courts at Kolkata, India.

## 12. Other Legal Actions

The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provision of the extant law in force relating to any civil or criminal proceedings.

## 13. Integrity Pact Duration.

- 13.1** This Integrity Pact begins when both parties have legally signed it. It expires of order / finalization of contract.
- 13.2** If any claim is made/ lodged during this time, the same shall be binding and continue to be valid despite the lapse of this Integrity Pact as specified above, unless it is discharged / determined by MD & CEO, UCO Bank .
- 13.3** Should one or several provisions of this Integrity Pact turn out to be invalid, the reminder of this Integrity Pact remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

## 14 Other Provisions

- 14.1 Changes and supplements need to be made in writing. Side agreements have not been made.
- 14.2 The Bidders (s)/ Sellers (s) signing this IP shall not initiate any Legal action or approach any court of law during the examination of any allegations/complaint by IEM and until the IEM delivers its report.
- 14.3 In view of nature of this Integrity Pact, this Integrity Pact shall not be terminated by any party and will subsist throughout its stated period.
- 14.4 Nothing contained in this Integrity Pact shall be deemed to assure the bidder / Seller of any success or otherwise in the tendering process.
15. This Integrity Pact is signed with UCO Bank exclusively and hence shall not be treated as precedence for signing of IP with MoD or any other Organization.
16. In the event of any contradiction between the Integrity Pact and its Annexure, the Clause in the Integrity Pact will prevail.
17. The Parties here by sign this Integrity Pact.

**BUYER**

**Signature:**

**Authorized Signatory**

**Department of IT**

**Place:**

**Date:**

**Witness:**

**(Name & Address)**

**BIDDER /SELLER**

**Signature:**

**Authorized Signatory (\*)**

**Witness:**

**(Name & Address)**



**NON-DISCLOSURE AGREEMENT**

**(Confirmation of format should be enclosed with technical bid. Agreement to be executed on non-judicial stamp paper of requisite value by the selected bidder only.)**

This Non-Disclosure Agreement is entered into on this .... day of..... 2022

**BETWEEN**

**UCO Bank**, a body corporate, constituted under the Banking Companies (Acquisition & Transfer of Undertakings) Act, 1970 as amended from time to time having its Head Office at No.10, BTM Sarani, Kolkata-700001 hereinafter referred to as "**the Bank**" (which expression shall unless excluded by or repugnant to the subject or context be deemed to mean and include its assigns, administrators and successors) **of the FIRST PART/ DISCLOSING PARTY**

**AND**

.....(Which expression shall unless excluded by or repugnant to the subject or context be deemed to mean and include its assigns, administrator and successors) of the **SECOND PART/ RECEIVING PARTY** (Each of Bank and the vendor is sometimes referred to herein as a "**Party**" and together as the "**Parties**").

**WHEREAS** the Vendor/Receiving Party is inter alia engaged for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering) as per the terms and conditions specified in the RFP Ref. No: UCO/DIT/1728/2022-23 Date: 30.09.2022. The Vendor/Receiving Party would be the single point of contact for this project.

**WHEREAS** Bank/Disclosing Party is inter alia engaged in the business of Banking; and

**WHEREAS** the Parties presently desire to discuss and/or consult with each other's business for the purposes of entering into Agreements for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)

**WHEREAS** the Parties recognize that each other's business involves specialized and proprietary knowledge, information, methods, processes, techniques and skills peculiar to their security and growth and that any disclosure of such methods, processes, skills, financial data, or other confidential and proprietary information would substantially injure a Party's business, impair a Party's investments and goodwill, and jeopardize a Party's relationship with a Party's clients and customers; and



**WHEREAS** in the course of consultation with respect to the potential business venture, the Parties anticipate disclosing to each other certain information of a novel, proprietary, or confidential nature, and desire that such information be subject to all of the terms and conditions set forth herein below;

**NOW THEREFORE** the Parties hereto, in consideration of the promises and other good and valuable consideration, agree such information shall be treated as follows:

**1. Confidential Information.** "**Confidential Information**" shall mean and include any information which relates to the financial and/or business operations of each Party, including but not limited to, specifications, drawings, sketches, models, samples, reports, forecasts, current or historical data, computer programs or documentation and all other technical, financial or business data, information related to each Party's customers, products, processes, financial condition, employees, intellectual property, manufacturing techniques, experimental work, trade secrets.

**2. Use of Confidential Information.** The Vendor/Receiving Party agrees not to use the Bank/Disclosing Party's confidential Information for any purpose other than for the specific consultation regarding the potential business venture. Any other use of such Confidential Information by the Receiving Party shall be made only upon the prior written consent from an authorized representative of the Disclosing Party which wishes to disclose such information or pursuant to subsequent agreement between the Parties hereto.

**3. Restrictions.** Subject to the provisions of paragraph 4 below, the Party receiving Confidential Information (the "**Receiving Party**") shall, for contract period of Three (3) years from the date of the last disclosure of Confidential Information made under this Agreement (except for personal customer data which shall remain confidential forever), use the same care and discretion to limit disclosure of such Confidential Information as it uses with similar confidential information of its own and shall not disclose, lecture upon, publish, copy, modify, divulge either directly or indirectly, use (except as permitted above under clause (2) or otherwise transfer the Confidential Information to any other person or entity, including taking reasonable degree of care and steps to:

(a) Restrict disclosure of Confidential Information solely to its concerned employees, agents, advisors, consultants, contractors and /or subcontractors with a need to know and not disclose such proprietary information to any other parties; and

(b) Advise all receiving Party's employees with access to the Confidential Information of the obligation to protect Confidential Information provided hereunder and obtain from agents, advisors, contractors and/or consultants an agreement to be so bound.

(c) Use the Confidential Information provided hereunder only for purposes directly related to the potential business venture.

**4. Exclusions.** The obligations imposed upon Receiving Party herein shall not apply to information, technical data or know how, whether or not designated as confidential, that:

(a) is already known to the Receiving Party at the time of the disclosure without an obligation of confidentiality;

(b) is or becomes publicly known through no unauthorized act of the Receiving Party;

(c) is rightfully received from a third Party without restriction and without breach of this Agreement;

(d) is independently developed by the Receiving Party without use of the other Party's Confidential Information and is so documented;

(e) is disclosed without similar restrictions to a third party by the Party owning the Confidential Information;

(f) is approved for release by written authorization of the Disclosing Party; or

(g) is required to be disclosed pursuant to any applicable laws or regulations or any order of a court or a governmental body; provided, however, that the Receiving Party shall first have given notice to the Disclosing Party and made a reasonable effort to obtain a protective order requiring that the Confidential Information and/or documents so disclosed be used only for the purposes for which the order was issued.

**5. Return of Confidential Information.** All Confidential Information and copies and extracts of it shall be promptly returned by the Receiving Party to the Disclosing Party at any time within thirty (30) days of receipt of a written request by the Disclosing Party for the return of such Confidential Information.

**6. Ownership of Information.** The Receiving Party agrees that all Confidential Information shall remain the exclusive property of the Disclosing Party and its affiliates, successors and assigns.

**7. No License Granted.** Nothing contained in this Agreement shall be construed as granting or conferring any rights by license or otherwise in any Confidential Information disclosed to the Receiving Party or to any information, discovery or improvement made, conceived, or acquired before or after the date of this Agreement. No disclosure of any Confidential Information hereunder shall be

construed by the Receiving Party to be a public disclosure of such Confidential Information for any purpose whatsoever.

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**8. Breach.** In the event the Receiving Party discloses, disseminates or releases any Confidential Information received from the Disclosing Party, except as provided above, such disclosure, dissemination or release will be deemed a material breach of this Agreement and the Disclosing Party shall have the right to demand prompt return of all Confidential Information previously provided to the Receiving Party and in such case, the Receiving party shall be bound to return all information within 30 days from the date of such demand. The provisions of this paragraph are in addition to any other legal right or remedies, the Disclosing Party may have under the Law for the time being in force.

### **9. Arbitration and Equitable Relief.**

**(a) Arbitration.** The Parties shall endeavor to settle any dispute/difference arising out of or relating to this Agreement through consultation and negotiation. In the event no settlement can be reached through such negotiation and consultation, the Parties agree that such disputes shall be referred to and finally resolved by arbitration under the provisions of the Arbitration and Conciliation Act, 1996 and the rules made thereunder from time to time. The arbitration shall be held at city of Head Office of member Bank. The language used in the arbitral proceedings shall be English. The arbitration proceeding shall be conducted by a panel of three arbitrators, each party shall appoint his own arbitrator and the two appointed arbitrators shall appoint the third arbitrator who shall act as presiding Arbitrator.

**(b) Equitable Remedies.** The Parties agree that in event of breach of any of the covenants contained in this Agreement due to negligence/fault/lack of the Receiving Party, the Disclosing party shall have, in addition to any other remedy, the right:

- i) To obtain an injunction from a court of competent jurisdiction restraining such breach or threatened breach; and
- ii) To specific performance of any such provisions of this Agreement. The Parties further agree that no bond or other shall be required in obtaining such equitable relief and the Parties hereby consent to the issuance of such injunction and to the ordering of specific performance.

**(c) Legal Expenses:** If any action and proceeding is brought for the enforcement of this Agreement, or because of an alleged or actual dispute, breach, default, or misrepresentation in connection with any of the provisions of this Agreement, each Party will bear its own expenses, including the attorney's fees and other costs incurred in such action.

**(d) Indemnification:** The Receiving Party shall indemnify the Bank and hold the Bank harmless against any loss caused to it as a result of the non-performance or improper performance of this Agreement by the Receiving Party, or its servants or agents to perform any aspect of its obligations forming part of the subject matter of this Agreement.

**10. Term.** This Agreement may be terminated by either Party giving sixty (60) days' prior written notice to the other Party; provided, however, the obligations to protect the Confidential Information in accordance with this Agreement shall survive for a period of three (3) years from the date of the last disclosure of Confidential Information made under this Agreement (except for personal customer data which shall remain confidential forever).

**11. No Formal Business Obligations.** This Agreement shall not constitute create, give effect to or otherwise imply a joint venture, pooling arrangement, partnership, or formal business organization of any kind, nor shall it constitute, create, give effect to, or otherwise imply an obligation or commitment on the part of either Party to submit a proposal or to perform a contract with the other Party or to refrain from entering into an agreement or negotiation with any other Party. Nothing herein shall be construed as providing for the sharing of profits or loss arising out of the efforts of either or both Parties. Neither Party will be liable for any of the costs associated with the other's efforts in connection with this Agreement. If the Parties hereto decide to enter into any licensing arrangement regarding any Confidential Information or present or future patent claims disclosed hereunder, it shall only be done on the basis of a separate written agreement between them.

## **12. General Provisions.**

**(a) Governing Law.** This Agreement shall be governed by and construed in accordance with the laws of India.

**(b) Severability.** If one or more of the provisions in this Agreement is deemed void by law, then the remaining provisions shall remain valid and continue in full force and effect.

**(c) Successors and Assigns.** This Agreement will be binding upon the successors and/or assigns of the Parties, provided however that neither Party shall assign its rights or duties under this Agreement without the prior written consent of the other Party.

**(d) Headings.** All headings used herein are intended for reference purposes only and shall not affect the interpretation or validity of this Agreement.

**(e) Entire Agreement.** This Agreement constitutes the entire agreement and understanding of the Parties with respect to the subject matter of this Agreement. Any amendments or modifications of this Agreement shall be in writing and executed by a duly authorized representative of the Parties.

**(f) Jurisdiction of Court:** All disputes under this Non-Disclosure Agreement are subject to the jurisdiction of Courts at City of Head office of Individual member Bank in India.

**(g)** Two original sets of Non-Disclosure Agreement are executed and retained by either parties, Bank and \_\_\_\_\_ (*the selected vendor*)

The Parties, by the signature of their authorized representatives appearing below, acknowledge that they have read and understood each and every term of this Agreement and agree to be bound by its terms and conditions.

**For and on behalf of**

.....

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_

**for and on behalf of**

.....

**(The selected bidder)**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_

**ELIGIBILITY COMPLIANCE**

| Sl. | Criteria   | Proof of documents to be submitted  | Compliance (Yes/No) |
|-----|--|---|---------------------|
| 1   | <p>Bidder should be a limited company (Public/Private) registered in India under the Companies Act, 1956/2013 for the last 3 years as on RFP issuance date.</p> <p>Companies/Firm registered as MSME Entrepreneur &amp; still categorized as MSME as on RFP submission date.</p> <p>Bidder/Partner/Investor must adhere cross border sharing/FDI/FEMA and other regulatory guidelines of Govt. of India.</p> | <p>1. Certificate of Incorporation issued by Registrar of Companies along with</p> <p>2. Copies of Memorandum of Association</p> <p>3. Copies of Articles of Association</p> <p>4. Shareholding pattern</p> <p>5. PAN, TAN, GSTIN Certificate and any other tax related document if applicable is required to be submitted along with the eligibility bid.</p> <p>Registration from DIC, KVIB, NSIC, KVIC, DIHH, UAA or any other body specified by Ministry of MSME.</p> <p>In case of partnership firms, Deed of Partnership should be submitted. Registration from DIC, KVIB, NSIC, KVIC, DIHH, UAA or any other body specified by Ministry of MSME.</p> |                     |
| 2   | <p>The bidder should be an OEM or their authorized representative in India. In case OEM participates in the tender process directly, authorized representative will not be permitted to participate in the same tender process.</p>  | <p>An authorization letter from OEM/OSD (MAF) to this effect should be furnished as per <b>Annexure - III</b>.</p> <p>This letter should specify that in case authorized representative is not able to perform obligations as per contract during contract period, the OEM would provide the same.</p>  |                     |

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| 3 | The bidder submitting the offer should have <b>positive net worth</b> in each of the last three financial years i.e. 2018-19, 2019-20, & 2020-21.  | Copy of the audited balance sheet, certificate from the Chartered Accountant (in case of Provisional Balance Sheet of the company showing profit, net-worth and turnover of the company for the consecutive last three financial years i.e. 2018-19, 2019-20, & 2020-21 should be submitted. |  |
| 4 | The bidder should have minimum average annual turnover of Rs. 3 Crore per year during the last three financial years i.e. 2018-19, 2019-20 & 2020-21.  |  |  |
| 5 | The bidder should have successfully implemented Fund Management /Financial Management Solution/PFMS/CSS related application with any Schedule Commercial Banks in India during last 3 years as on RFP issuance date. | Documentary evidence with relevant copies of Purchase Order/SLA along with Completion Certificates / Installation Reports / Project Sign-Offs, payment invoices including names of clients with Phone and Fax numbers, E-Mail IDs etc. should be submitted.                                  |  |
| 6 | Bidder should have implemented at least SNA Model 1 as per the Govt. norms in any Schedule commercial Bank in India and should be successfully running as on bid submission date.                                    | Certificate/Letter with Name, Designation from bank regarding Successful Implementation of Model-1 in Production.  |  |
| 7 | The Bidder should have dedicated team having expertise in implementation of SNA operations and related model as per the norms  | Self-declaration on Company's Letter Head containing required details to be submitted.   |  |
| 8 | The OEM/Bidder should have support center in India preferably in Kolkata / Bangalore.  | Self-declaration on Company's Letter Head containing required details to be submitted.   |  |
| 9 | Bidder should not have been debarred / black-listed/ terminated /under termination/ issued any letter of termination by any Bank or  | Undertaking to that effect should be submitted as per Annexure-VI.   |  |



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|    | RBI or any other regulatory authority or Financial Institutions in India as on date of RFP submission.   |  |  |
| 10 | The service provider should ensure that there are no legal proceedings / inquiries / investigations have been commenced / pending / threatened against service provider by any statutory or regulatory or investigative agencies or any other for which performance under the contract will get adversely affected / may get affected. | Self-declaration to this effect on the company's letterhead should be submitted. |  |

**Note:** In this tender process authorized representative / distributor / dealer in India on behalf of Principal OSD (Original Solution Developer) or Principal OSD itself can bid but both cannot bid simultaneously. In such case OSD bid will only be accepted. If an agent / distributor submits bid on behalf of the Principal OSD, the same agent / distributor shall not submit a bid on behalf of another Principal OSD in the same tender for the same item or product.

The service provider must comply with all above-mentioned criteria. Non-compliance of any of the criteria will entail rejection of the offer summarily. Documentary Evidence for compliance to each of the eligibility criteria must be enclosed along with the bid together with references. Undertaking for subsequent submission of any of the required document will not be entertained under any circumstances. However, UCO BANK reserves the right to seek clarifications on the already submitted documents. Non-compliance of any of the criteria will entail rejection of the offer summarily. Any decision of UCO BANK in this regard shall be final, conclusive and binding upon the service provider.



**Technical Requirement compliance**

All the solutions sought in technical specification must be provided as end-to-end solution. The participating bidder should provide its compliance (Yes / No) for each of the line items mentioned below:

| <b>Sl No.</b> | <b>Technical requirement</b>  | <b>Yes/No</b> |
|---------------|---|---------------|
| 1             | The bidder to provide all required hardware including Operating System, database and other related software for deploying the proposed application in the Bank's Data Centre and Disaster Recovery Site. The bidder to provide hardware, operating system, databases and other related software support during the entire contract period and should not be out of life for minimum 5 years.  |               |
| 2             | The solution should be on Banks premises at Bank's DC & DR. The selected bidder has to comply with Bank's IT policy. The selected bidder to ensure end to end security features to be implemented and also update its system as per regulatory guidelines. The selected bidder will allow Bank or its representative to conduct audit of their system/hardware/database and related software as per Bank's requirement without any additional cost to bank. |               |
| 3             | The bidder must provide the Application Deployment Architecture with diagrams, identifying components and specifications for each component with description. Description must detail the number of servers, specifications for each resource (Web server, Application, DB, File server, Resource Monitoring servers etc.), Operating System and configuration as well as function of each server, Network Bandwidth Requirements and Storage Requirements. |               |
| 4             | The bidder is responsible for actual sizing of the infrastructure as per the scope of work, activities and Service Levels as defined in this RFP. The indicative and forecasted transactions have been mentioned.   |               |
| 5             | The Proposed salutation should be comparable with banks existing network.   |               |

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| 6  | The bidder should provide sizing methodology detailing how the proposed solution architecture and sizing will meet bank's current and future transaction requirements minimum for 5 years.  |  |
| 7  | Bidder will provide all the necessary support and help for agencies on boarding, configuration requirement during contract.   |  |
| 8  | The proposed solution should support 1000 Concurrent Users with scalability to 5000 Concurrent Users.   |  |
| 9  | The proposed solution and infrastructure should support minimum 200 schemes /SNA accounts with scalability to 1000 schemes /SNA accounts.   |  |
| 10 | The proposed solution and infrastructure should support minimum 50,000 transactions per day with scalability to 5,00,000 transactions per day.  |  |
| 11 | There should be provision for re-initiating failed/decline transaction in proposed solution.  |  |
| 12 | The Bidder must conduct DR drills after Go Live of the solution in conjunction with the Bank or as required by the Bank during each quarter of the contract period.   |  |
| 13 | The proposed application should be IPv4 & IPv6 compatible and work in both the environments (dual stack model).   |  |
| 14 | The bidder to provide BCP/DRP as per IT Security policy and BCP of the Bank.  |  |
| 15 | The bidder should ensure replication of data between the Primary and DR Data Centres as required.   |  |
| 16 | The Bidder should provide the latest version and subsequent upgrades of the solution proposed.  |  |
| 17 | The Bidder is responsible to arrive at the sizing independently. The Bank is not responsible for any assumption made by the Bidder not meeting the performance/service levels as desired in the document. In such a case, the Bidder will at their cost carry out the necessary upgrades / replacements. The Bank will not pay any additional amount during the period of the contract. |  |

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|    | The hardware sizing should be sufficient enough to meet the requirement of the bank during the entire contract period (5 years) and 5 year minimum hardware, database and OS support from concerned OEM.  |  |
| 18 | The bidder must also ensure that the hardware resources utilization should not exceed 75% at any point of time in the DC & DR for the projected volume of transactions. In case the utilization exceeds the above level, the bidder must provide additional hardware/software/licenses without any additional cost to the bank. |  |
| 19 | The provided hardware should have high reliability, fault tolerance, redundancy and high availability having no single point of failure in the hardware (NSPoF).  |  |
| 20 | The proposed application has to be integrated using ISO/API/DB link etc. with Bank's CBS / HRMS / email systems / any other sites / services / platform, as required by Bank. The Integration to be done without any additional cost to the Bank.   |  |
| 21 | The bidder has to provide a mechanism to measure the capacity / capability, as to how the load is assessed, tested & managed.   |  |
| 22 | The bidder has to provide a suitable resource monitoring tool / application monitoring dash boards for ensuring Turn Around Time and resource availability & usage etc.   |  |
| 23 | The bidder should certify that the proposed solution is free from OWASP vulnerabilities.  |  |
| 24 | The bidder has to provide a security risk management plan to address these vulnerabilities.   |  |
| 25 | The bidder should provide auditing feature for the proposed solution to keep track of the selective activities performed by various users (administrators, privileged users and end users).   |  |
| 26 | All servers (web servers, application servers, load balancers, file servers, monitoring servers and DB servers) used in the proposed solution should be hardened as per the Secure Configuration provided by the Bank. Configuration, deployment and  |  |

|    |  |  |
|----|--|--|
|    | maintenance of the CSS solution is to be done by bidder.   |  |
| 27 | Security Audit of the application and VA & PT will be carried out by the Bank at regular intervals and the Observations / recommendations need to be complied within the time limit as advised by the Bank.  |  |
| 28 | <p>The application software developed or customized should follow a standard development process to ensure that it meets functional, security, performance &amp; regulatory requirements of the Bank. The bidder should comply with Bank's IS Security policy in key concern areas. Some of the key areas are:</p> <ul style="list-style-type: none"> <li>• Responsibilities for data and application privacy and confidentiality</li> <li>• Responsibilities on system and software access control and administration.</li> <li>• Custodial responsibilities for data, software, hardware and other assets of the Bank being managed by or assigned to the selected bidder.</li> <li>• Incident response and reporting procedures</li> <li>• Password policy</li> <li>• Data Encryption / Protection</li> </ul> |  |
| 29 | <p>The solution provided by Bidder for the CSS Solution must support Disaster Recovery plans as follows:</p> <ul style="list-style-type: none"> <li>• Allow fail over as per the DR policy of the Bank</li> <li>• Provide architecture and design for Disaster Recovery</li> <li>• Bidder should provide all required licences for Disaster Recovery site.</li> <li>• Size the DR to be factored as 100% capacity of the DC.</li> </ul>  |  |
| 30 | Selected Bidder should review the information security risks in line with RBI guidelines, IT Act etc. and other best security practices for the scope mentioned in the RFP and provide its mitigates. Bidder should submit a certificate indicating that the solution being proposed in the RFP, is complying all regulatory   |  |

|    |   |  |
|----|---|--|
|    | guidelines viz PFMS/RBI Guidelines/IT Act etc.  |  |
| 31 | The selected bidder to provide Total 2 Servers at Bank's premises for UAT for Testing's Servers including Operation System and Database (configured as App server, DB Server and Web Server). |  |
| 32 | The selected bidder to complete the SIT/UAT at Bank's premises.   |  |
| 33 | The selected bidder has to provide the licenses in the name of the Bank to be used without any restrictions for no. of users or transactions.   |  |
| 34 | Selected bidder has to updates /deployment/ installation / testing of the application to be done at Bank premises.  |  |
| 35 | The proposed hardware should be as per the industry standard and have high reliability, fault tolerance, redundancy and high availability in place.   |  |
| 36 | The hardware resource consumption/ utilization should not exceed 75% at any given point in time during the contract period.   |  |

Yours faithfully,

For.....

(Signature and seal of authorized person)

Place:

Date:

**Functional Requirement compliance**

| <b>Sl. No</b> | <b>Functional Requirement</b>   | <b>compliance (Yes / No)</b> |
|---------------|---|------------------------------|
| 1             | The Proposed SNA Solution should have the capabilities to designate a single Nodal Account (SNA) for implementing any Centrally Sponsored Scheme to conduct Government Business for the State Department Account with our Bank.   |                              |
| 2             | The Proposed SNA Solution should be capable of handling Virtual Account (Child Account) in addition to the Physical Child Accounts depending upon the demands of the customer.  |                              |
| 3             | The mechanism for flow of fund from the SNA to the Child Account and child account to sub-child account   |                              |
| 4             | Entry-wise reversal of any fund in the Child Account to the Parent SNA at the day end should also be inbuilt in the system.   |                              |
| 5             | The Proposed SNA Solution should be capable of handling the Umbrella Structure Schemes having multiple level sub schemes as applicable. Sub scheme SNA Account will be used for both transaction as well as monitoring purpose.   |                              |
| 6             | The Proposed SNA Solution should be able to integrate with Bank CBS software and data flow should be seamless and real time basis and should be capable of allocation of Drawing Limits to all the Zero Balance Subsidiary accounts of (IAs) in the CSS to be decided by the SNA concerned from time to time. These IAs will draw on real time basis from the Single Nodal Account of the scheme as and when payments are to be made to beneficiaries, vendors etc. |                              |
| 7             | The CSS Solution should also have mechanism to reduce the allocated Drawing Limit to the IAs by the extent of utilization. All these functionalities will be the primary requirements from the Proposed System.   |                              |
| 8             | It will be the responsibility proposed system to ensure that the entire unspent amount is returned by all the IAs to the Single Nodal Account of the SNA concerned. SNAs will keep a record of unspent balance lying in the account of IAs and  |                              |

|    |   |  |
|----|---|--|
|    | the amount refunded by IAs.   |  |
| 9  | The Proposed system should be able to monitor the drawing limits of the IAs who should be able to draw funds on real time basis from the SNA's account as and when payments are to be made. The selected Service Provider should ensure proper training and capacity building of branch Staff and Government Department Officials for smooth operation.   |  |
| 10 | The system should be capable to capture the Central and state share for the scheme and maintain separate budget lines for Central and State Share under each CSS. The system should have module like Budget Management: Officials can opt for Budget management option in the SNA solution itself. Budget can be entered against the financial year or as per quarter wise breakup. MIS with details of Budget vs utilization should be available in the Application. User can manage, create and Edit/Modify Budget Allocation |  |
| 11 | The Proposed system should be capable to provide the percentage of fund utilization against the allocated funds, based on that State government can ask for the remaining funds.  |  |
| 12 | SNAs and IAs will use the EAT module of PFMS or integrate their systems with the PFMS to ensure that information on PFMS is updated by each IA at least once every day. This proposed system should ensure this functionality.  |  |
| 13 | The State IFMIS or any other application utilized by the Government Department should be able to capture scheme component-wise expenditure along with PFMS Scheme Code and Unique Code of the Agencies incurring the expenditure. State Governments will ensure daily uploading/sharing of data by the State IFMIS/Treasury applications on PFMS. PFMS will act as a facilitator for payment, tracking and monitoring of fund flow.   |  |

|    |   |  |
|----|---|--|
| 14 | The Proposed System should provide a MIS in regard to the utilization of funds at various levels and also a consolidated position for the Government Departments in order to undertake monthly review of the release of funds (both the Central and State Share) from the State treasury to the SNA, utilization of funds by SNAs and IAs and outputs/outcomes vis-d-vis the targets for each CSS. It should also provide detailed accounts statements for IAs and SNAs and able to reconcile accounts on regular basis.  |  |
| 15 | <p>The Proposed system should be able to integrate with existing CBS software and data flow should be seamless and real time basis and should provide a detailed Dash Board for monitoring utilization of fund across each level (parent /child), scheme and sub-scheme wise. The Dash Board should be available to all user at each level with following reports:</p> <ul style="list-style-type: none"> <li>• Real time Balance Report</li> <li>• List of Beneficiaries (added/modified/deleted)</li> <li>• Scheme wise DDO wise report – This can be generated for different levels</li> <li>• Transaction Report</li> <li>• FMR Report</li> <li>• Budget Summary Report</li> <li>• DDO wise Expenditure Report</li> </ul> |  |
| 16 | The Proposed System should be able to handle Direct Benefit Transfer (DBT), Vendor Payment or any payment type supported in PFMS/NPCI. Should have modules like Beneficiary/Vendor Management: Identified user of Agency should add or update beneficiary or vendor details on external app. This module should be synched with PFMS also so that it could fetch the details from PFMS for already registered Beneficiary/vendors. Portal should have the facility to handle TDS and GST.   |  |
| 17 | The Proposed SNA Solution should be able to integrate with PFMS w.r.t. to REAT requirements (Receipts, Expenditure, Advances and Transfers) through direct integration, API integration, upload, download options and it should have bulk upload facility of beneficiary/vendor details.  |  |
| 18 | The Proposed SNA Solution should provide facility for organization setup, defining user roles as per organization hierarchy.  |  |



|    |   |  |
|----|---|--|
| 19 | The Proposed SNA Solution should provide facility to setup approval matrix, based on the role of various end users and range of amount.   |  |
| 20 | The Proposed SNA Solution should have facility to create, search beneficiaries and vendors. Facility to create beneficiaries and vendors through file upload should also be provided.   |  |
| 21 | The Proposed SNA Solution should provide facility to create fund disbursement transaction directly through file upload.   |  |
| 22 | For login on CSS solution, Login password required and OTP can be used for 2FA  |  |
| 23 | For payment approvals on CSS solution , Transaction password required and OTP/DSC can be used for second factor authentication.   |  |
| 24 | The selected bidder to provide Total 2 Servers at Bank's premises for UAT for Testing's Servers including Operation System and Database (configured as App server , DB Server and Web Server)   |  |
| 25 | The selected bidder to complete the SIT/UAT at Bank's premises.   |  |
| 26 | The Proposed SNA Solution should have the capabilities to approve the uploaded digital signature of Agency users by Bank Branch via maker checker concept for DSC payments.   |  |
| 27 | The Proposed SNA Solution has the capabilities of Real time balance enquiry/transactional details that should available to Agency Users.  |  |
| 28 | The Proposed SNA Solution should support Electronic Payment Advice functionally as well as EPA file.  |  |
| 29 | The Proposed SNA Solution should have Bulk Beneficiary payment upload facility.   |  |
| 30 | The Proposed SNA Solution should support different file format/ structure as per requirement of PFMS/ State Agencies.   |  |
| 31 | Apart of the overall scope of the RFP, the Bidder / Solution Provider needs to interact with Bank's business team, gather and finalize functional requirements. Bidder / Solution provider should incorporate global best practices while |  |

|    |  |  |
|----|--|--|
|    | defining requirements  |  |
| 32 | The application should be capable to on-board any number of SNA/CNA/SNA(CSS) accounts without any restrictions. The perpetual licence in this regard to be provided for the contract period. |  |

Yours faithfully,

For.....

(Signature and seal of authorized person)

Place:

Date:

**Technical Bill of Material**

Proposed Hardware / Software shall be delivered at Bank's Centers as mentioned in Purchase Order.

| <b>Sl. No</b> | <b>Items</b>  | <b>Qty. in DC</b> | <b>Qty. in DR</b> |
|---------------|---|-------------------|-------------------|
| 1.            | Database Server   | 1                 | 1                 |
| 2.            | Application Server  | 1                 | 1                 |
| 3.            | Web Server  | 1                 | 1                 |
| 4.            | UAT Server  | 0                 | 2                 |
| 5.            | Storage at DC & DR (Qty to be quoted by bidder)           |                   |                   |
| 6.            | Application Software                                      |                   |                   |
| 7.            | OS (License quantity to be mentioned by the bidder)       |                   |                   |
| 8.            | Database (License quantity to be mentioned by the bidder) |                   |                   |

For.....  
(Signature and seal of authorized person)  
Place:  
Date:

**Masked Commercial Bid**  
**(To be submitted with technical Bid)**

**RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt. Guidelines for all SNA Models and other related modules(Re-Tendering)**

**(Amount in Rs.)**

| Sl. No             | Items                                     | QTY (A)            | Unit Cost excluding GST | GST % | Total Cost Excluding GST |
|--------------------|---|--------------------|-------------------------|-------|--------------------------|
|                    |   |                    | (B)                     | (C)   | D=AxB                    |
| <b>A. Hardware</b> |   |                    |                         |       |                          |
| 1.                 | Database Server                           | 2                  | XX                      | XX    | XX                       |
| 2.                 | Application Server                        | 2                  | XX                      | XX    | XX                       |
| 3.                 | Web Server                                | 2                  | XX                      | XX    | XX                       |
| 4.                 | UAT Server                                | 2                  | XX                      | XX    | XX                       |
| 5.                 | Storage at DC & DR*                       | mention by bidder* | XX                      | XX    | XX                       |
| 6.                 | <b>Total Cost of Hardware (1+2+3+4+5)</b> |                    | XX                      | XX    | XX                       |

\*To be mentioned by the bidder, keeping in view hardware requirement for the project for entire contract period (5 years).

| <b>B. Software Licenses</b> |  |  |                             |           |                                     |
|-----------------------------|--|--|-----------------------------|-----------|-------------------------------------|
| Sl. No                      | Items  | No of Licenses (to be mentioned by the bidder (A)) | Unit Cost excluding GST (B) | GST % (C) | Total Cost excluding GST (D= A x B) |
| 1.                          | Application Software                           |  | XX                          | XX        | XX                                  |
| 2.                          | Operating System                               |  | XX                          | XX        | XX                                  |
| 3.                          | Data Base                                      |  | XX                          | XX        | XX                                  |
| 4.                          | <b>Total cost of Software Licenses (1+2+3)</b> |  | XX                          | XX        | XX                                  |

| <b>C. Implementation Cost</b> |  |                             |           |                                     |
|-------------------------------|--|-----------------------------|-----------|-------------------------------------|
| Sl. No                        | Items  | Unit Cost excluding GST (A) | GST % (B) | Total Cost excluding GST (C= A x B) |
| 1.                            | Implementation Cost at DC                        | XX                          | XX        | XX                                  |
| 2.                            | Implementation Cost at DR including replication. | XX                          | XX        | XX                                  |
| 3.                            | <b>Total implementation cost of (1+2)</b>        |                             | XX        | XX                                  |

| D. ATS |  |   |                       |                       |                       |                       |             |                                      |
|--------|--|---|-----------------------|-----------------------|-----------------------|-----------------------|-------------|--------------------------------------|
| Sl. No | Item Description                                   | No of Licenses (to be mentioned by the bidder)<br>(A) | Unit Price<br>(B)     |                       |                       |                       | GST%<br>(C) | Total Price excluding GST<br>D=(A*B) |
|        |  |   | 2 <sup>nd</sup><br>Yr | 3 <sup>rd</sup><br>Yr | 4 <sup>th</sup><br>Yr | 5 <sup>TH</sup><br>Yr |             |                                      |
| 1.     | Application Software                               |   | XX                    | XX                    | XX                    | XX                    | XX          |                                      |
| 2.     | Operating System                                   |   | XX                    | XX                    | XX                    | XX                    | XX          |                                      |
| 3.     | Data Base  |   | XX                    | XX                    | XX                    | XX                    | XX          |                                      |
| 4.     | <b>Total ATS cost of Software Licenses (1+2+3)</b> |   |                       |                       |                       |                       |             | XX                                   |

| E. Facility Management Resource Charges*                |  |                                |              |  |
|---|--|--------------------------------|--------------|--|
| Sl. No  | Items  | Unit Cost excluding GST<br>(A) | GST %<br>(B) | Total Cost excluding GST<br>(C= A x B) |
| 1.  | 1st Year Facility Management charges             | XX                             | XX           | XX                                     |
| 2.  | 2nd Year Facility Management charges             | XX                             | XX           | XX                                     |
| 3.  | 3rd Year Facility Management charges             | XX                             | XX           | XX                                     |
| 4.  | 4th Year Facility Management charges             | XX                             | XX           | XX                                     |
| 5.  | 5 <sup>th</sup> Year Facility Management charges | XX                             | XX           | XX                                     |
| <b>Total Cost of Facility Management of (1+2+3+4+5)</b> |  | XX                             | XX           | XX                                     |

| F. Summary Table   |    |
|--|----|
| <b>Total Cost of Ownership of Table-A (Hardware)</b>             | XX |
| <b>Total Cost of Ownership of Table-B (Software License)</b>     | XX |
| <b>Total Cost of Ownership of Table-C (Implementation Cost )</b> | XX |
| <b>Total Cost of Ownership of Table-D (ATS)</b>                  | XX |
| <b>Total Cost of Ownership of Table-E (FM Cost)</b>              | XX |
| <b>Total Cost of Ownership [Total of A+B+C+D+E] (in figures)</b> | XX |
| <b>Total Cost of Ownership [Total of A+B+C+D+E] (in words)</b>   | XX |

**Note:**

- The calculation for arriving at TCO is properly mentioned in the appropriate columns and we confirm that the above-mentioned rates are accurate. In case of any anomalies in the calculation for arriving at TCO, the Bank will have the right to rectify the same and it will be binding upon our company.
- We have ensured that the price information is filled in the Commercial Offer at appropriate column without any typographical or arithmetic errors. All fields have been filled in correctly.
- We have not added or modified any clauses / statements / recordings / declarations in the commercial offer, which is conditional and / or qualified or subjected to suggestions, which contain any deviation in terms & conditions or any specification.
- We have understood that in case of non-adherence to any of the above, our offer will be summarily rejected

- e. If the cost for any line item is indicated as zero or blank, then Bank may assume that the said item is provided to the Bank without any cost.
- f. Bank has discretion to keep any of the line item mentioned above as optional as per Bank's requirement.
- g. Present Rate of tax, if applicable, should be quoted in respective columns. The Bank will pay the applicable taxes for the above-mentioned tax type ruling at the time of actual delivery of service/implementation and resultant billing. However, no other tax type will be paid. The Octroi / Entry Tax will be paid extra, wherever applicable on submission of actual tax receipt.
- h. **Bank has discretion to keep any of the line item mentioned above as optional as per Bank's requirement.**
- i. Please note that any commercial offer which is conditional and / or qualified or subjected to suggestions will also be summarily rejected. This offer shall not contain any deviation in terms & condition or any specifications, if so such offer will be summarily rejected.
- j. All prices should be quoted in Indian Rupees (INR) only.
- k. Bank will not communicate with OEM / OSD for any queries
- l. We have ensured that the price information is filled in the Commercial Offer at appropriate column without any typographical or arithmetic errors. All fields have been filled in correctly.
- m. We have not added or modified any clauses/ statements/ recordings/ declarations in the commercial offer, which is conditional and/or qualified or subjected to suggestions.
- n. We have not added or modified any clauses/ statements/ recordings/ declarations in the commercial offer, which contain any deviation in terms & conditions or any specification.
- o. We have understood that in case of non-adherence to any of the above, our offer will be summarily rejected.
- p. In case of any discrepancy between figures and words, the amount in words shall prevail.
- q. Please note that any Commercial offer which is conditional and/ or qualified or subjected to suggestions will also be summarily rejected. This offer shall not contain any deviation in terms & condition or any specifications, if so such offer will be summarily rejected.
- r. All prices should be quoted in (INR) only.
- s. **Bidder needs to submit mask commercial BOM mentioning make, model and part code no. along with Technical bid.**
- t. The TCO (Total cost of ownership) will be exclusive of GST and other applicable taxes. However the GST and other applicable taxes will be paid as per actuals.
- u. **The quantity mentioned above is only indicative and may change at the time of issuance of Purchase Order to the selected bidder.**

Place:

Signature of Bidder: \_\_\_\_\_

Date:

Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

**Commercial Bid****RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)**

(Amount in Rs.)

| Sl. No             | Items                                     | QTY (A)            | Unit Cost excluding GST | GST % | Total Cost Excluding GST |
|--------------------|---|--------------------|-------------------------|-------|--------------------------|
|                    |   |                    | (B)                     | (C)   | D=AxB                    |
| <b>A. Hardware</b> |   |                    |                         |       |                          |
| 1.                 | Database Server                           | 2                  |                         |       |                          |
| 2.                 | Application Server                        | 2                  |                         |       |                          |
| 3.                 | Web Server                                | 2                  |                         |       |                          |
| 4.                 | UAT Server                                | 2                  |                         |       |                          |
| 5.                 | Storage at DC & DR*                       | mention by bidder* |                         |       |                          |
| 6.                 | <b>Total Cost of Hardware (1+2+3+4+5)</b> |                    |                         |       |                          |

\*To be mentioned by the bidder, keeping in view hardware requirement for the project for entire contract period(5 years).

| <b>B. Software Licenses</b> |  |  |                             |           |                                     |
|-----------------------------|--|--|-----------------------------|-----------|-------------------------------------|
| Sl. No                      | Items  | No of Licenses (to be mentioned by the bidder (A)) | Unit Cost excluding GST (B) | GST % (C) | Total Cost excluding GST (D= A x B) |
| 1.                          | Application Software                           |  |                             |           |                                     |
| 2.                          | Operating System                               |  |                             |           |                                     |
| 3.                          | Data Base                                      |  |                             |           |                                     |
| 4.                          | <b>Total cost of Software Licenses (1+2+3)</b> |  |                             |           |                                     |

| <b>C. Implementation Cost</b> |   |                             |           |                                     |
|-------------------------------|---|-----------------------------|-----------|-------------------------------------|
| Sl. No                        | Items   | Unit Cost excluding GST (A) | GST % (B) | Total Cost excluding GST (C= A x B) |
| 1.                            | Implementation Cost at DC                       |                             |           |                                     |
| 2.                            | Implementation Cost at DR including replication |                             |           |                                     |
| 3.                            | <b>Total implementation cost of (1+2)</b>       |                             |           |                                     |

| D. ATS |  |   |                       |                       |                       |                       |             |                                      |
|--------|--|---|-----------------------|-----------------------|-----------------------|-----------------------|-------------|--------------------------------------|
|        | Item Description                                   | No of Licenses (to be mentioned by the bidder)<br>(A) | Unit Price<br>(B)     |                       |                       |                       | GST%<br>(C) | Total Price excluding GST<br>D=(A*B) |
|        |  |   | 2 <sup>nd</sup><br>Yr | 3 <sup>rd</sup><br>Yr | 4 <sup>th</sup><br>Yr | 5 <sup>TH</sup><br>Yr |             |                                      |
| 1.     | Application Software                               |   |                       |                       |                       |                       |             |                                      |
| 2.     | Operating System                                   |   |                       |                       |                       |                       |             |                                      |
| 3.     | Data Base  |   |                       |                       |                       |                       |             |                                      |
| 4.     | <b>Total ATS cost of Software Licenses (1+2+3)</b> |   |                       |                       |                       |                       |             |                                      |

| E. Facility Management Resource Charges*                |  |                                |              |  |
|---|--|--------------------------------|--------------|--|
| Sl. No  | Items  | Unit Cost excluding GST<br>(A) | GST %<br>(B) | Total Cost excluding GST<br>(C= A x B) |
| 1.  | 1st Year Facility Management charges             |                                |              |  |
| 2.  | 2nd Year Facility Management charges             |                                |              |  |
| 3.  | 3rd Year Facility Management charges             |                                |              |  |
| 4.  | 4th Year Facility Management charges             |                                |              |  |
| 5.  | 5 <sup>th</sup> Year Facility Management charges |                                |              |  |
| <b>Total Cost of Facility Management of (1+2+3+4+5)</b> |  |                                |              |  |

| F. Summary Table   |  |
|--|--|
| <b>Total Cost of Ownership of Table-A (Hardware)</b>             |  |
| <b>Total Cost of Ownership of Table-B (Software License)</b>     |  |
| <b>Total Cost of Ownership of Table-C (Implementation Cost )</b> |  |
| <b>Total Cost of Ownership of Table-D (ATS)</b>                  |  |
| <b>Total Cost of Ownership of Table-E (FM Cost)</b>              |  |
| <b>Total Cost of Ownership [Total of A+B+C+D+E] (in figures)</b> |  |
| <b>Total Cost of Ownership [Total of A+B+C+D+E] (in words)</b>   |  |

**Note:**

- The calculation for arriving at TCO is properly mentioned in the appropriate columns and we confirm that the above-mentioned rates are accurate. In case of any anomalies in the calculation for arriving at TCO, the Bank will have the right to rectify the same and it will be binding upon our company.
- We have ensured that the price information is filled in the Commercial Offer at appropriate column without any typographical or arithmetic errors. All fields have been filled in correctly.
- We have not added or modified any clauses / statements / recordings / declarations in the commercial offer, which is conditional and / or qualified or subjected to suggestions, which contain any deviation in terms & conditions or any specification.
- We have understood that in case of non-adherence to any of the above, our offer will be summarily rejected
- If the cost for any line item is indicated as zero or blank, then Bank may assume that the said item is provided to the Bank without any cost.



- f. Bank has discretion to keep any of the line item mentioned above as optional as per Bank's requirement.
- g. Present Rate of tax, if applicable, should be quoted in respective columns. The Bank will pay the applicable taxes for the above-mentioned tax type ruling at the time of actual delivery of service/implementation and resultant billing. However, no other tax type will be paid. The Octroi / Entry Tax will be paid extra, wherever applicable on submission of actual tax receipt.
- h. Bank has discretion to keep any of the line item mentioned above as optional as per Bank's requirement.
- i. Please note that any commercial offer which is conditional and / or qualified or subjected to suggestions will also be summarily rejected. This offer shall not contain any deviation in terms & condition or any specifications, if so such offer will be summarily rejected.
- j. All prices should be quoted in Indian Rupees (INR) only.
- k. Bank will not communicate with OEM / OSD for any queries
- l. We have ensured that the price information is filled in the Commercial Offer at appropriate column without any typographical or arithmetic errors. All fields have been filled in correctly.
- m. We have not added or modified any clauses/ statements/ recordings/ declarations in the commercial offer, which is conditional and/or qualified or subjected to suggestions.
- n. We have not added or modified any clauses/ statements/ recordings/ declarations in the commercial offer, which contain any deviation in terms & conditions or any specification.
- o. We have understood that in case of non-adherence to any of the above, our offer will be summarily rejected.
- p. In case of any discrepancy between figures and words, the amount in words shall prevail.
- q. Please note that any Commercial offer which is conditional and/ or qualified or subjected to suggestions will also be summarily rejected. This offer shall not contain any deviation in terms & condition or any specifications, if so such offer will be summarily rejected.
- r. All prices should be quoted in (INR) only.
- s. **Bidder needs to submit mask commercial BOM mentioning make, model and part code no. along with Technical bid.**
- t. The TCO (Total cost of ownership) will be exclusive of GST and other applicable taxes. However the GST and other applicable taxes will be paid as per actuals.
- u. **The quantity mentioned above is only indicative and may change at the time of issuance of Purchase Order to the selected bidder.**

Place: \_\_\_\_\_ Signature of Bidder: \_\_\_\_\_

Date: \_\_\_\_\_ Name: \_\_\_\_\_

Business Address: \_\_\_\_\_

**Annexure – XXII**

**COMPLIANCE CHART**

**Compliance chart for submitting Sub : RFP Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules (Re-Tendering)**

| Sl. No. | Particulars   | Compliance Status (Yes / No) | Page No. Of the bid document submitted |
|---------|---|------------------------------|--|
| 1.      | Are Technical & Commercial bid submitted under separate sealed envelopes?   |                              |  |
| 2       | Is the Technical bid made in conformity with technical bid template as per Annexure XVII?                                   |                              |  |
| 3.      | Is the Commercial bid made in conformity with Commercial template as Annexure XXI?  |                              |  |
| 4.      | Are the Technical & Commercial Bids organized properly?   |                              |  |
| 5.      | Are all the pages numbered properly and signed and stamped.   |                              |  |
| 6       | Is EMD submitted?   |                              |  |
| 7       | Duly signed Annexures I to XXVI are enclosed  |                              |  |
| 8.      | Is the softcopies of the response of Technical, Functional and Commercial RFP submitted in separate?                        |                              |  |
| 9.      | Are document in support of all eligibility criteria submitted?  |                              |  |
| 10.     | Are your solution complied with all Scope of work.  |                              |  |
| 11.     | Is the Manufacturer Authorization Form submitted?   |                              |  |
| 12.     | Audited Balance Sheet and Profit & Loss Account documents for the last 3 years  |                              |  |
| 13.     | Power of Attorney/Authority letter issued by the competent authority for signing the Bid document on behalf of the company. |                              |  |

For.....

(Signature and seal of authorized person)

**Place:            Date:**

**Performa of letter to be given by all the Bidder participating in the UCO Bank RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re-Tendering)**

To,  
Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064

Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules(Re- Tendering)**

Further to our proposal dated ....., in response to the Request for Proposal (Bank's tender No. .... here in after referred to as "RFP") issued by UCO Bank, we hereby covenant, warrant and confirm as follows:

The soft-copies of the proposal submitted by us in response to the RFP and the related addendums and other documents including the changes made to the original tender documents issued by the Bank, conform to and are identical with the hard-copies of aforesaid proposal required to be submitted by us, in all respects.

The bid submitted herein shall be valid for a period of 180 days from the date of RFP response submission process closes.

Yours faithfully,

**Authorized Signatory**  
**Designation**  
**Bidder's corporate name**

**(TO BE PROVIDED ON Rs.100/- STAMP PAPER)**

**Self-Certificate for Local Content**

Date: \_\_/\_\_/\_\_\_\_

I.....S/o, D/o, W/o.....resident of.....do hereby solemnly affirm and declare as under:

- 1) That I will agree to abide by the terms and conditions mentioned in the tender specification issued vide ref. no.....dated.....
- 2) That the information furnished hereinafter is correct to the best of my knowledge and belief and that I undertake to produce the relevant records before to any authority nominated by UCO Bank for the purpose of assessing the Local Content.
- 3) That the Local Content for all inputs which constitute the Goods ..... (mention the procurement) has been verified by me and that I am responsible for the correctness and accuracy of the claims made therein.
- 4) That in the event of the Local Content mentioned herein is found to be incorrect and not meeting the prescribed norms of Local Content, based on the assessment of an authority so nominated by UCO Bank and that I will be liable as under Clause 9(f) of Public Procurement (Preference to Make in India) Order 2017, as amended.
- 5) That I agree to maintain all the information regarding my claim for Local Content in our records and that I shall make the requisite information available to UCO Bank as and when required.
- 6) That \*I (name of Manufacturer) do hereby confirm in respect of quoted item(s) that the Local Content is equal to or more than 50% and come under 'Class-I Local Supplier' Category. As being 'Class-I Local Supplier', I am eligible for Purchase Preference under 'Make in India' Policy vide Government of India Order no. P-45021/2/2017-PP (B.E.-II) dated 15.06.2017 (subsequently revised vide Orders dated 28.05.2018, 29.05.2019 and 04.06.2020)

**OR**

That \*I (name of Manufacturer) do hereby confirm in respect of quoted item(s) that Local Content is more than 20% but less than 50% and come under 'Class-II Local Supplier' Category.

- 7) That I submit as under:

|   |  |
|---|--|
| Name & Details of the Local Supplier<br>(Regd. Office, Manufacturing Unit, Location, Nature of legal entity)  |  |
| Date on which this Certificate was issued   |  |
| Product for which the Certificate is issued   |  |
| Procuring Agency to whom the Certificate is furnished   |  |
| Percentage of Local Content claimed   |  |
| Name & details of the unit of the Manufacturer  |  |
| Sale Price of the Product   |  |
| Ex-Factory Price of the Product   |  |
| Freight, Insurance and handling   |  |
| Total bill of Material  |  |
| List & Total cost value of inputs used manufacture of the proposed Goods  |  |
| List & Total cost value of inputs which are locally sourced (Attach Certificates of Local Content from Local Suppliers, if the input is not in-house) |  |
| List and cost of inputs which are imported (directly or indirectly)   |  |
| Any other information   |  |

**8)** That the details of the location(s) at which the local value addition made is/are as under:

| Sl. No. | Product Details | Name of Place |
|---------|-----------------|---------------|
|         |                 |               |
|         |                 |               |

For & on behalf of

Signature of the Authorised Signatory of the Bidder (insert name, designation & contact number)

Official Seal of the Bidder

Date:

**\* Strike out whichever is not applicable**

**Restriction on Procurement due to National Security**

**This Certificate should be submitted on the letterhead of the bidder duly signed by an authorized signatory**

To,

**Deputy General Manager  
UCO Bank, Head Office-II  
Department of Information Technology  
7<sup>th</sup> Floor, 3&4, DD Block, Sector-I  
Salt Lake, Kolkata -700064**

Dear Sir,

**Sub: RFP for Implementation of the Fund Management Solution for Central Sponsor Scheme (CSS) as per the Govt Guidelines for all SNA Models and other related modules (Re-Tendering)**

1. "I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India; I hereby certify that this bidder is not from such a country and is eligible to be considered."
2. "I have read the clause regarding restrictions on procurement from a bidder of a country which shares a land border with India; / certify that this bidder is not from such a country or, if from such a country, have been registered with the Competent Authority. I hereby certify that this bidder fulfils all requirements in this regard and is eligible to be considered. (Where applicable, evidence of valid registration by the Competent Authority shall be attached.)"

Yours Faithfully,

**Authorised Signatory,**

**Name:**

**Designation:**

**Vendors Corporate Name:**

**Address:**

**Email:**

**Contact No.**

**Details of Support Centers**  
**(To be included in Technical Bid)**

| <b>Sl. No.</b> | <b>City</b> | <b>Own or Franchise</b> | <b>Postal Address</b> | <b>Contact Numbers</b> | <b>Brief Description</b> |
|----------------|-------------|-------------------------|-----------------------|------------------------|--------------------------|
| 1              |             |                         |                       |                        |                          |
| 2              |             |                         |                       |                        |                          |
| 3              |             |                         |                       |                        |                          |
| 4              |             |                         |                       |                        |                          |
| 5              |             |                         |                       |                        |                          |
| 6              |             |                         |                       |                        |                          |
| 7              |             |                         |                       |                        |                          |
| 8              |             |                         |                       |                        |                          |
| 9              |             |                         |                       |                        |                          |

Authorized signatory

Name:

Designation:

Place:

Date: